

# Metamaterials: Giving Light the Second Hand

**Vladimir M. Shalaev**

School of Electrical & Computer Engineering  
Purdue University, USA

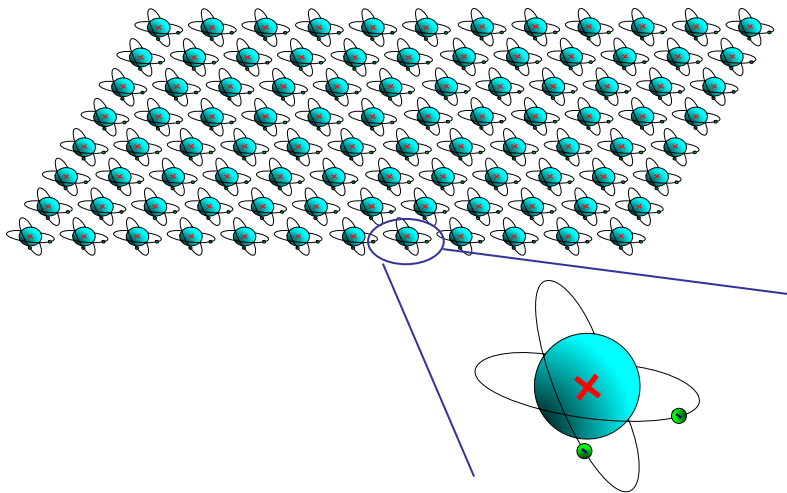
# Outline

- What are metamaterials?
- Electrical metamaterials
- Magnetic metamaterials
- Negative-index metamaterials
- Perfect lens and superlens
- Optical cloaking - intro

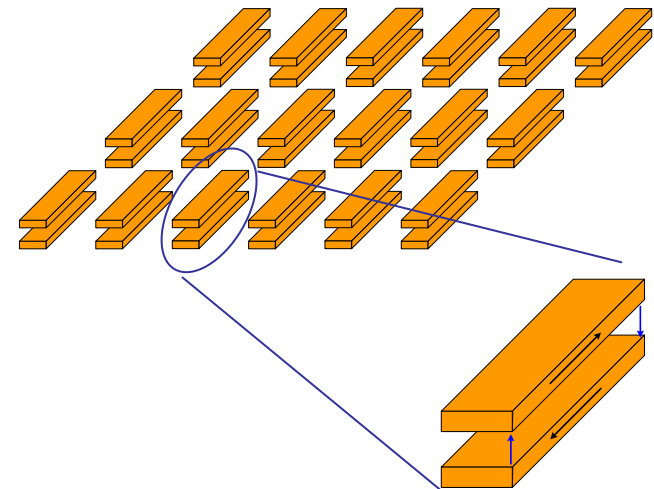
# What is a metamaterial?

**Metamaterials are engineered composites tailored for specific electromagnetic properties that are not found in nature and not observed in the constituent materials**

$\mu\epsilon\tau\alpha$  = meta = beyond (Greek)

