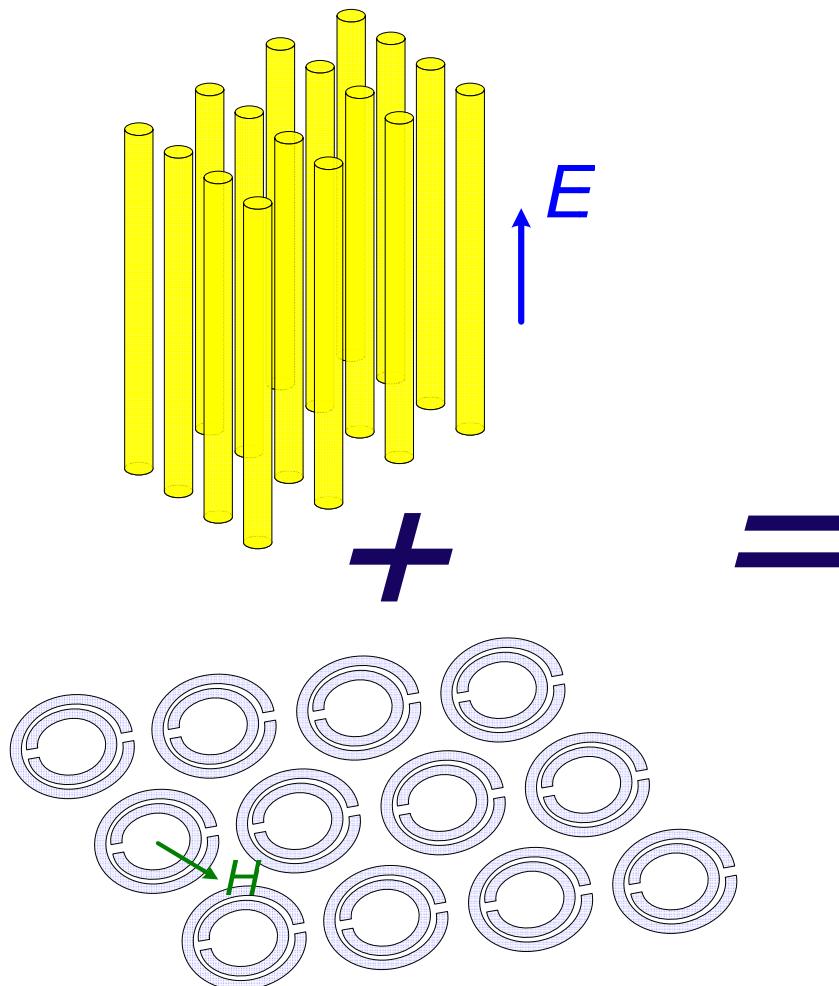


# Negative index metamaterials

**Negative electrical metamaterial + Negative magnetic metamaterial**  
**= Negative index metamaterial**

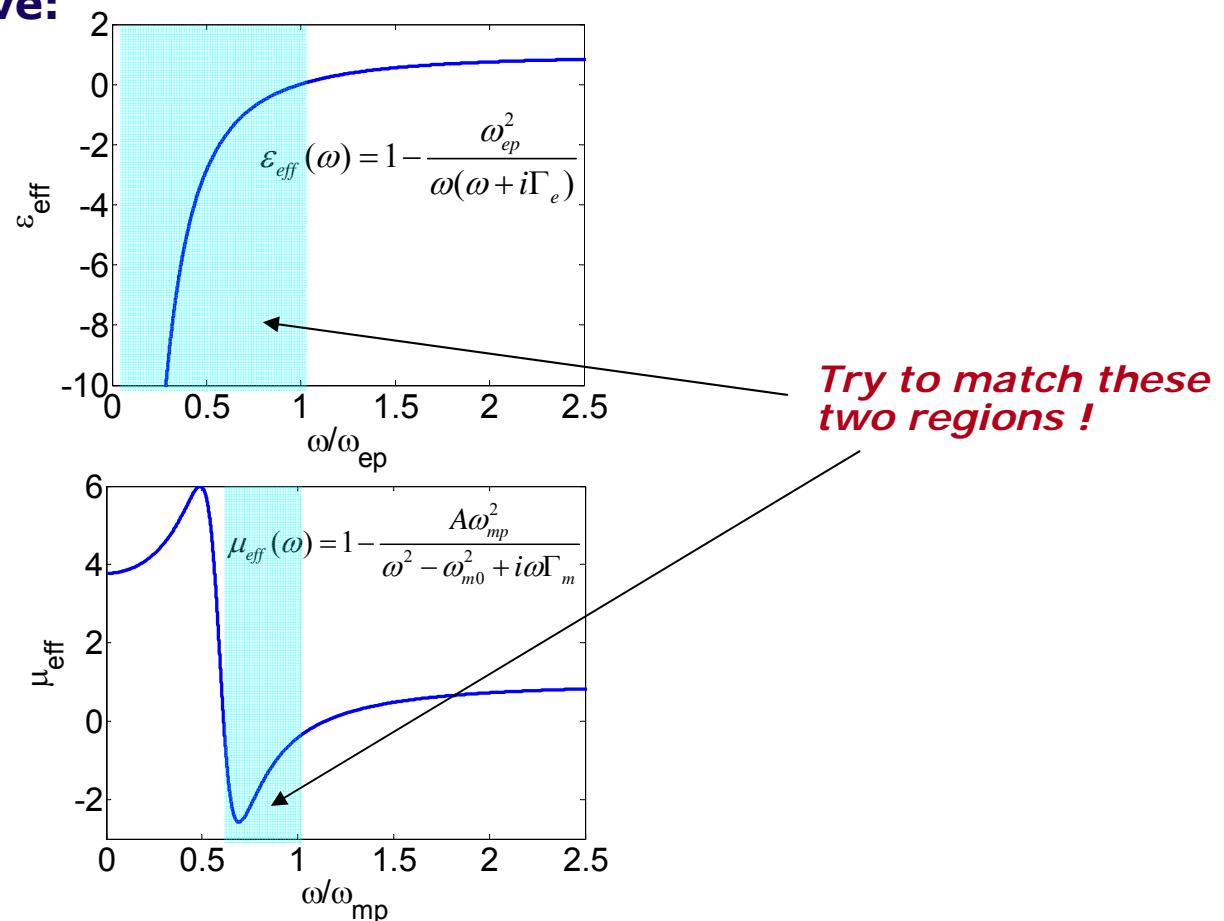
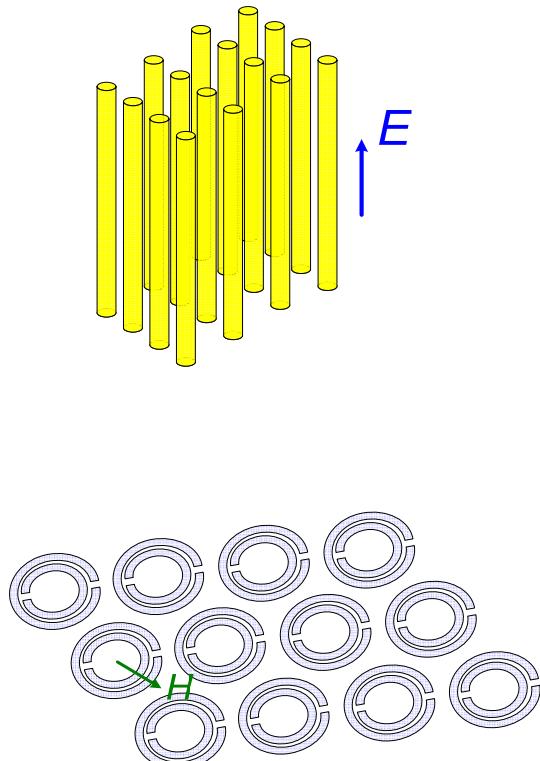


*Smith, et al., UCSD, PRL (2000)*

# The key to obtaining a NIM

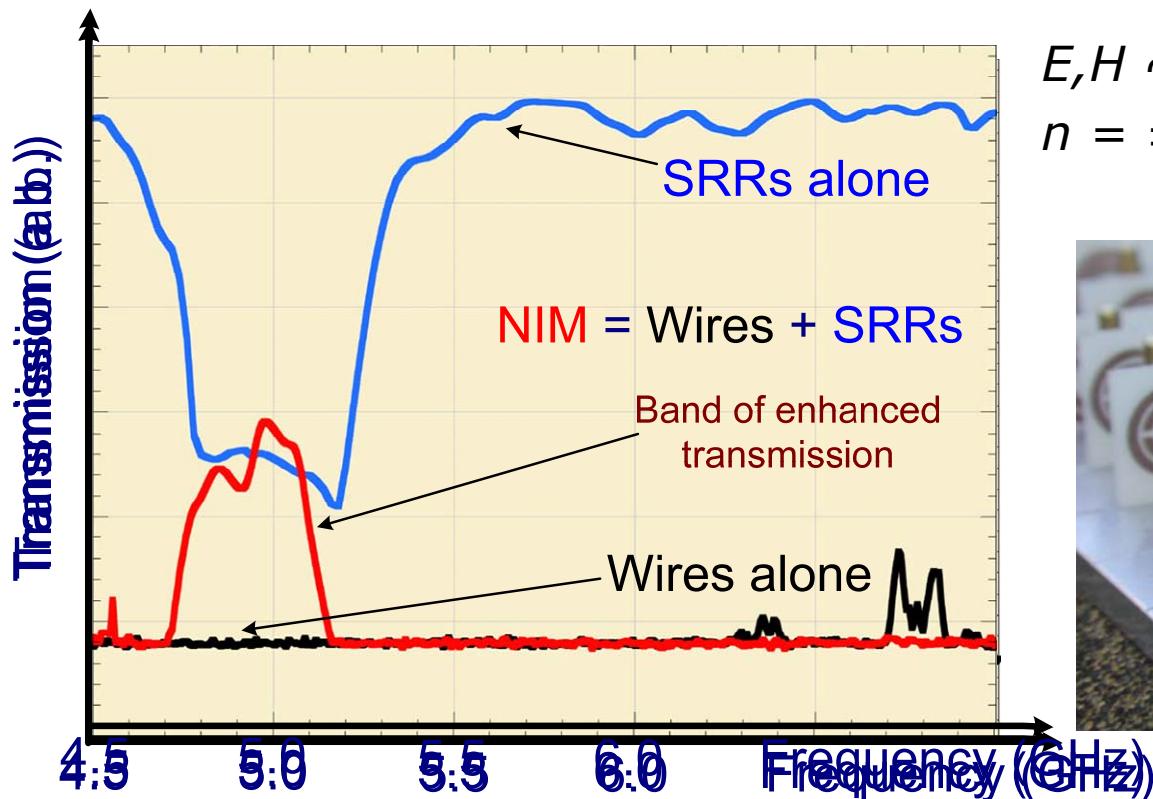
**To match magnetic resonance with negative permittivity band**  
**Similar magnitudes of  $|\epsilon|$  and  $|\mu|$  are desirable for impedance matching**

An example in microwave:



# The first NIM in microwave

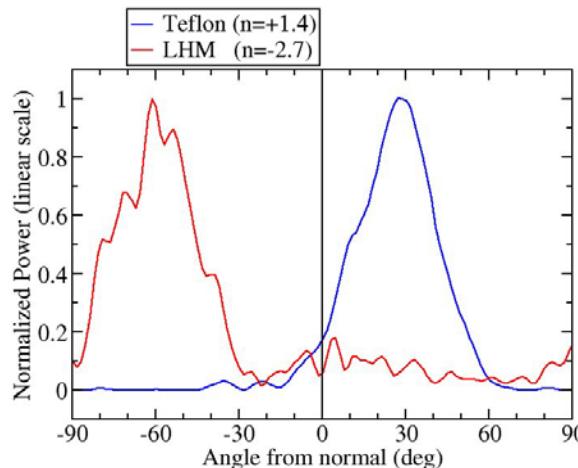
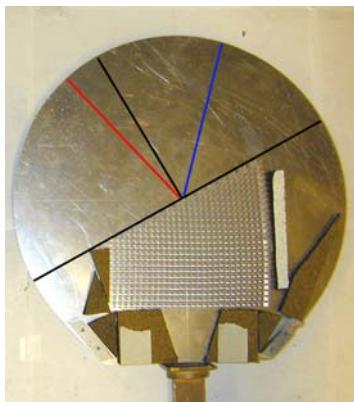
## *Transmission properties of the structure*



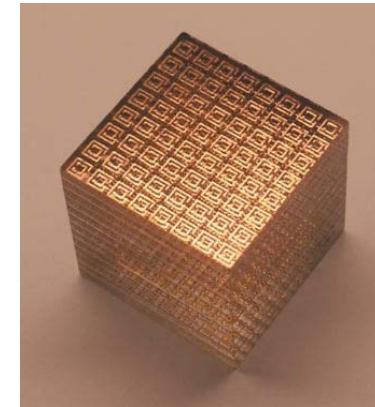
Smith, et al., UCSD, PRL (2000)