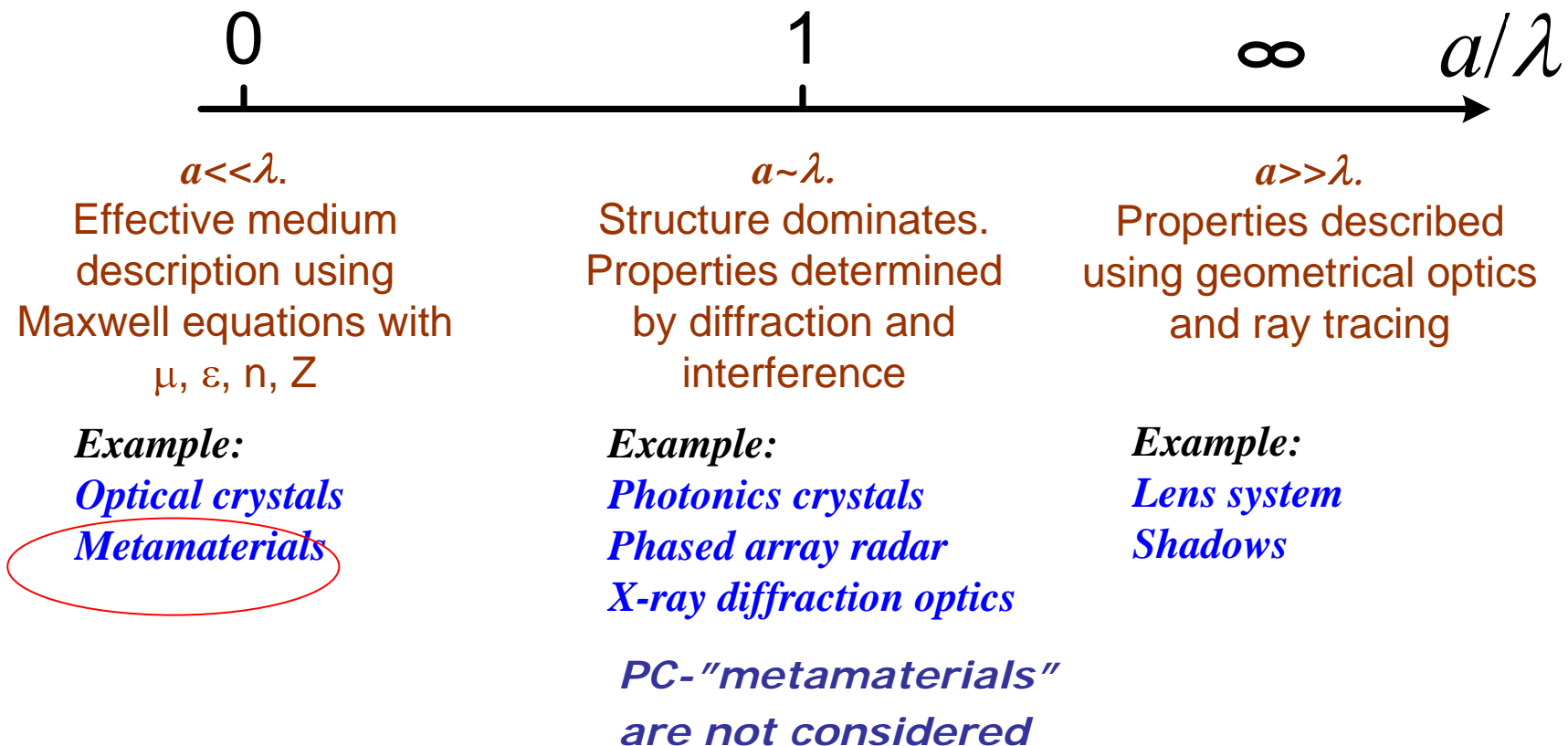


**A natural material with its atoms**



**A metamaterial with artificially structured "atoms"**

# Electromagnetic properties v.s. characteristic sizes



# Natural Crystals



... have lattice constants much smaller than light wavelengths:  $a \ll \lambda$

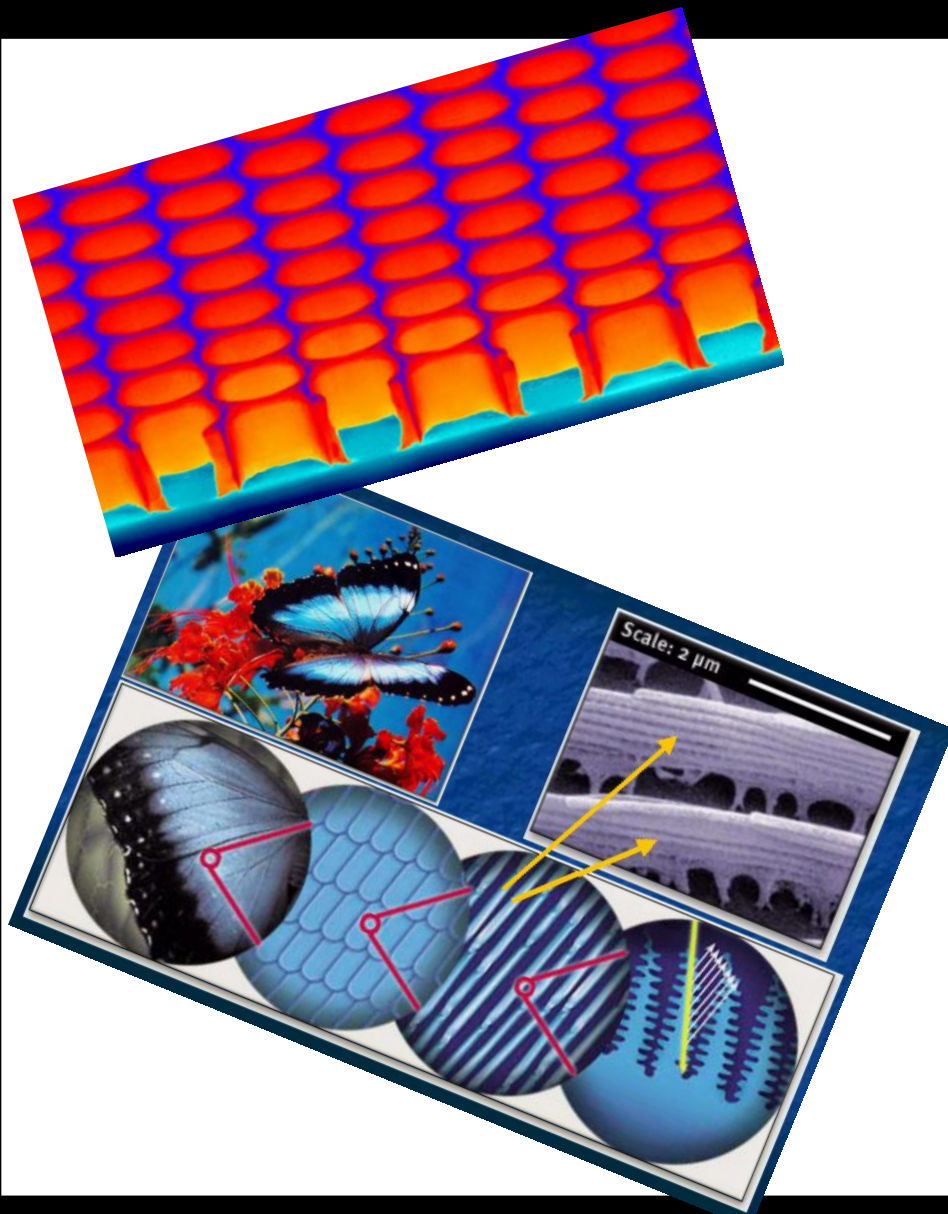
... are treated as homogeneous media with parameters  $\epsilon, \mu, n, Z$  (tensors in anisotropic crystals)

... have a positive refractive index:  $n > 1$

... show no magnetic response at optical wavelengths:  $\mu = 1$



# Photonic crystals



... have lattice constants comparable to light wavelengths:  $a \sim \lambda$

... can be artificial or natural

... have properties governed by the diffraction of the periodic structures

... may exhibit a bandgap for photons

... typically are *not* well described using effective parameters  $\epsilon$ ,  $\mu$ ,  $n$ ,  $Z$

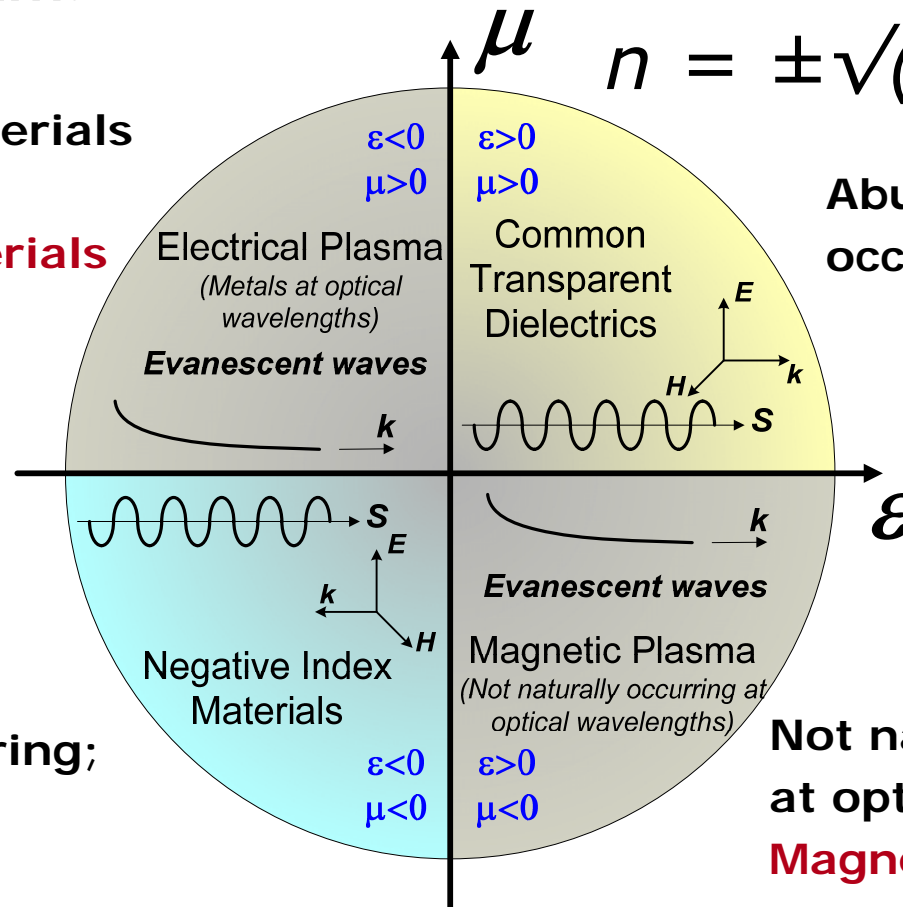
... often behave like but they are *not* true metamaterials

# Why need metamaterials?

$\epsilon, \mu$  diagram:

$$E, H \sim \exp[in(\omega/c)z]$$
$$n = \pm\sqrt{(\epsilon\mu)}$$

Limited natural materials  
like noble metals;  
**Electrical metamaterials**



Abundant naturally-  
occurring materials

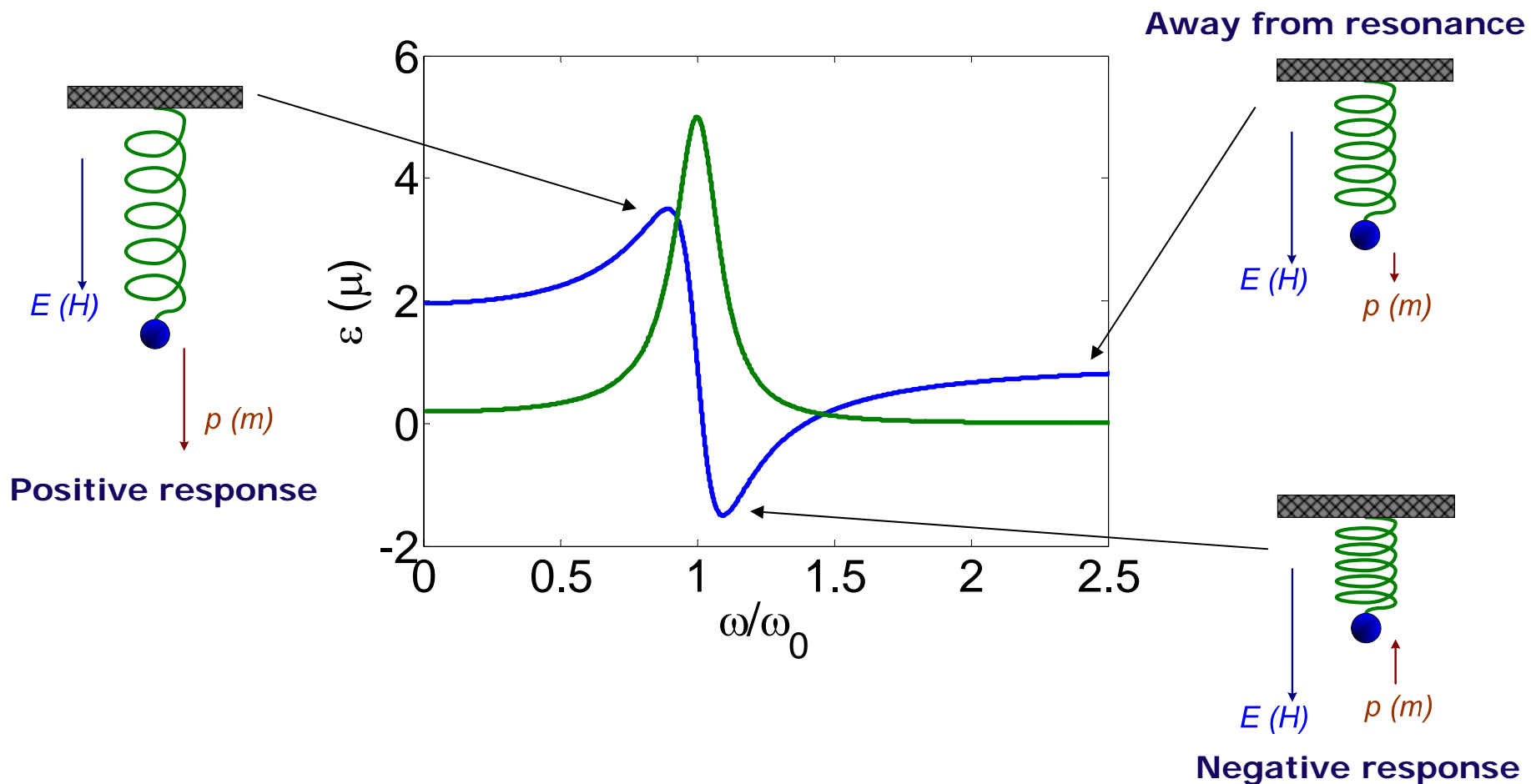
Not naturally occurring;  
**Negative-index  
metamaterials**

Not naturally occurring  
at optical frequencies;  
**Magnetic metamaterials**



# General properties of electromagnetic resonances

## *Resonance described by Lorentz line shape*





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# Drude model for noble metal: negative $\epsilon$ in nature