# Inverses Snell's law in microwave verified

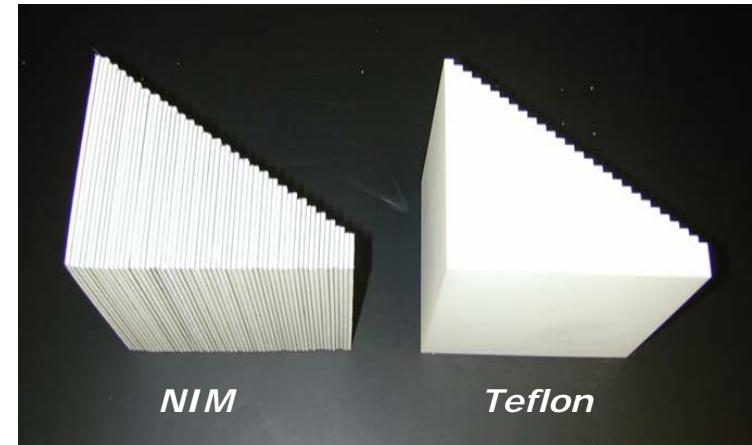
## 2D waveguide



## 3D free space



*The Boeing Cube*

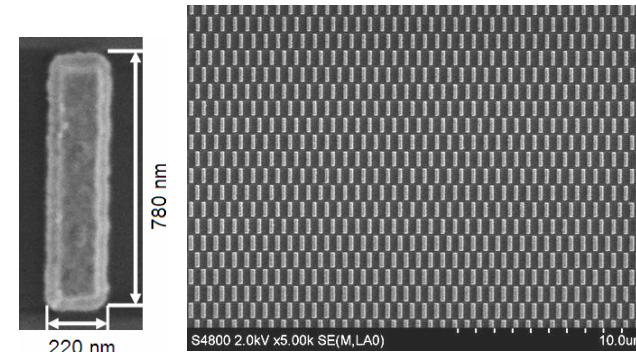
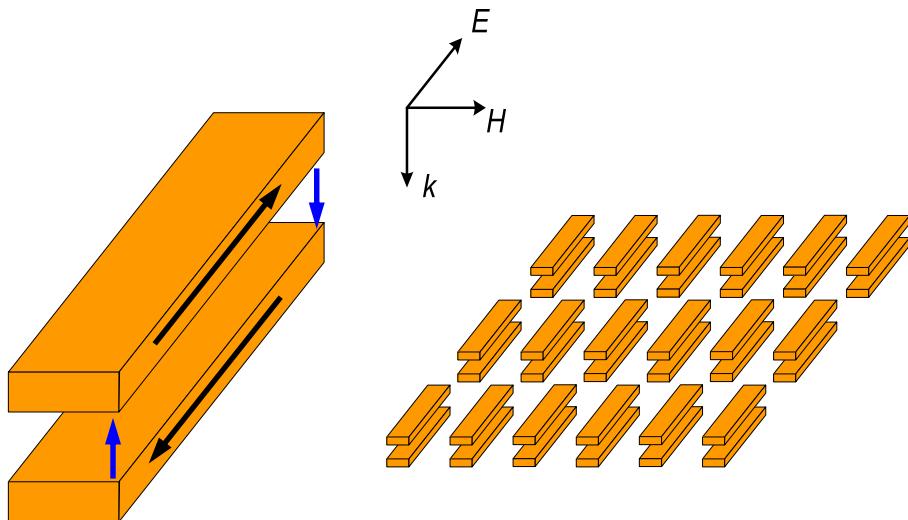


Smith, et al., UCSD, Science (2001)

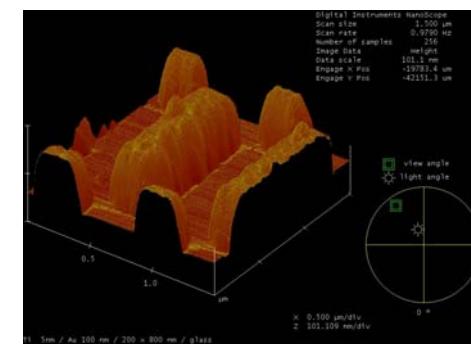
Parazzoli, et al., Boeing, PRL (2003)

# Nanorod arrays: the first optical NIM

***Nanorod arrays support both electrical and magnetic resonances***



SEM



AFM

***The overlapping of the two resonances cannot be achieved exactly. Therefore the figure of merit is low.***

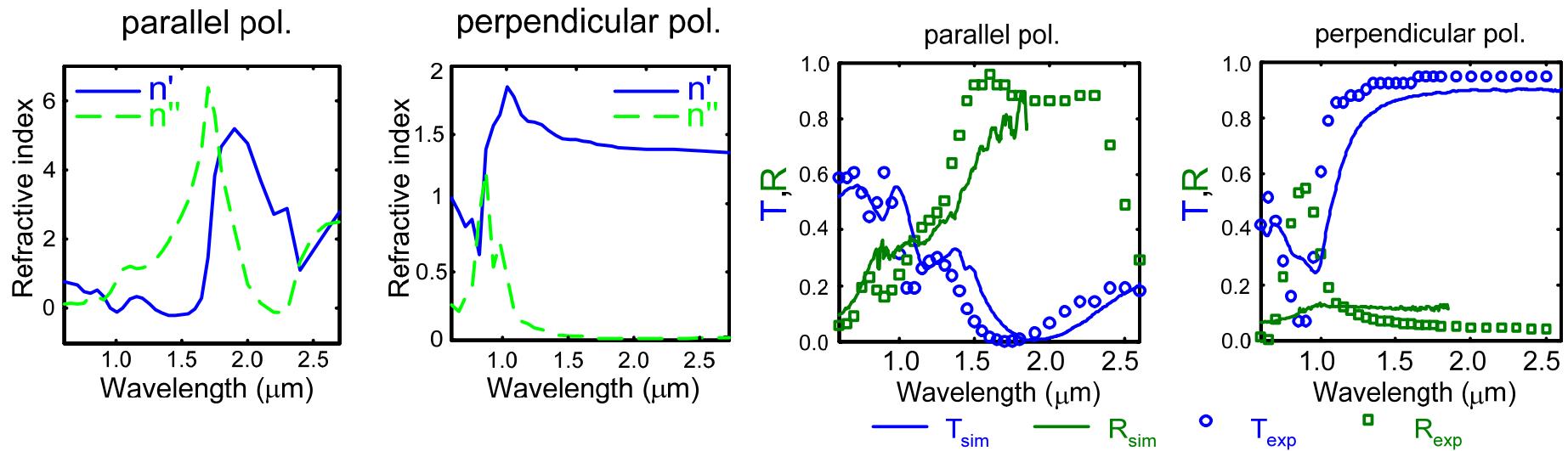
$$@ 1.5 \mu m \quad \mu' > 0$$

$$\mu' | \mu | + \mu' | \varepsilon | < 0 \quad n' < 0$$

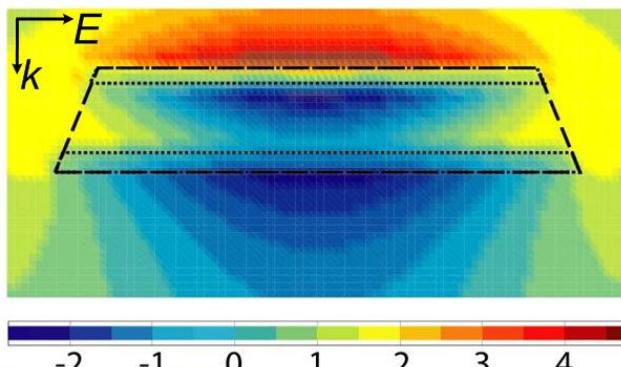
$$\varepsilon' | \mu | + \mu' | \varepsilon | < 0$$

*Shalaev, et al., OL (2005)*

# Optical properties of Nanorod Structure



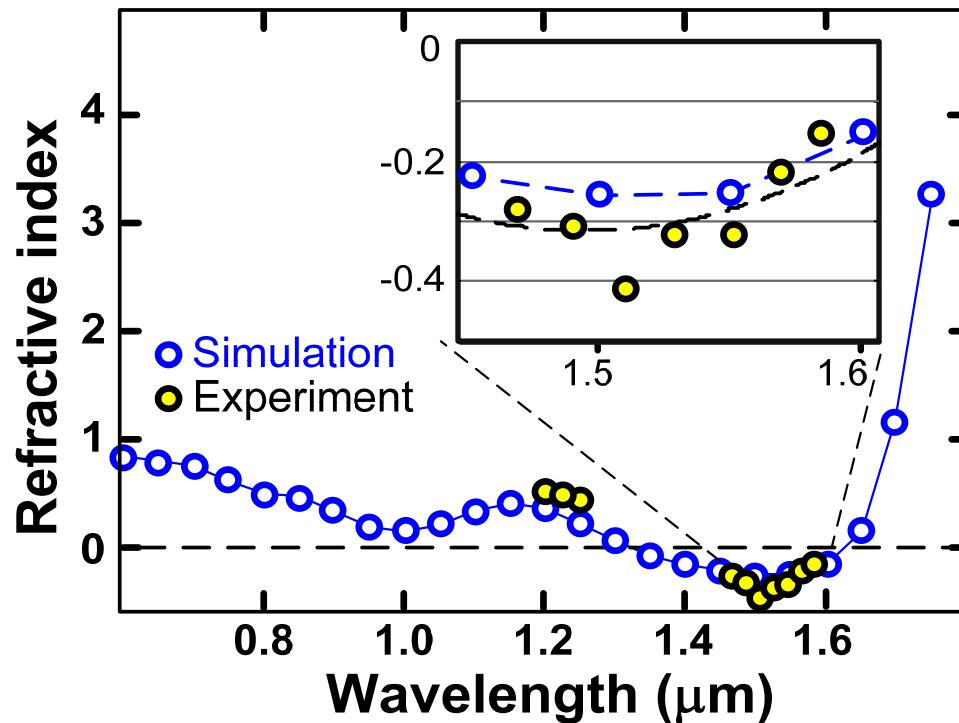
- **Strong resonance behavior for parallel pol.; Substrate-like for perpendicular pol.**
- **In consistency with the  $T, R$  spectra**



*Simulated cross-sectional field map of the magnetic field*

$\text{Re}(n) = -0.3 \pm 0.1$  at  $\sim 1.5 \mu\text{m}$  is obtained

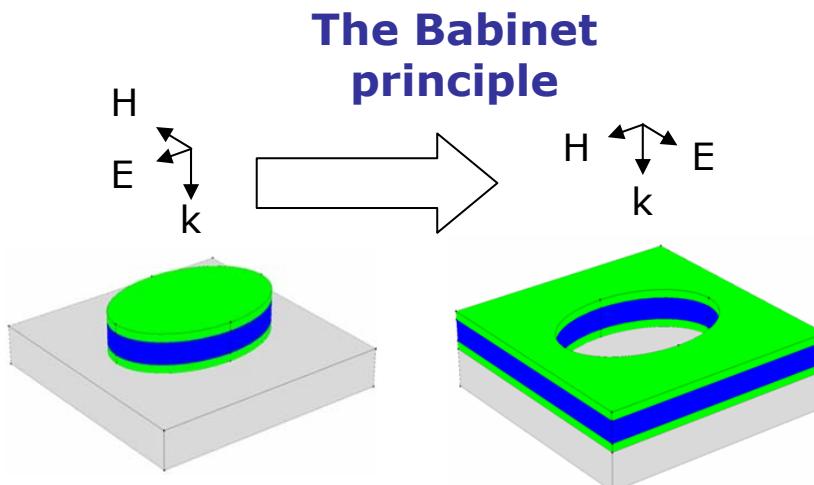
- (Opt. Lett. 30, 3356 (2005); first reported in arXive/physics/0504091, Apr 13, 2005;)



$$\cos nk\Delta = \frac{1 - r^2 + n_s t^2}{(n_s + 1)t + rt(n_s - 1)}$$

*Refractive index is retrieved from direct measurement of complex  $r$  &  $t$  coefficients*

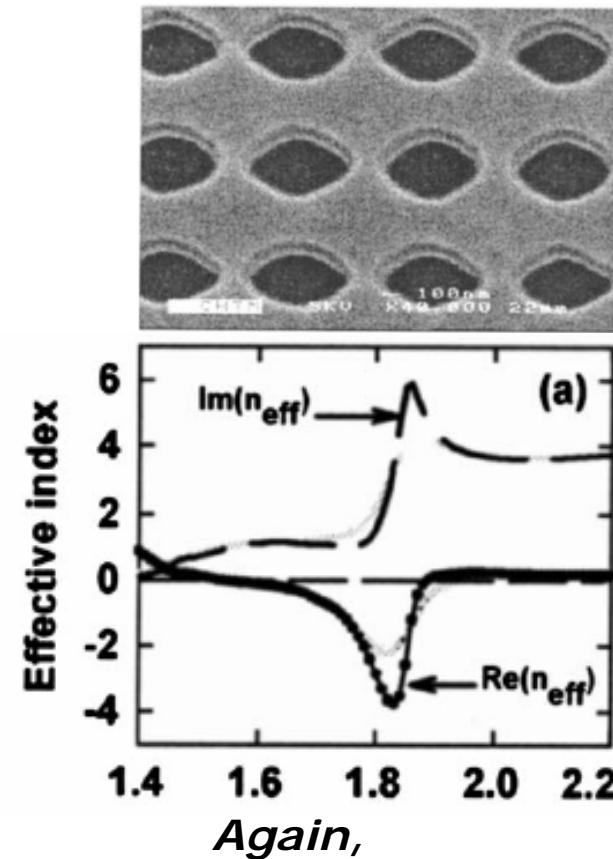
# The inverted system: coupled void pairs