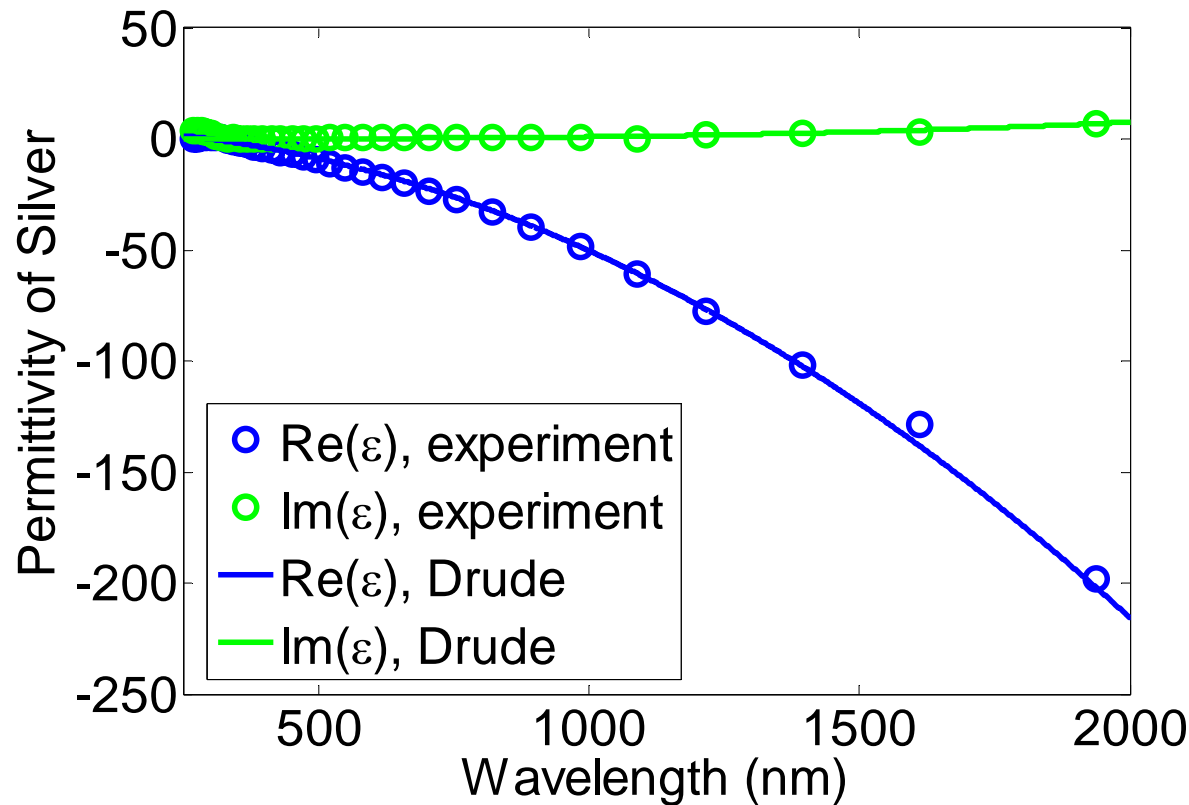
Drude model for permittivity:

$$\epsilon(\omega) = \epsilon_0 - \frac{\omega_p^2}{\omega(\omega + i\Gamma)}$$

**Silver parameters:**  $\epsilon_0 = 5.0$

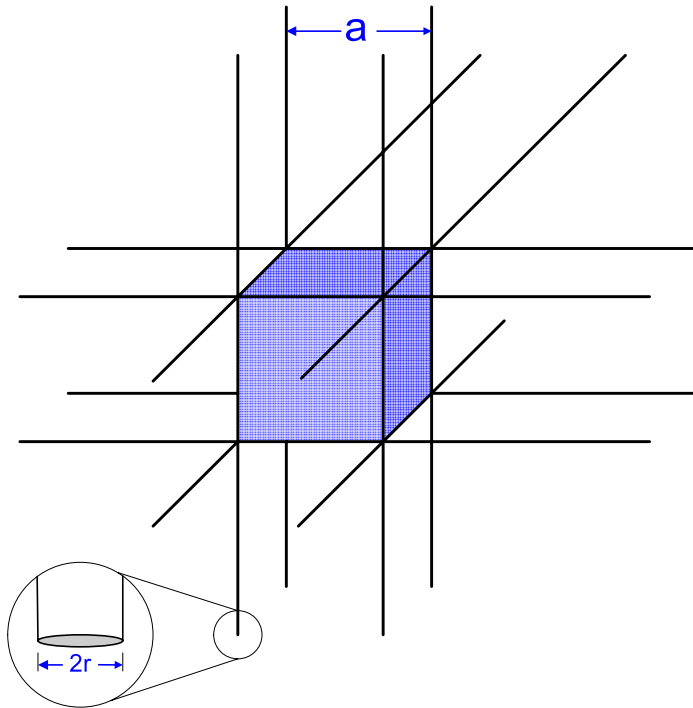
$$\omega_p = 9.216 \text{ eV}$$

$$\Gamma = 0.0212 \text{ eV}$$



Experimental data from Johnson & Christy, PRB, 1972

# Example of electrical metamaterials: *metal wires arrays*



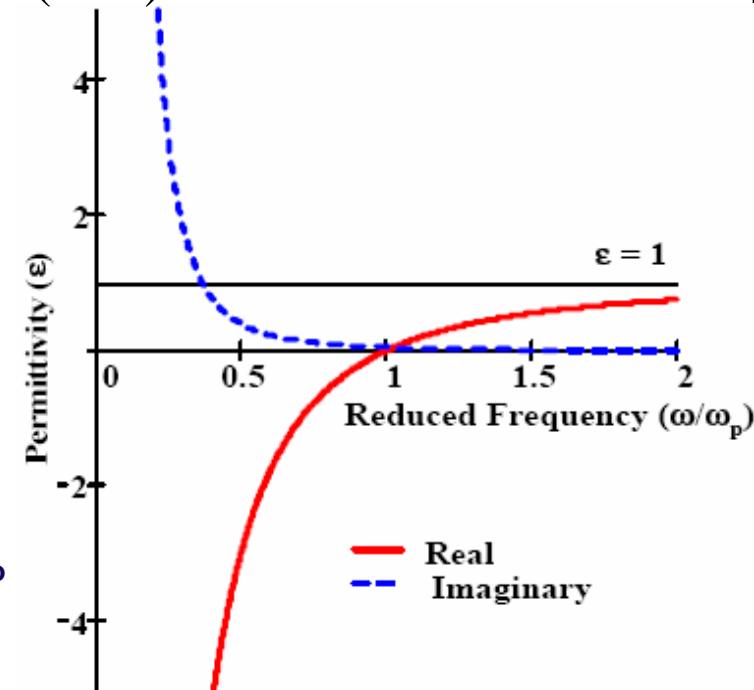
A periodic array of thin metal wires with  $r \ll a \ll \lambda$  acts as a low frequency plasma

The effective  $\epsilon$  is described with modified  $\omega_p$

Plasma frequency depends on geometry rather than on material properties

$$\epsilon = \epsilon' + i\epsilon'' = 1 - \frac{\omega_p^2}{\omega(\omega + i\epsilon_0 a^2 \omega_p^2 / \pi r^2 \sigma)}$$

$$\omega_p^2 = \frac{2\pi c^2}{a^2 \ln(a/r)}$$



Pendry, PRL (1996)

# Random electrical metamaterials

## *Self-similar structures in nature*

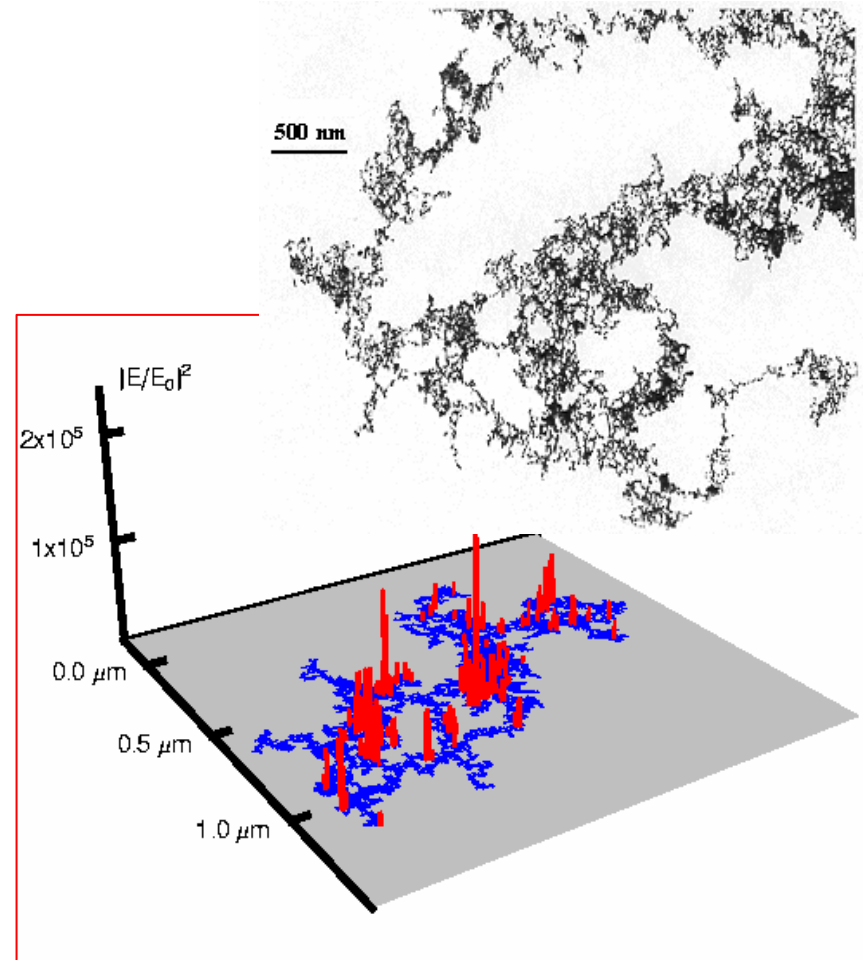


*Fern leaves*



*Colorado river delta, National Geographic, Oct. 05*

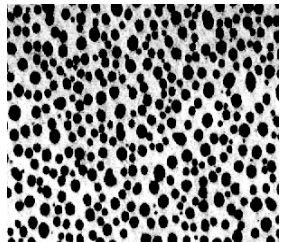
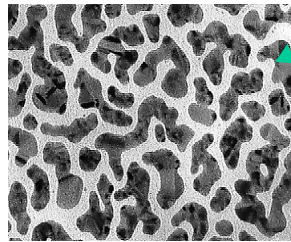
## *"Hot-spots" in fractals*



**Shalaev**, Nonlinear Optics of Random Media, Springer, 2000

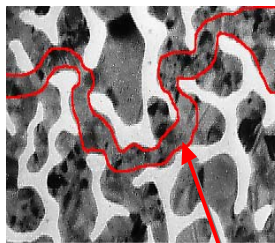
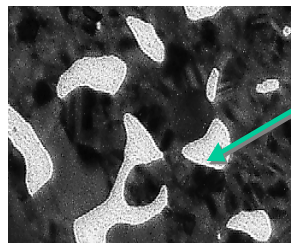
# Giant local field enhancement in percolating films

TEM:

Equivalent Mass thickness  $\sim 20 \text{ \AA}$ 45  $\text{\AA}$ 

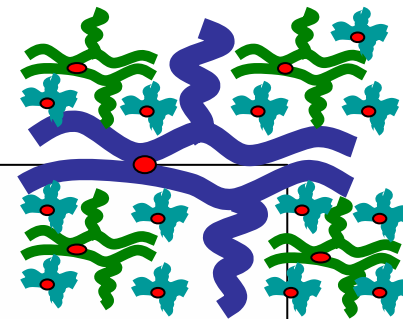
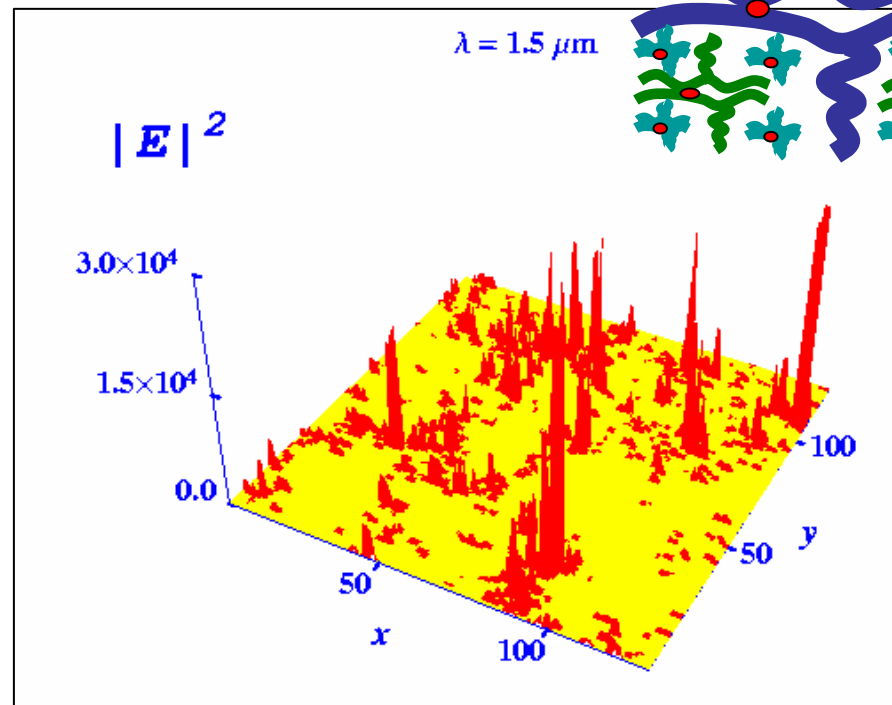
gold