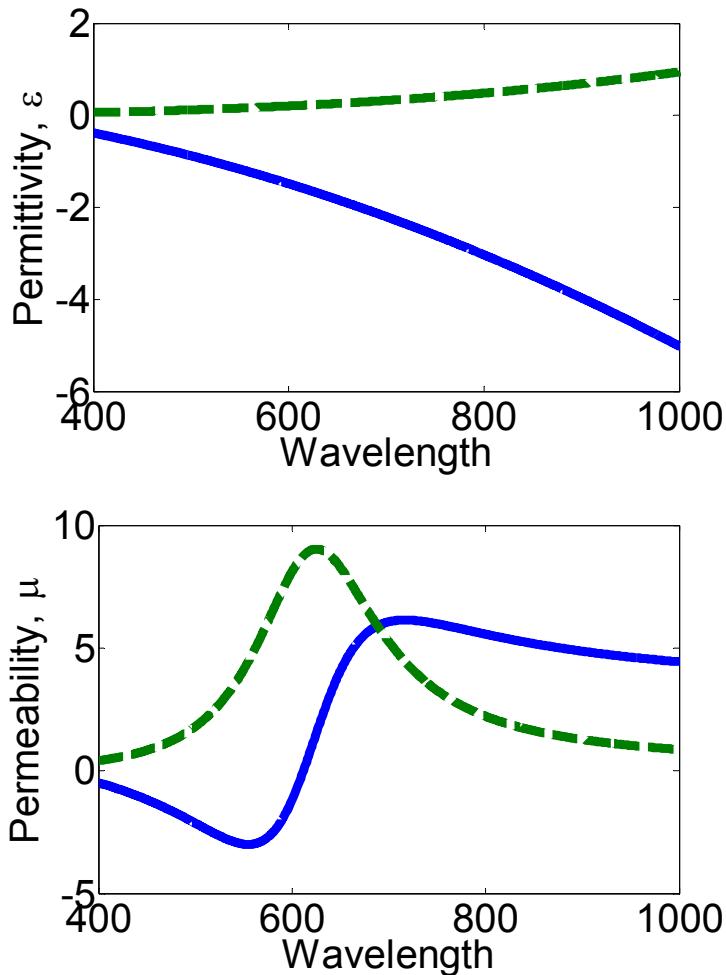
**Experimental demonstration of negative  $n$  at  $\sim 1.8 \mu\text{m}$ .**

*Zhang, et al., UNM and Columbia group, 2005*

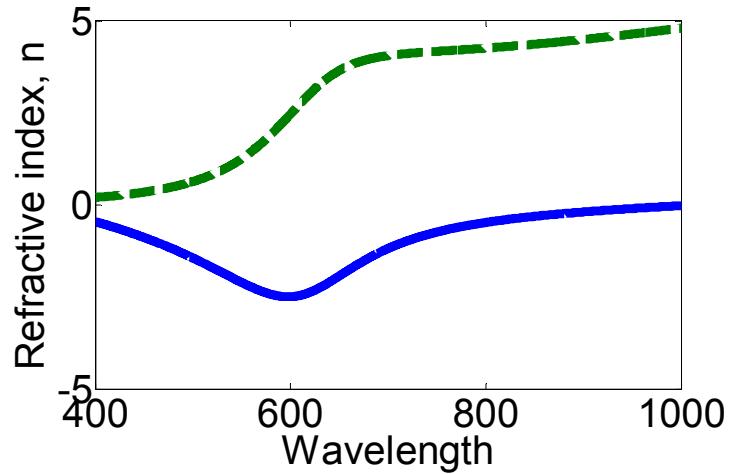
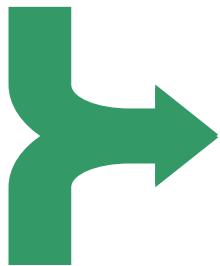
*The coupled voids arrays structure is the prototype of the optical “Fishnet”, the state-of-the-art NIM design.*



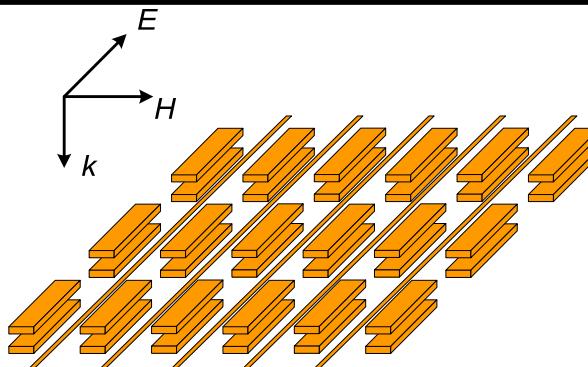
# General guideline for optical NIM design



- **Problem:**
- **Magnetic and electric resonances occur at different wavelengths**
  
- **Solution:**
- **Use broadband, non-resonant, diluted metal for negative  $\epsilon$**



# Examples of optical NIM designs (*resonant $\mu$ + broadband $\varepsilon$* )

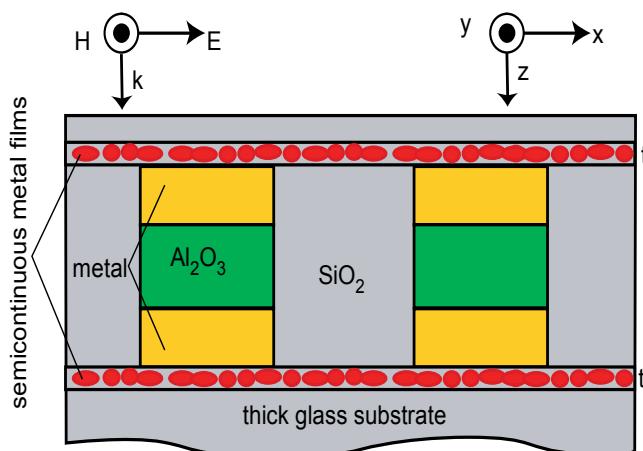
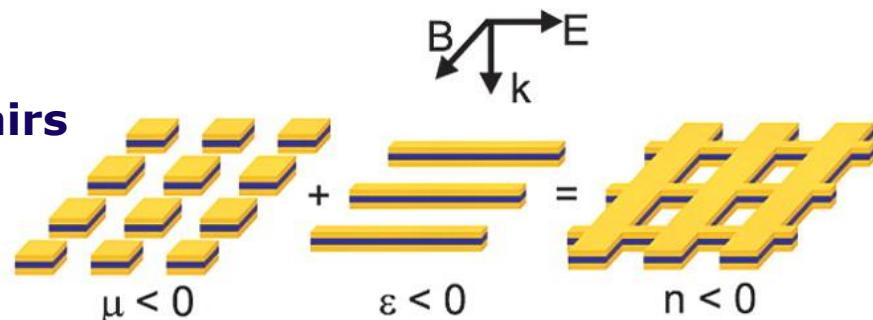


**Nanorod pairs + thin metal wires**

*Soukoulis, et al., 2006*

**Nanor-plate pairs + thin metal wire pairs  
= "optical fishnet"**

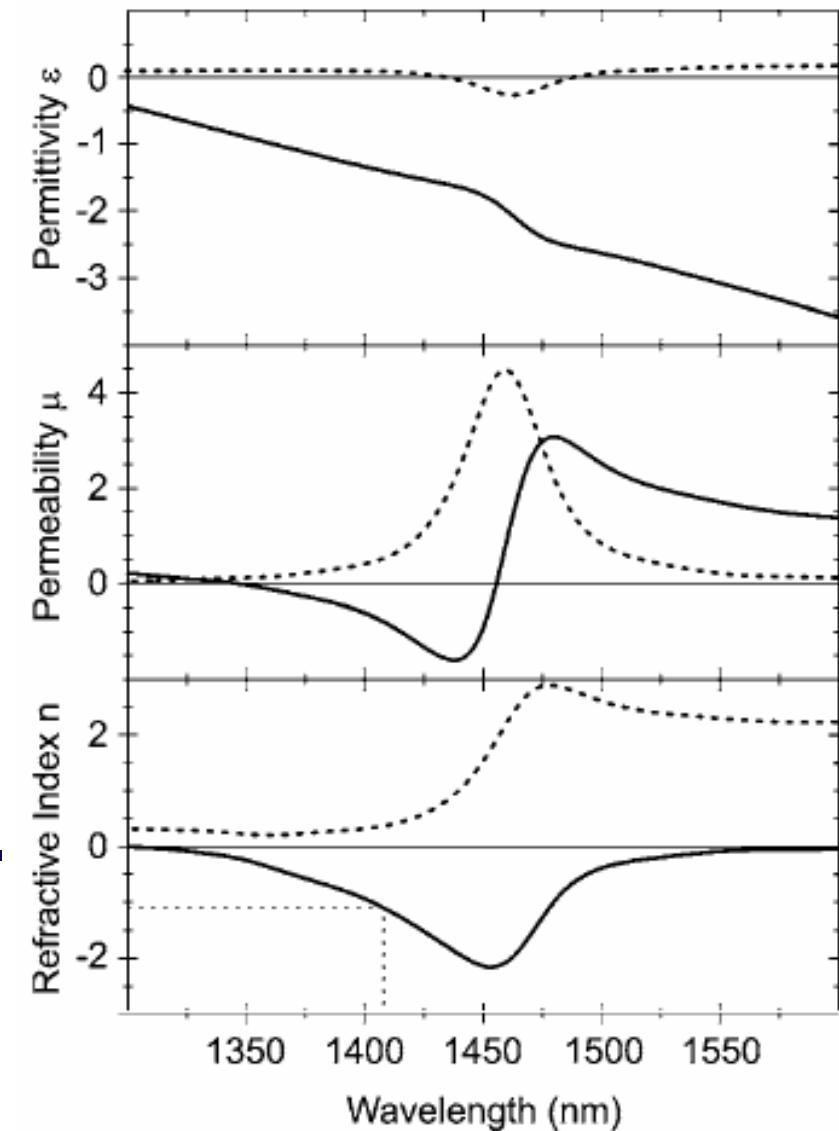
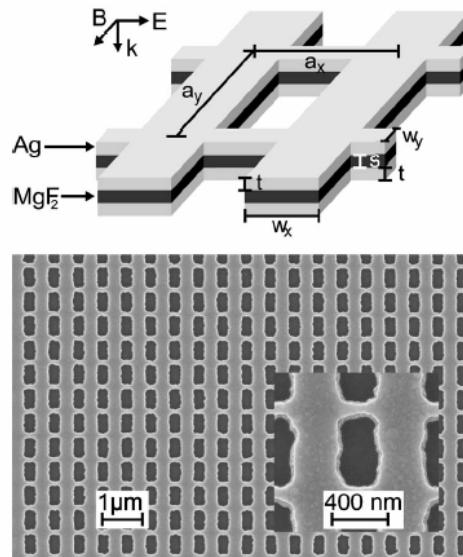
*Zhang, et al., UNM & Columbia, 2006*



**Nano-strip pairs + semicontinuous film**

*Chattier, et al (Purdue); OE (2006)*

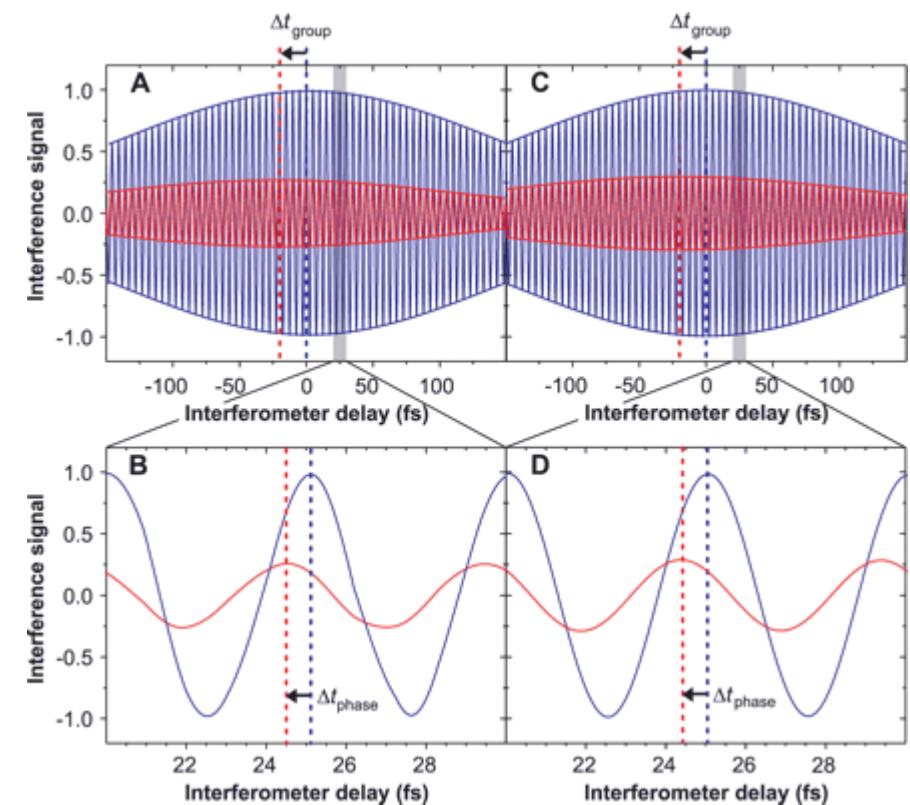
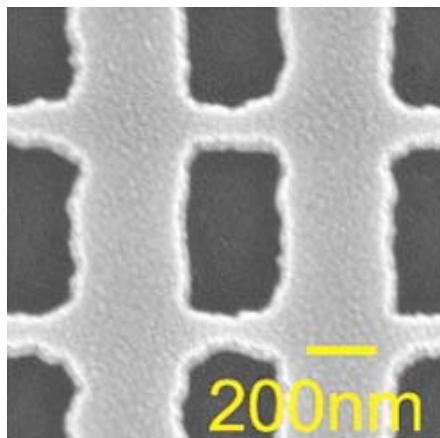
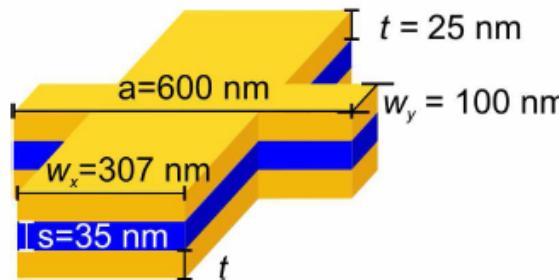
# Optical fishnet: a double-negative structure