50 nm

65  $\text{\AA}$  $\Rightarrow$  Percolation threshold  $p = p_c$ 106  $\text{\AA}$ 

glass

$$L \sim l \quad C \sim l \quad \omega_r = \frac{1}{\sqrt{LC}} \propto \frac{1}{l}$$

 $\lambda = 1.5 \mu\text{m}$ 

Sarychev and Shalaev,  
Phys. Reports, 2000

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# Optical magnetic response: *a missing hand of light in nature*

*Natural magnetic responses (Ferromagnetic / Ferrimagnetic / Antiferromagnetic resonances) typically occur at lower than a few GHz*

*There are no free magnetic monopoles; therefore no magnetic plasma*

*All conventional optics (lenses, mirrors, crystals, ...) essentially have nothing to do with magnetic fields*

*Magnetic coupling to an atom:  $\sim \mu_B = e\hbar / 2m_e c = \alpha e a_0$  (Bohr magneton)*

*Electric coupling to an atom:  $\sim e a_0$*

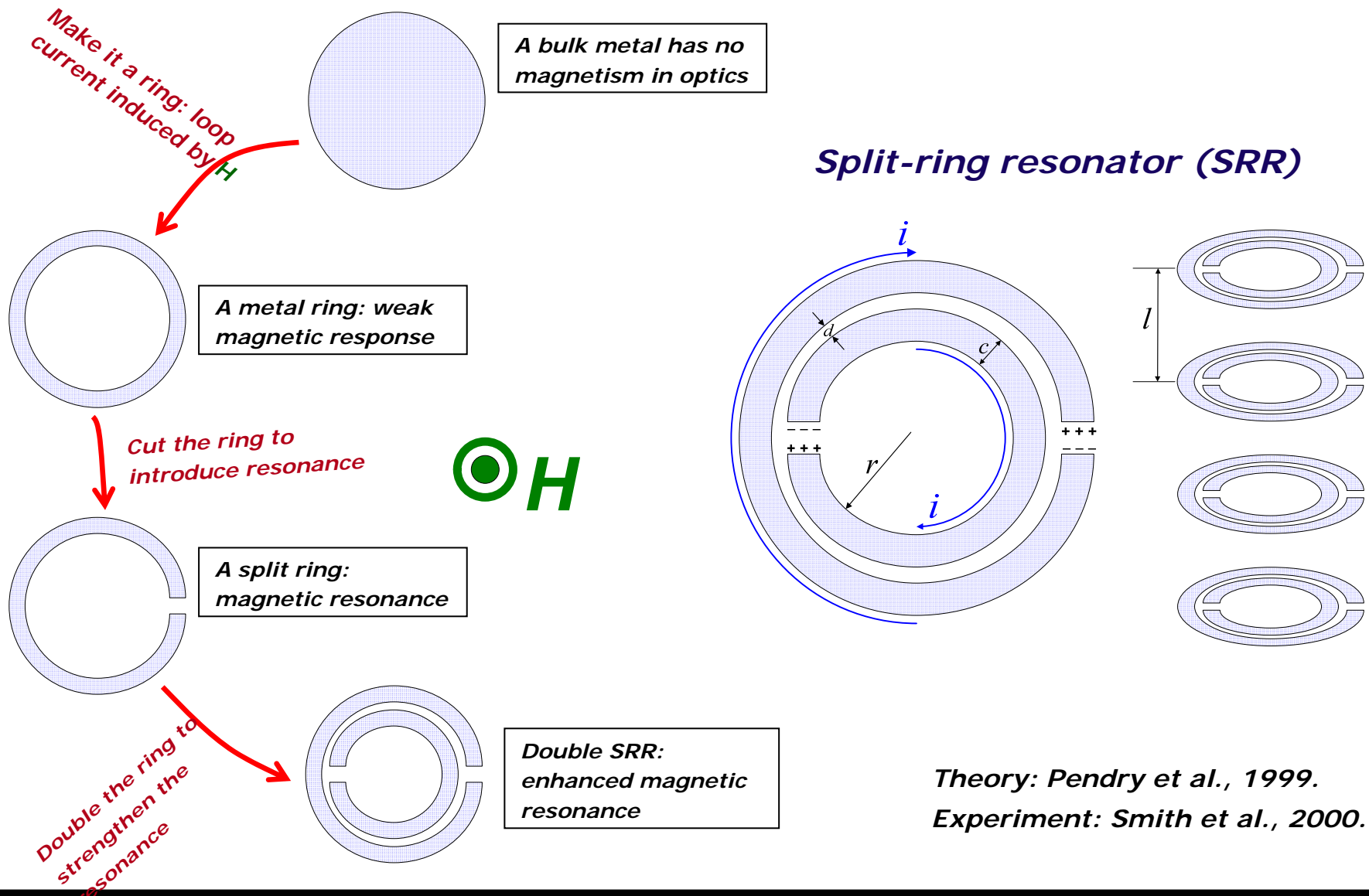
*Magnetic effect / electric effect  $\approx \alpha^2 \approx (1/137)^2$*

**"... the magnetic permeability  $\mu(\omega)$  ceases to have any physical meaning at relatively low frequencies...there is certainly no meaning in using the magnetic susceptibility from optical frequencies onwards, and in discussion of such phenomena we must put  $\mu=1$ ."**