**An optical NIM with both negative  $\epsilon$  and negative  $\mu$  obtained in optical "Fishnet".**

Dolling, et al., 2006

# Simultaneously negative $V_p$ and $V_g$ in Fishnet



*Dolling, et al., Science, 2006*

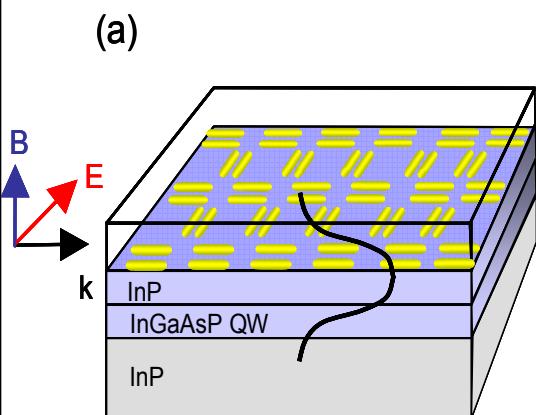
# Progress in optical NIMs

<i>Year and Research group</i>	<i>1st time posted and publication</i>	<i>Refractive index, n'</i>	<i>Wavelength <math>\lambda</math></i>	<i>Figure of Merit F=n'//n''</i>	<i>Structure used</i>
<b><u>2005:</u></b>					
Purdue	April 13 (2005) arXiv:physics/0504091 Opt. Lett. (2005)	-0.3	1.5 $\mu\text{m}$	0.1	Paired nanorods
UNM & Columbia	April 28 (2005) arXiv:physics/0504208 Phys. Rev. Lett. (2005)	-2	2.0 $\mu\text{m}$	0.5	Nano-fishnet with round voids
<b><u>2006:</u></b>					
UNM & Columbia	J. of OSA B (2006)	-4	1.8 $\mu\text{m}$	2.0	Nano-fishnet with round voids
Karlsruhe & ISU	Opt. Lett. (2006)	-1 *	1.4 $\mu\text{m}$	3.0	Nano-fishnet with rectangular voids
Karlsruhe & ISU	In press	-0.6	780 nm	0.5	Nano-fishnet with rectangular voids

\* Minimum n' is -2 occurring at 1.45  $\mu\text{m}$  with F=1.5

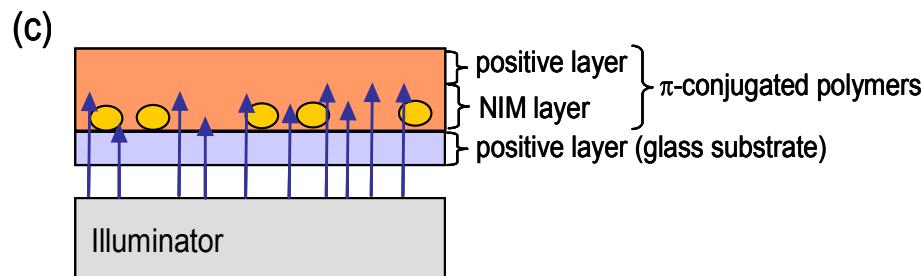
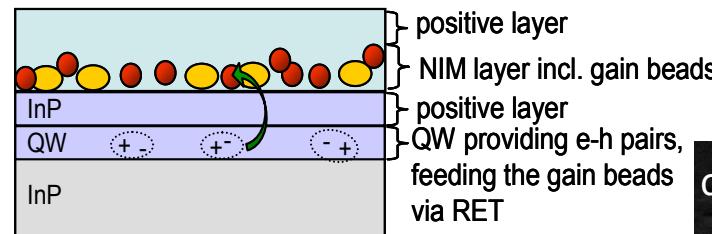
# From Low- to no Loss NIMs: Is it for Real?

## Gain components compensating losses in NIMs (Required gain $\sim 10^2$ to $10^4$ cm $^{-1}$ )



(b)

- CdSE NCs or Er<sup>+</sup> doped beads
- Gold nanorods (crossection)
- resonant energy transfer



- a) SOA gain (low confinement factor)
- b) NC gain beads
- c) π-conjugated polymer
- d) dyes

Lasers with plasmonic structures:

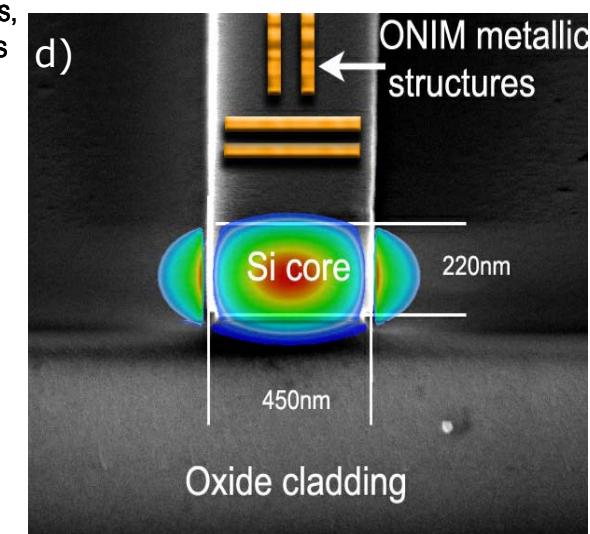
Capasso; Feldmann

**plasmon+gain (theory):**

Sudarkin/Demkovich;

Bergman/Stockman;

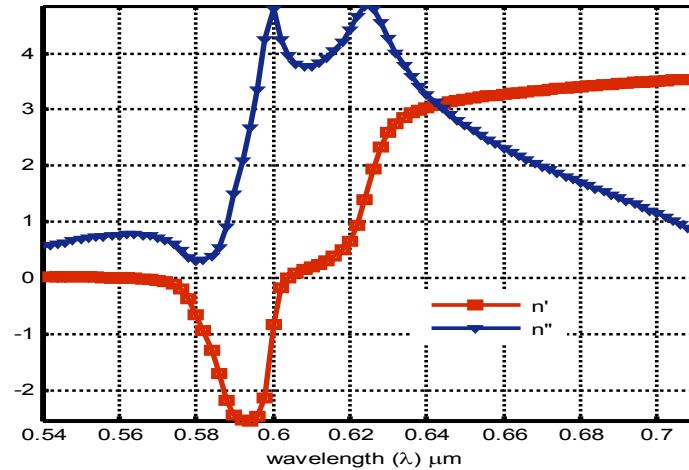
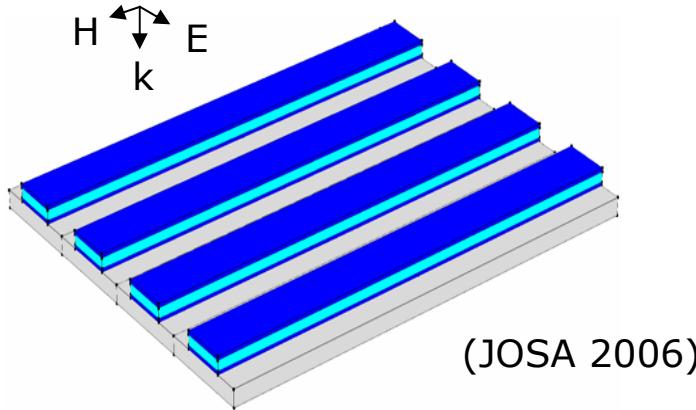
Avrutsky; Fainman; Lawandy; Pendry



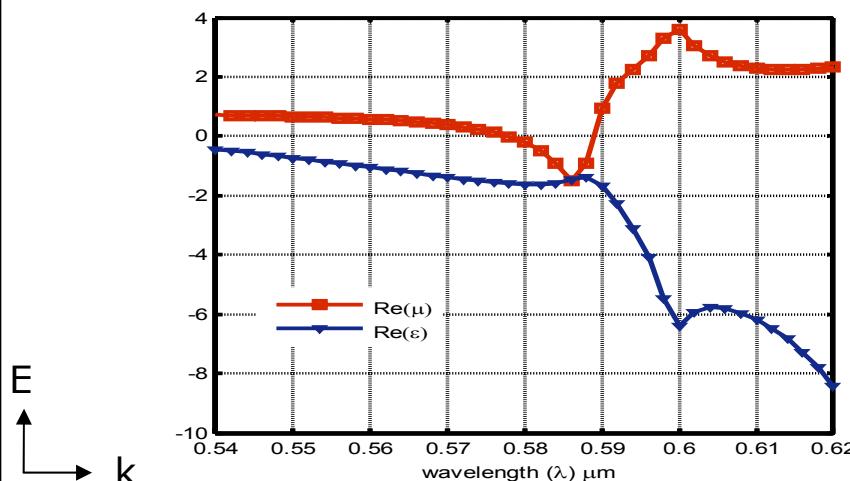
- d) Vlasov:  
Raman amplification in Si waveguide with  
metal-nanorod ONIM on top  
 $g \sim 100\text{cm}^{-1}$  at  $P \sim 1\text{mW}$

# Inverse Design Problem: from Desired Functionality to Optimized Design

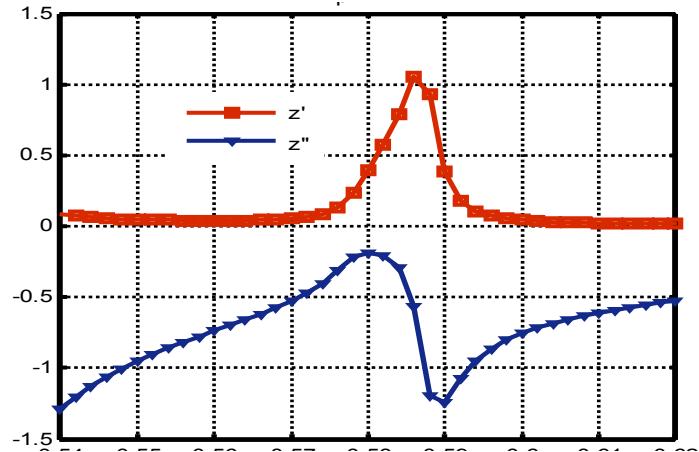
## Paired Metal Strips



Negative  $\mu$  and  $\epsilon$



Optimum at  $\lambda = 584 \text{ nm}$ ,  $n = -1.30 + 0.39i$



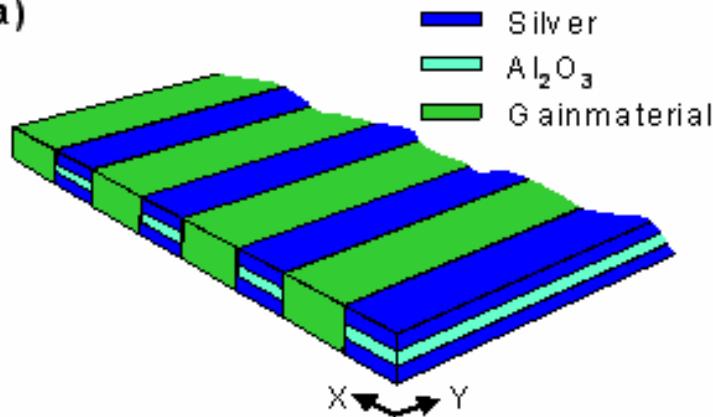
# NIMs with no Losses

## Optical NIM slab with gain inclusions:

at  $\lambda = 584 \text{ nm}$ ,  $n = -1.3 + 0 \cdot i$  (!)

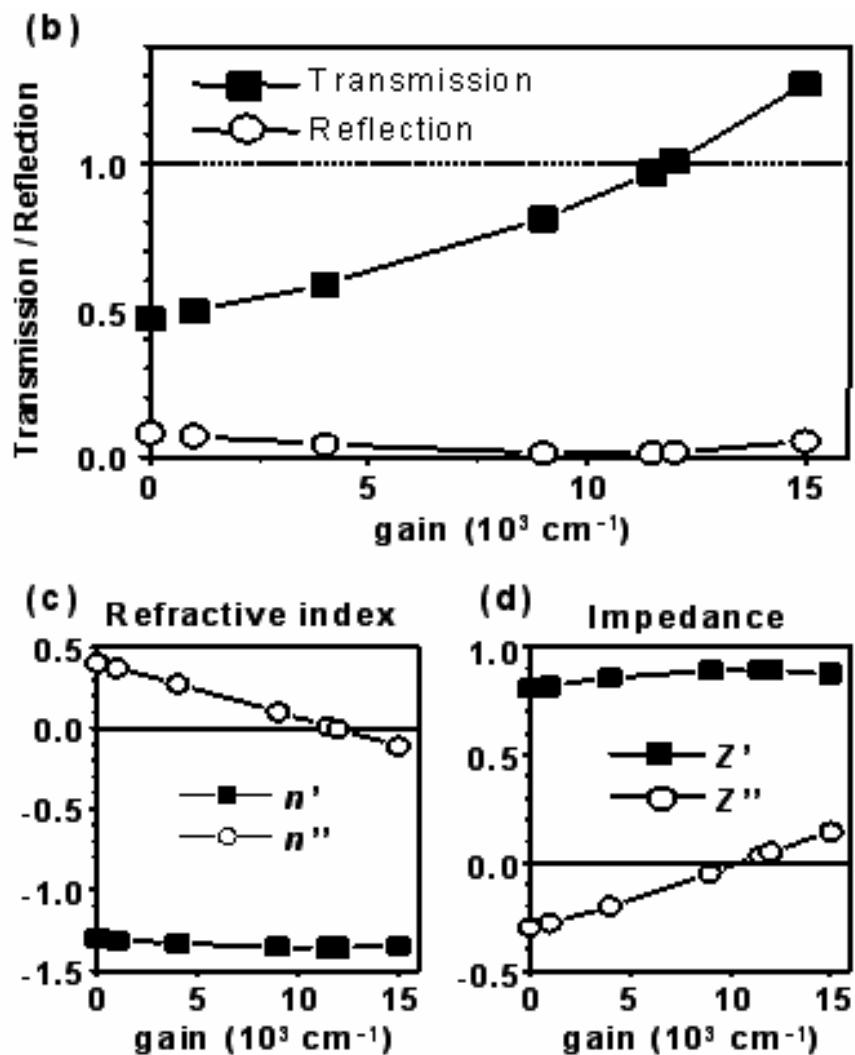
$n'' = 0$  (!);  $R \approx 0\%$  and  $T \approx 100\%$

(a)



T. Klar, A. V. Kildishev,  
V. P. Drachev, and V. M. Shalaev  
IEEE- J. of Selected Topics in  
Quantum Electronics, November issue (2006)

Compensating loss with gain in  
Ag colloids/dyes, Noginov et al, OL **31**, 3022 (2006)



# Outline

- What are metamaterials?
- Electrical metamaterials
- Magnetic metamaterials
- Negative-index metamaterials
- **Perfect lens, superlens, & other**
- Optical cloaking

# Imaging with NIM-lens:

## Amplification of Evanescent Waves Enables sub- $\lambda$ Image!

Waves scattered by an object have all the Fourier components

$$k_z = \sqrt{k_0^2 - k_x^2 - k_y^2}$$

The propagating waves are limited to:

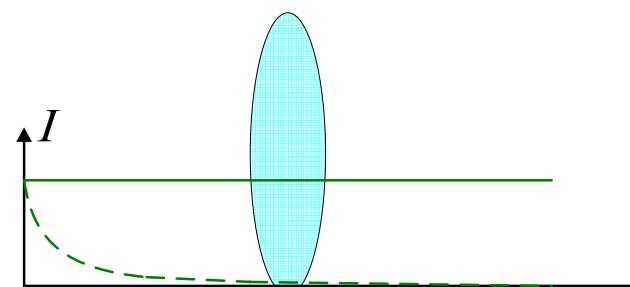
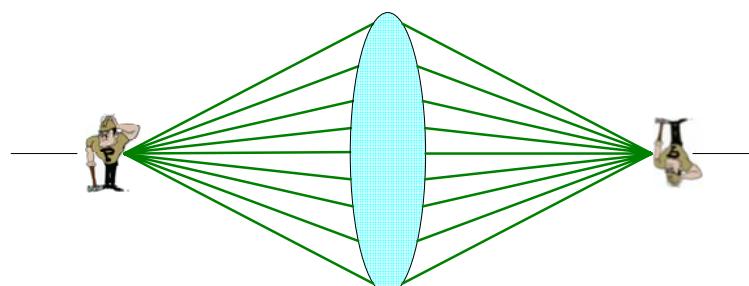
$$k_t = \sqrt{k_x^2 + k_y^2} < k_0$$

To resolve features  $\Delta$ , we must have

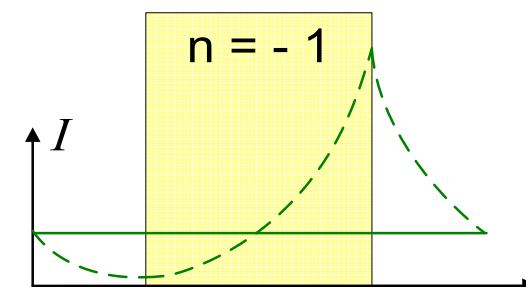
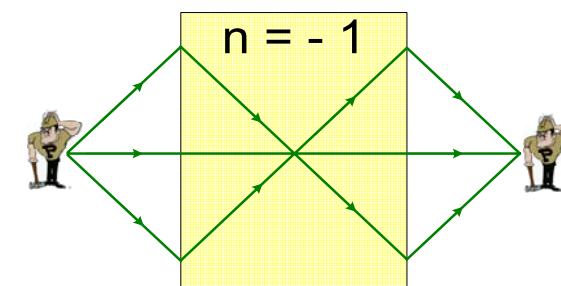
$$\lambda_t = 2\pi/k_t < \Delta, \quad \Delta < \lambda \Rightarrow k_t = \sqrt{k_x^2 + k_y^2} > k_0, \quad k_z^2 < 0$$

The evanescent waves are “re-grown” in a NIM slab and fully recovered at the image plane

*Conventional lens*



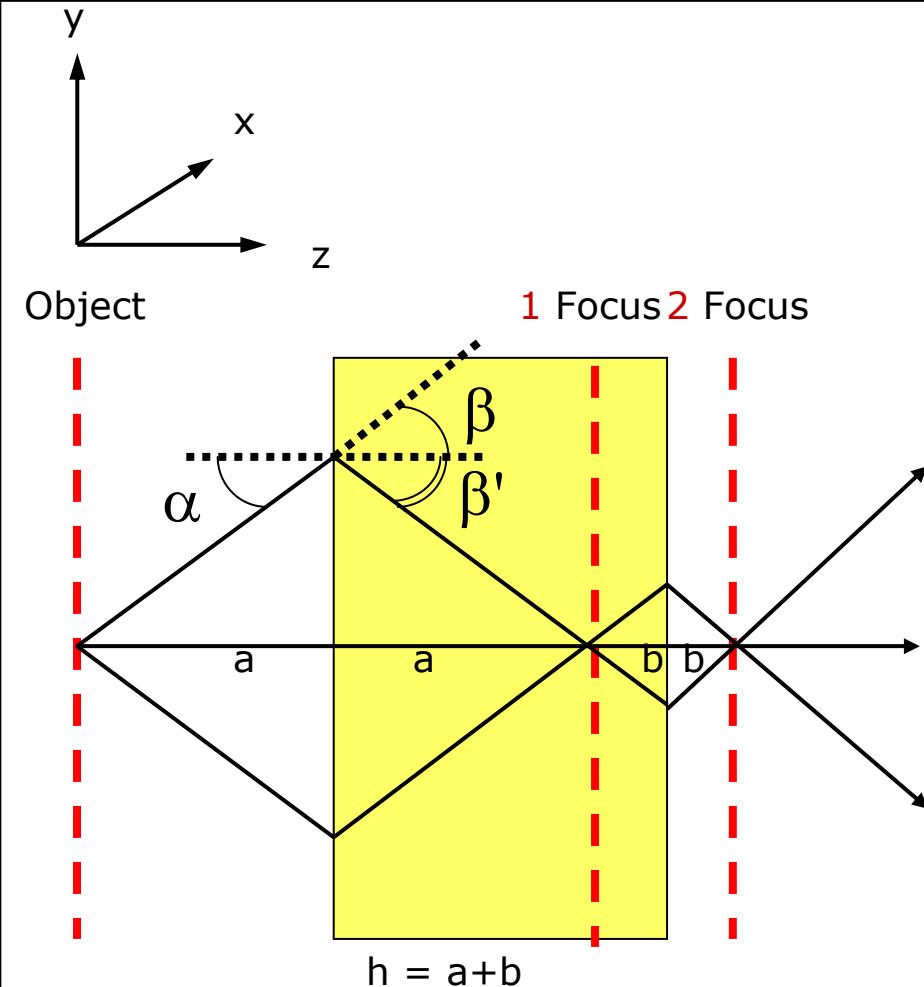
*NIM slab lens*



Propagating waves  
Evanescent waves

Pendry, PRL, 2000

# Perfect Lens



$$n = -1$$

$$(\epsilon = -1; \mu = -1)$$

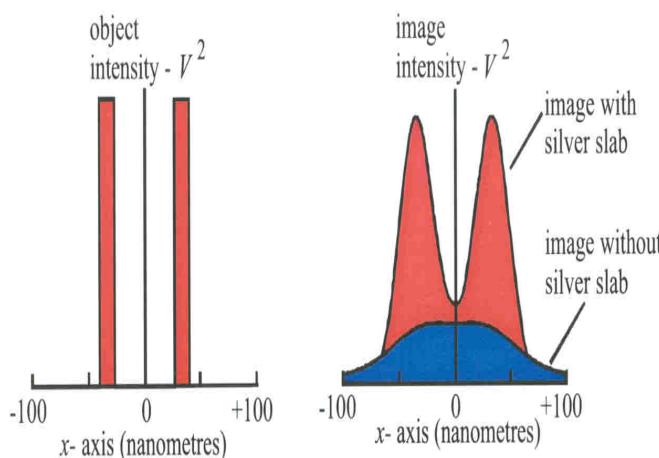
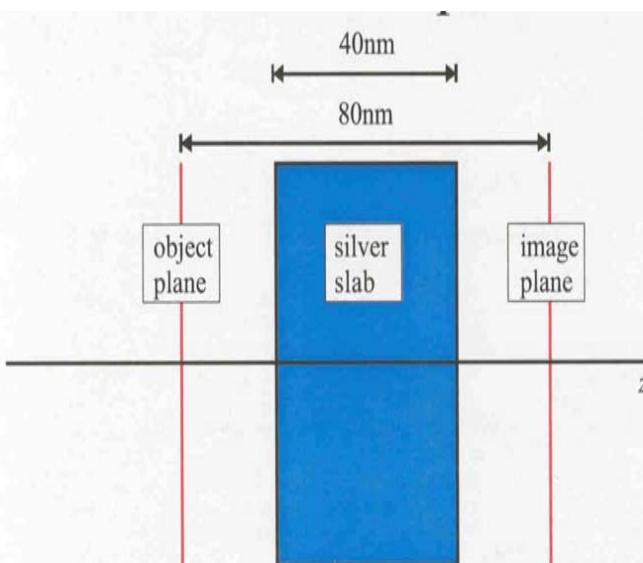
$$\sin(\alpha) = n \sin(\beta') = -\sin(\beta') = \sin(\beta)$$

$$E(y, z) = \sum_q A_q \exp\left(iqy + i\sqrt{(nk)^2 - q^2} z\right)$$

Phase shift =

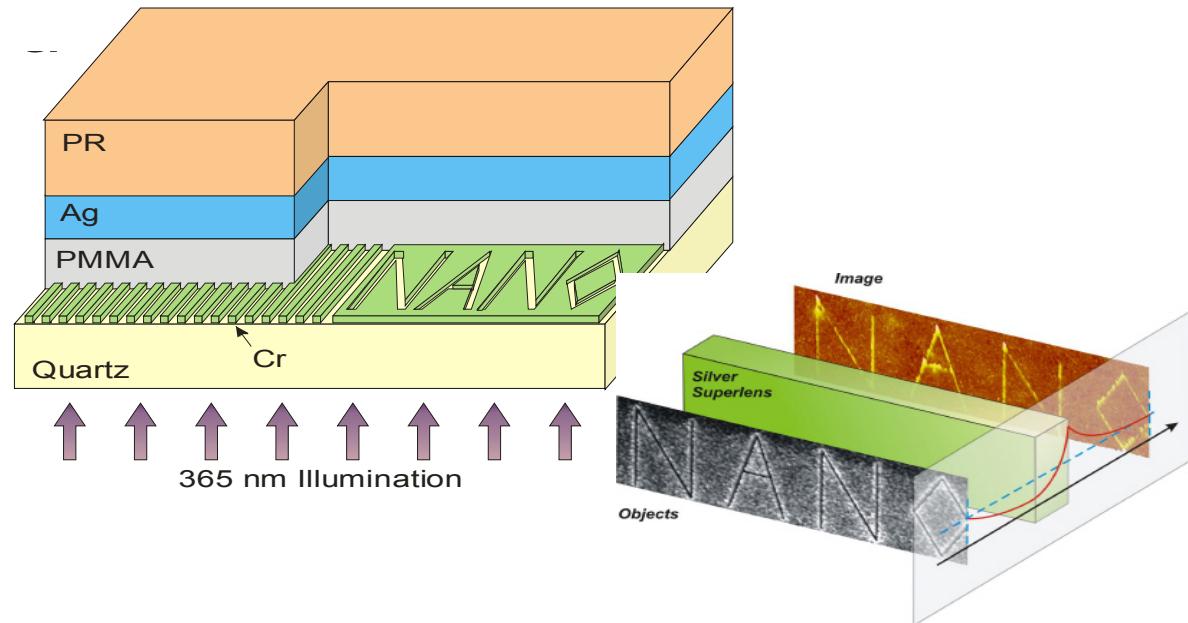
$$\left(iqy + i\sqrt{k^2 - q^2} z\right) + \left(-iqy - i\sqrt{(-k)^2 - q^2} z\right) = 0$$