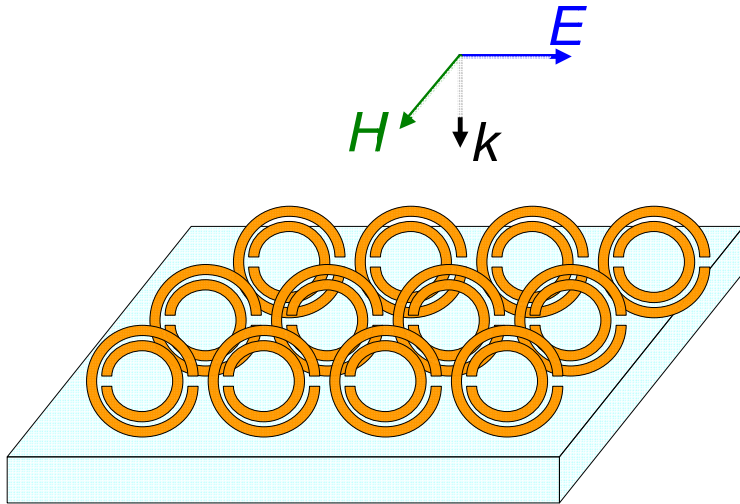
***Landau and Lifshitz, ECM, Chapter 79.***



# SRR: the first magnetic metamaterials



# SRR towards high frequency



*The standard configuration of SRR*

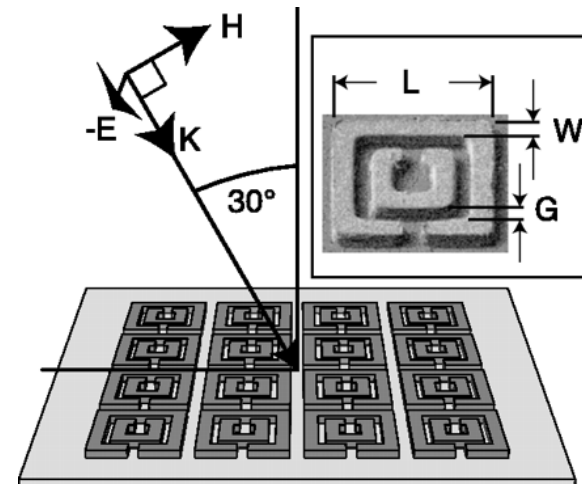
*Fabrication for microwave: Printed circuit board (PCB)*

*Fabrication for optics: not feasible by lithography (a layer by layer fashion)*

*Attempt #1 of SRR towards optics:  
Planar SRR and inclined incidence*

*A small portion of  $H$  penetrates  
the SRRs*

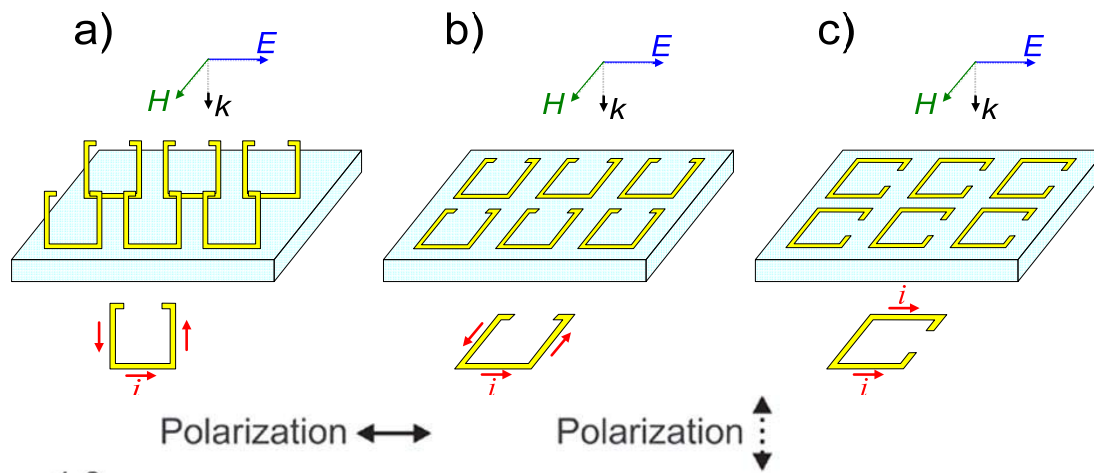
*Yen et al., 1 THz magnetism, 2004*



# Magnetic effect from electrical field

## Attempt #2 of SRR towards optics:

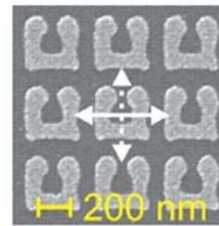
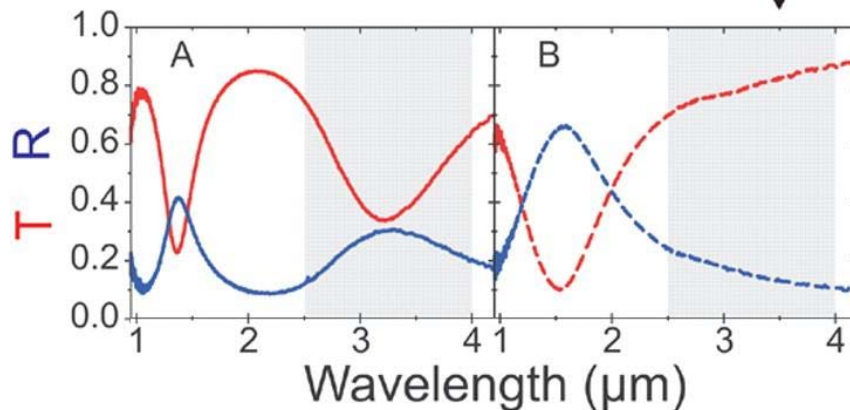
### Electric coupling to magnetic resonance in planar SRR at normal incidence



(a) Standard SRR with  $H \perp \text{SRR}$   
Pendry et al., proposed in 1999

(b) Electric coupling to magnetic  
resonance in plane SRR  
Soukoulis et al., proposed in 2004