# The Poor Man's (Near-Field) Superlens ( $\epsilon < 0$ , $\mu = 1$ )



**Original implementation by Pendry: use a plasmonic material (silver film) to image 10 nm features with  $hw = 3.48$  eV;**

$$\epsilon = 5.7 - 9^2 / \omega^2 + 0.4i \quad (= -\epsilon_h)$$



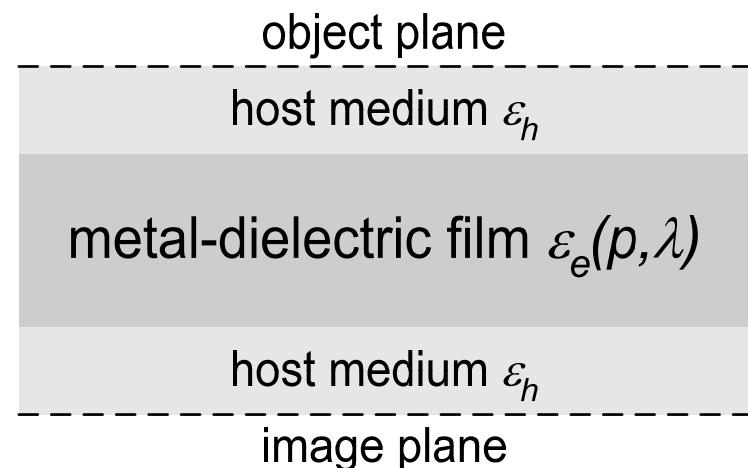
**Near-field super-lens (NFSL)**

**super-resolution with superlens: Zhang et al. (2005); Blaikie, et al (2005)**

**Mid-IR: Shvets et al. (2006)**

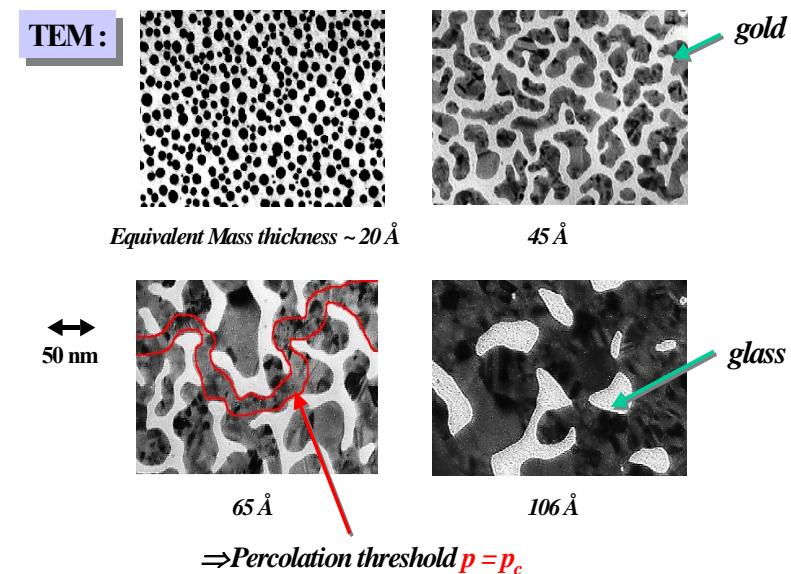
# Near-Field Tunable Super-Lens Based on Metal-Dielectric Composites

$$p \frac{\epsilon_m - \epsilon_e}{\epsilon_m + (d-1)\epsilon_e} + (1-p) \frac{\epsilon_d - \epsilon_e}{\epsilon_d + (d-1)\epsilon_e} = 0$$



- For a metal-dielectric composite the NFSL can work at any desired wavelength

$$\text{Re}[\epsilon_e(\epsilon_m, \epsilon_d, p, \lambda)] = -\epsilon_h$$

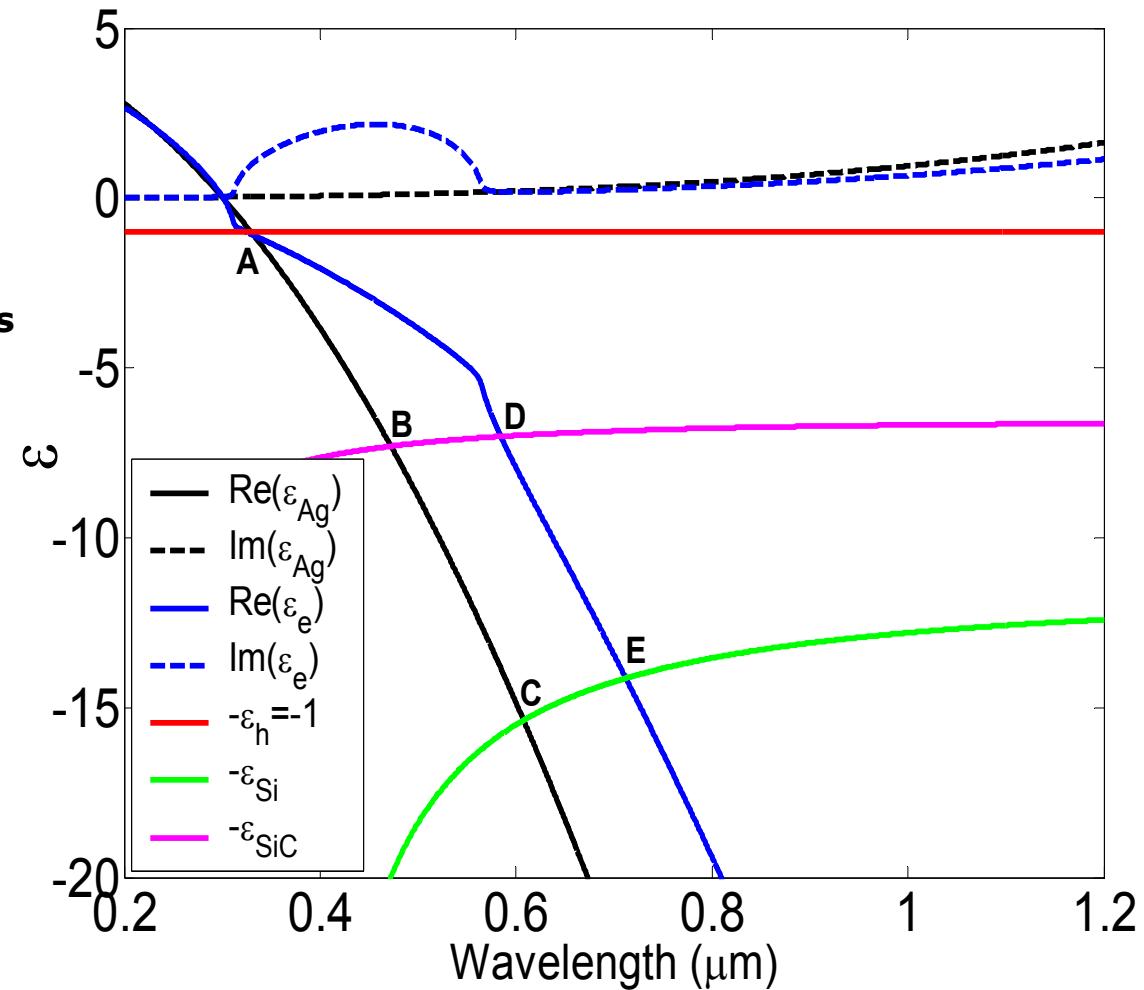


PRB 72, 193101 (2005)

# Tunable Superlens: Controlling Operational $\lambda$

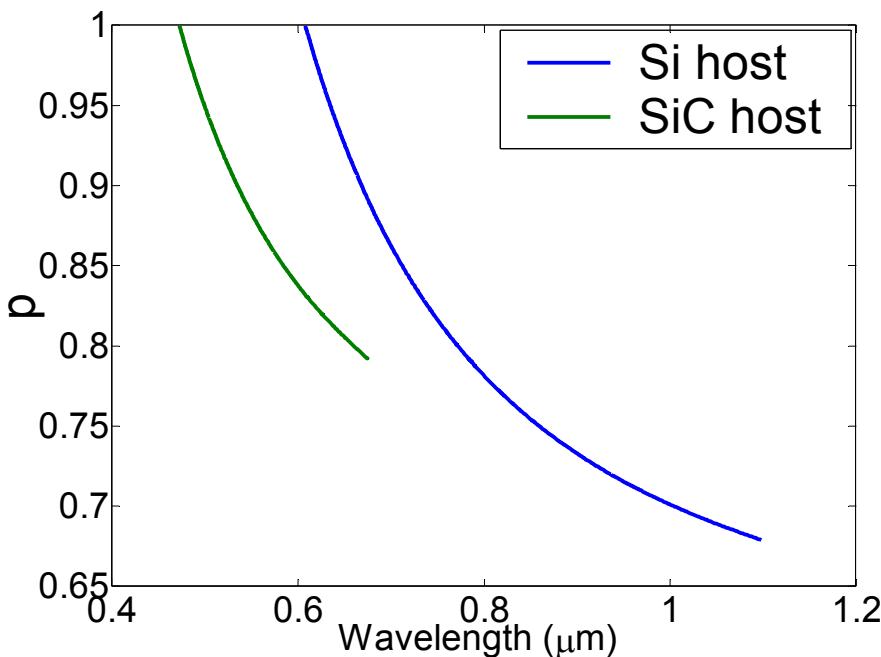
**Operation condition:**  
 $\varepsilon_{\text{film}}(\omega, p) = -\varepsilon_{\text{surroundings}}$

Example: Ag-SiO<sub>2</sub> lens  
( $p=0.85$ )  
with Si or SiC as host

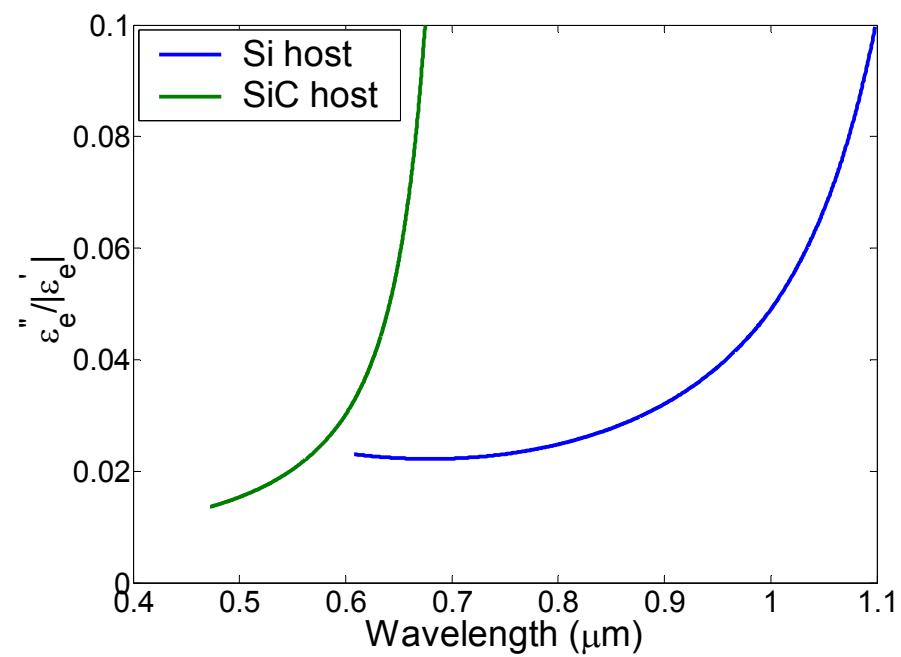


# Performance of Composite NFSL with Si or SiC as Host

The required filling factor for different wavelengths:

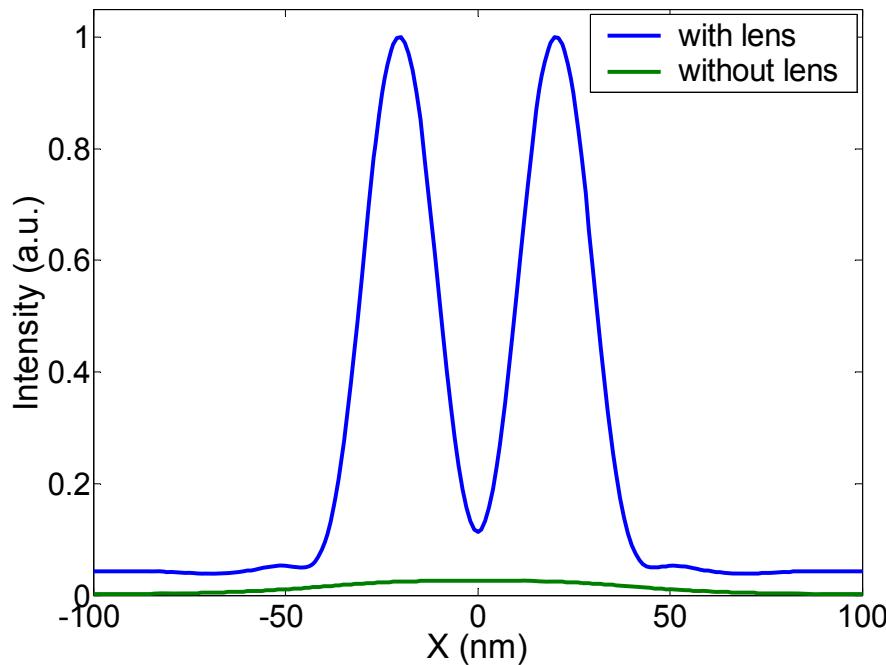


The value  $\varepsilon_e''/|\varepsilon_e'|$  for different wavelengths:



- The upper limit of the operational range is taken such that  $\varepsilon_e''/|\varepsilon_e'| = 0.1$
- The whole possible spectral range is  $[0.47, 0.67] \cup [0.61, 1.10] = [0.47, 1.10] \mu\text{m}$

# Imaging with a Composite Superlens



*Example:*

**Wavelength:**

**632.8nm (He-Ne)**

**Host medium:**

**SiC**

**Lens thickness:**

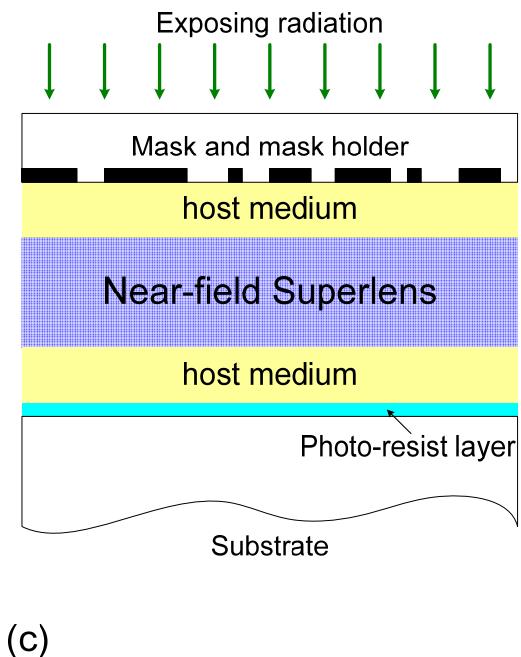
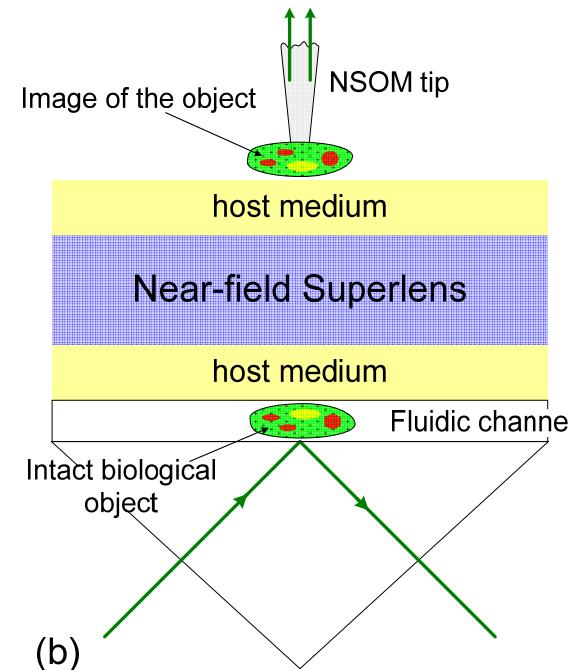
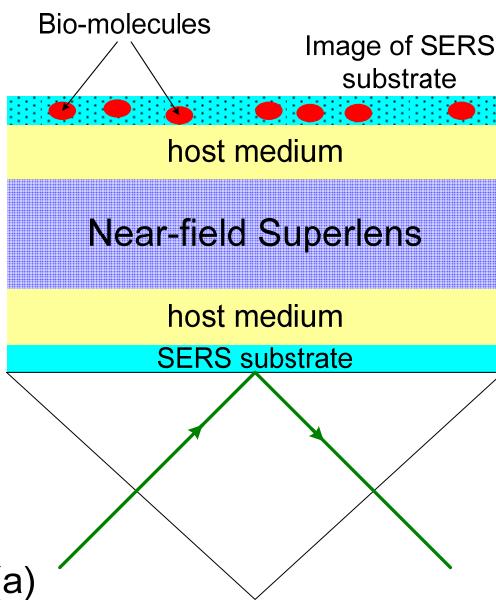
**$p=0.82; \epsilon_h=6.94; \epsilon_e = -6.94+0.31i$**

**Object:**

**$d=20\text{nm}$**

**slit-pair** with 20nm width and 40nm center-to-center separation

# Possible applications of the near-filed superlens



**Remote SERS sensing**

**Non-contact bio-molecule probing**

**Nanoscale lithography**

# Outline

- What are metamaterials?
- Electrical metamaterials
- Magnetic metamaterials
- Negative-index metamaterials
- Perfect lens and superlens
- Optical cloaking - intro

# Optical metamaterials: A route to invisibility?

***Optical metamaterials provide unprecedented control of electromagnetic fields***

***If we can change the path of light at will...***

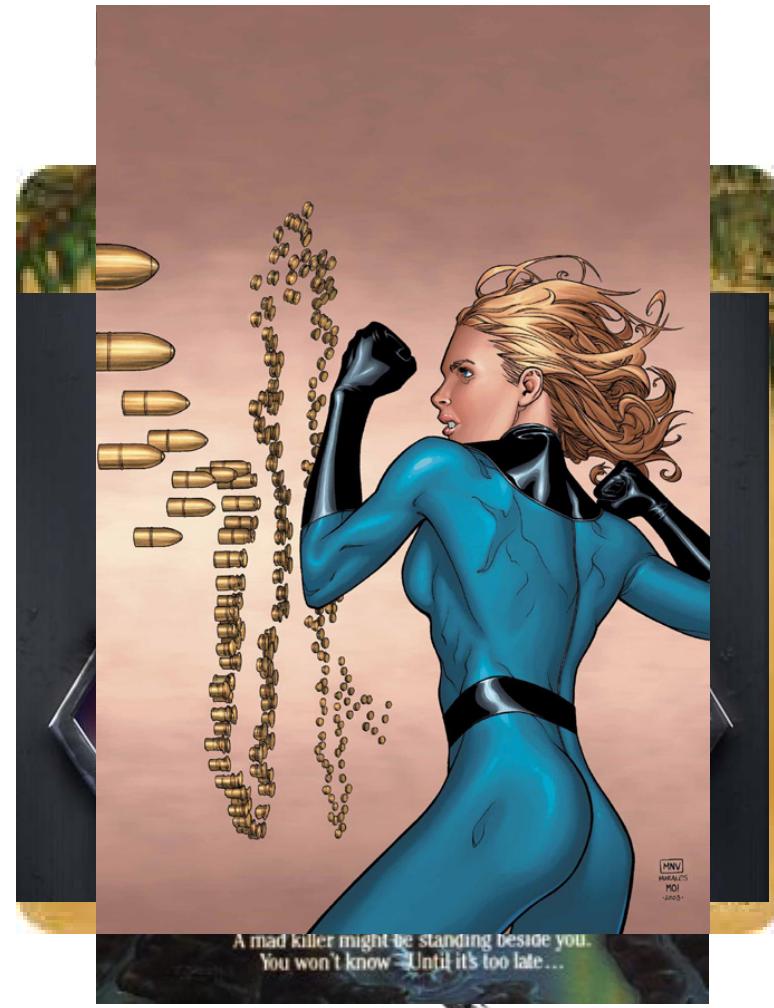
# Invisibility in Mythology, Folklore and Fictions

## Ancient time:

- Perseus' helmet in Greek Mythology
- The ring of Gyges in Plato's *The Republic*
- ...

## Modern time:

- Harry Potter's invisibility cloak
- The stealth shield of NOD in *Command & Conquer*
- *The Invisible Man* by H. Wells
- *The Invisible Woman* by Lee & Kirby
- ...



A mad killer might be standing beside you.  
You won't know... Until it's too late...

# Invisibility in nature, physics and technology

- Natural camouflage
- Black hole
- ...

## Current technologies to achieve invisibility

### ➤ Stealth technique:

Radar cross-section reductions by absorbing paint / non-metallic frame / shape effect...



*F-117 "Nighthawk" Stealth Fighter*



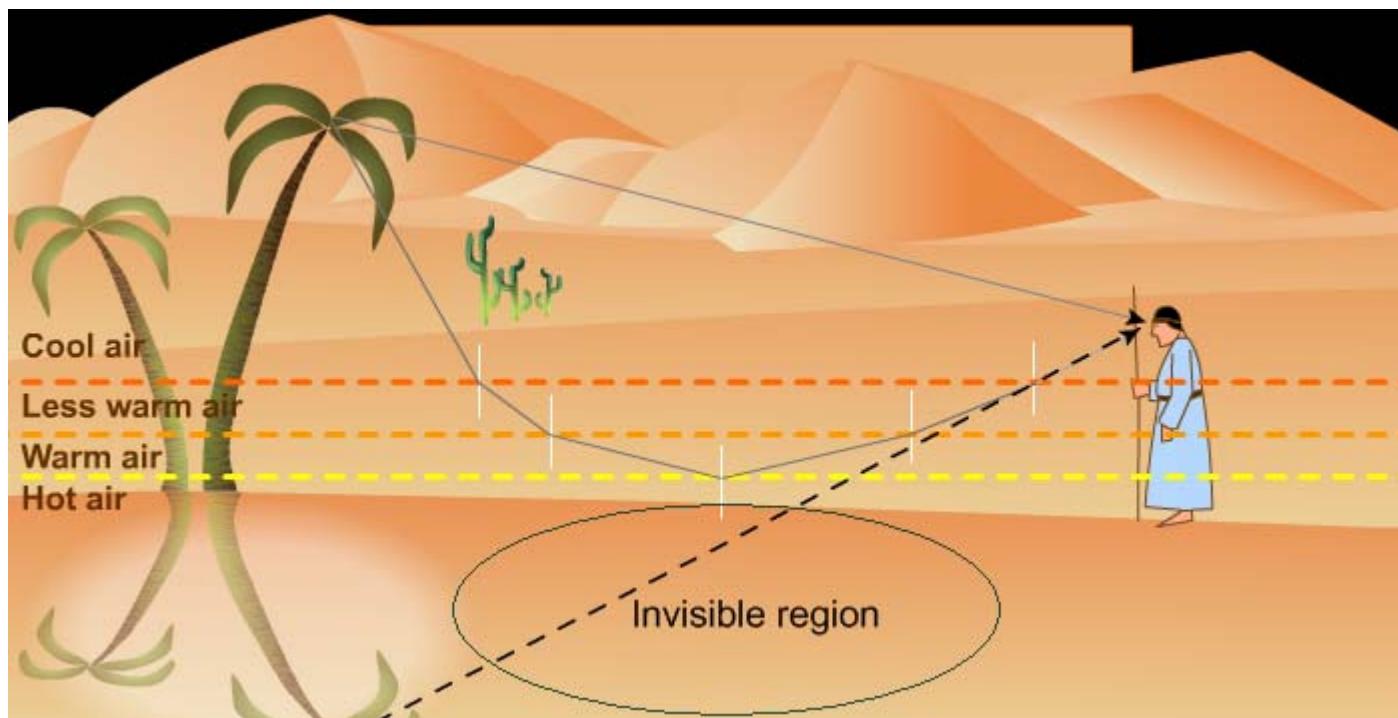
*Optical Camouflage, Tachi Lab, U. of Tokyo, Japan*

# Cloaking ≠ Invisibility

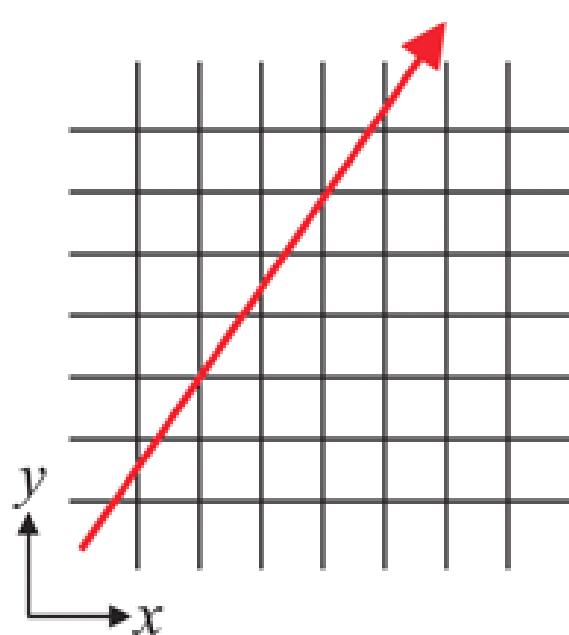
- **Cloaking is more than invisibility or camouflage**
  - **Camouflage: an adaptation to the surrounding environment**
  - **Cloaking: No need to adapt to a particular environment, with the ultimate goal of transparency — no scattering; no shade**
- **Criteria for an ideal cloak**
  - **Macroscopic, no limit to subwavelength size or near field region**
  - **Independent of object to be cloaked**
  - **Minimized absorption and scattering**
  - **Broadband**
  - **...**

# A similarity in Mother Nature

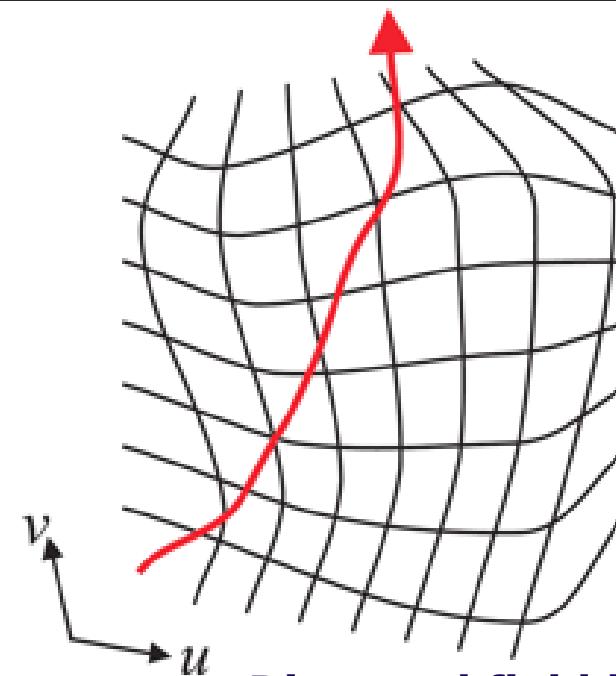
*The bending of light due to the gradient in refractive index in a desert mirage*



# Transformation of Maxwell's equations



**Straight field line in  
Cartesian coordinate**



**Distorted field line in  
distorted coordinate**

**Spatial profile of  $\epsilon$  &  $\mu$  tensors determines the distortion of coordinate**

**Seeking for profile of  $\epsilon$  &  $\mu$  to make light avoid particular region in space — optical cloaking**

*Pendry et al., Science, 2006*

# Cloaking in spherical system

The transformation in spherical system:

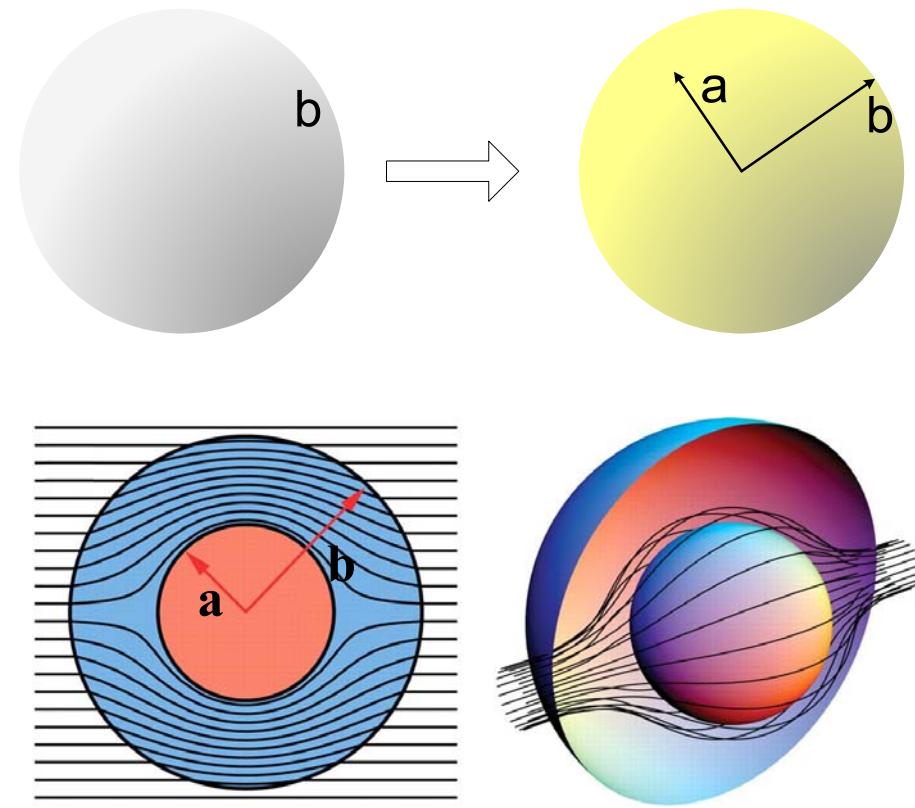
$$0 < r < b \implies a < r' < b$$



$$r' = \frac{b-a}{b}r + a \quad \theta' = \theta \quad \phi' = \phi$$



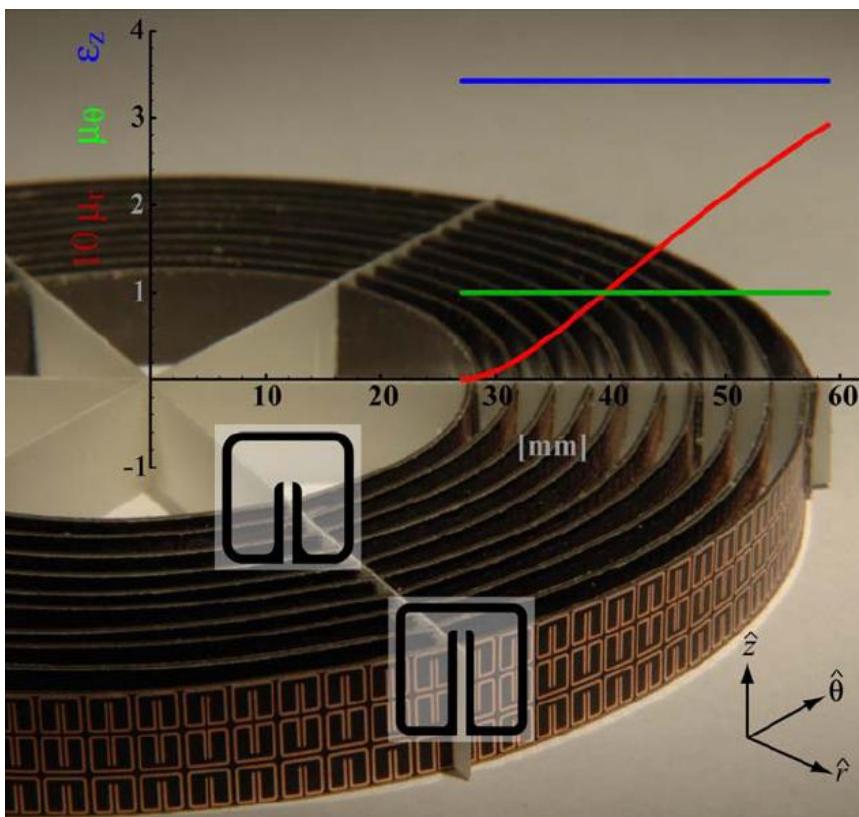
$$\left\{ \begin{array}{l} \epsilon_r = \mu_r = \frac{b}{b-a} \frac{(r-a)^2}{r^2} \\ \epsilon_\theta = \mu_\theta = \frac{b}{b-a} \\ \epsilon_\phi = \mu_\phi = \frac{b}{b-a} \end{array} \right.$$



Pendry et al., Science, 2006

Similar idea was proposed by Leonhardt, Science, 2006

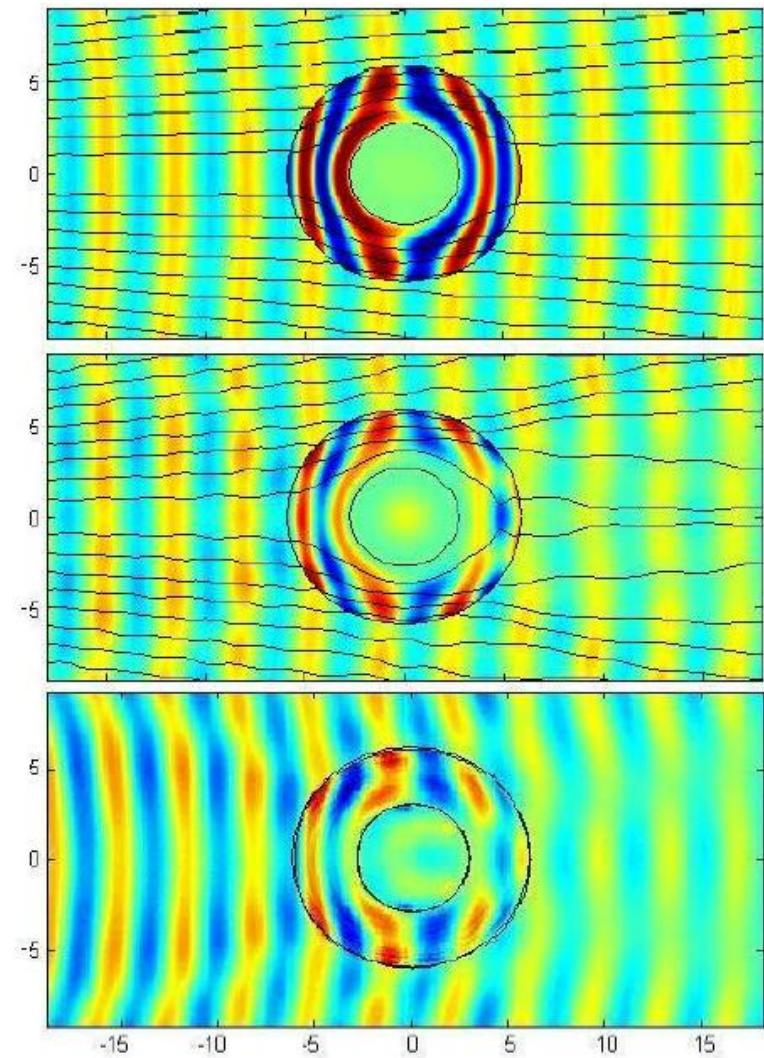
# Experimental demonstration at microwave frequency



Ideal case

Reduced parameter

Experimental data



Schurig et al., Science, 2006

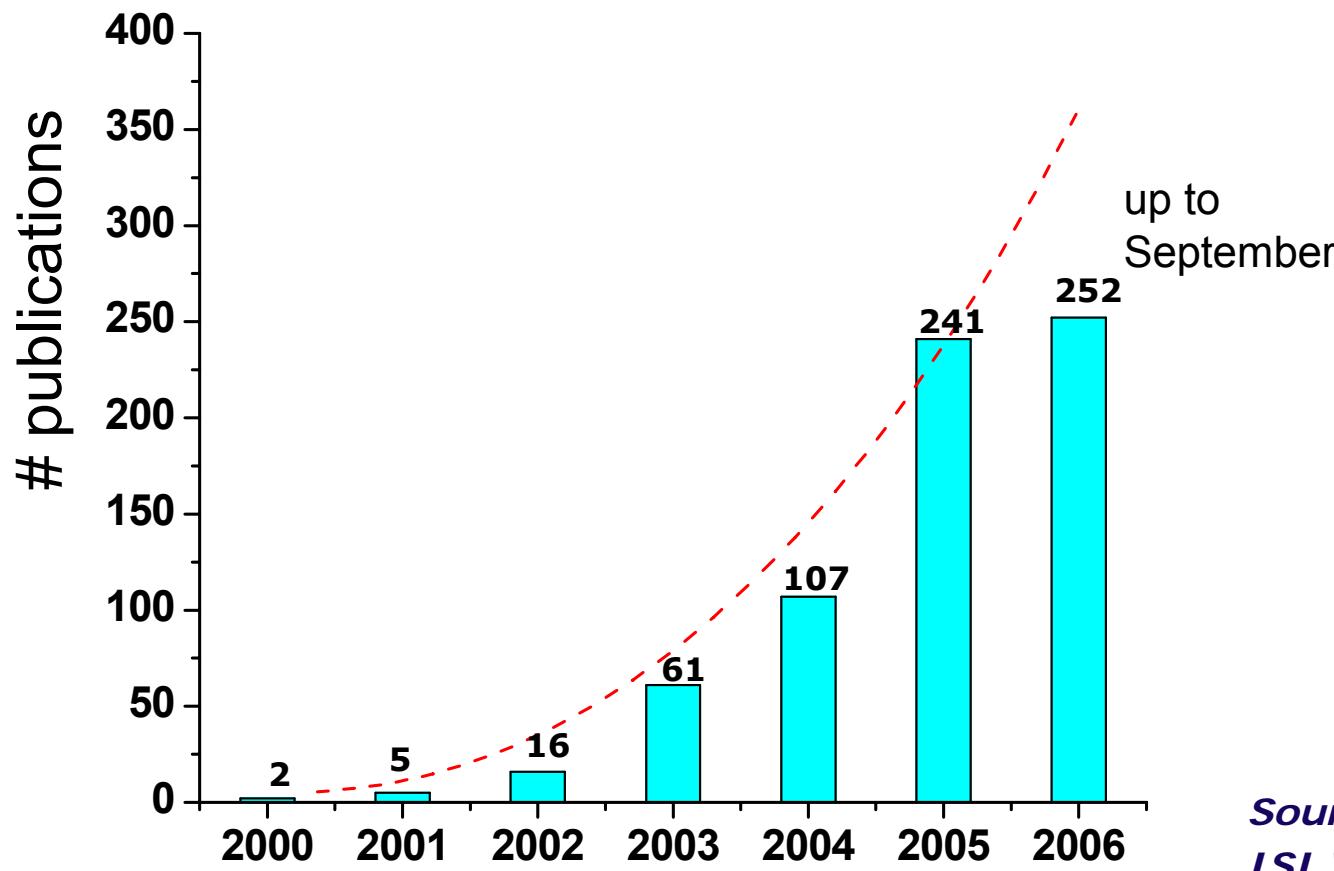
Making objects invisible in the visible

## **Cloaking in the visible range:** **Invisibility in the visible?**

**work is in progress....stay tuned**

# Increasingly keen interest to the topic

## Journal articles with “metamaterial” in titles, abstracts or keywords



Source:  
ISI Web of Science

# Take-Home Messages

- NIMs with  $\mu < 0$  and  $n < 0$  in the visible and in the optical range
  - > Metamaterials make light “two-handed”
- Superlens and tunable composite NF superlens
- Major problem of losses can be addressed by using gain materials
- Novel NLO in NIMs
- “ $n < 0$ -physics” is fun with lots of potential applications:  
**Nanoscale imaging**  
**Photolithography**  
**Sensing**  
**Nano-Photonics (sources, waveguides, switches, etc.)**  
**Cloaking**