(c) No magnetic resonance



*The magnetic response is relatively weak due to indirect excitation.*

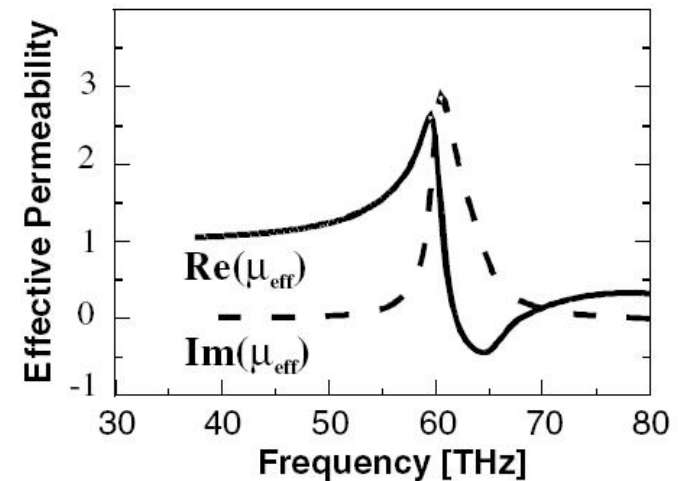
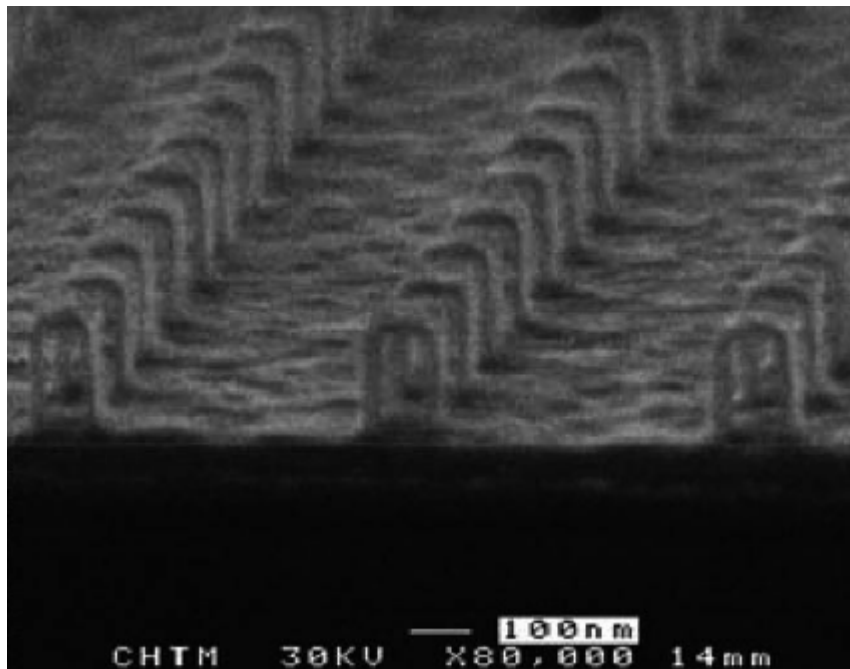
Linden et al., ~ 100 THz magnetism, 2004

Enkrich et al., ~ 200 THz magnetism, 2005 (u-shaped)

# "Magnetic staples"

*Attempt #3 of SRR towards optics:*

*Advanced technique to fabricate "standing" structure similar to SRR (H-excited)*



*Structures stand on substrate.*

*Zhang et al., U. New Mexico, 65 THz magnetism, 2005*

# Limits of size scaling in SRRs

*Direct scaling-down the SRR dimensions doesn't help much...*

*Loss in metal gives kinetic inductance*

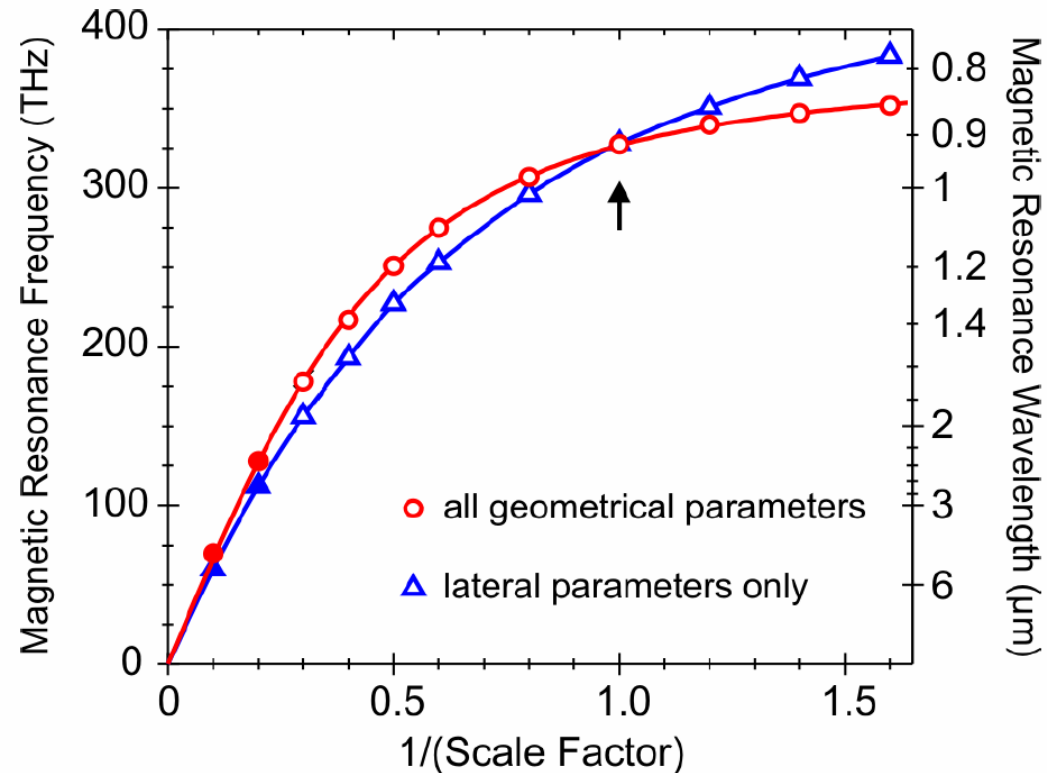
$$L_{\text{coil}} \propto \text{size} \quad L_{\text{kinetic}} \propto \frac{1}{\text{size}}$$

$$L_{\text{total}} = L_{\text{coil}} + L_{\text{kinetic}}$$

$$C_{\text{total}} \propto \text{size}$$

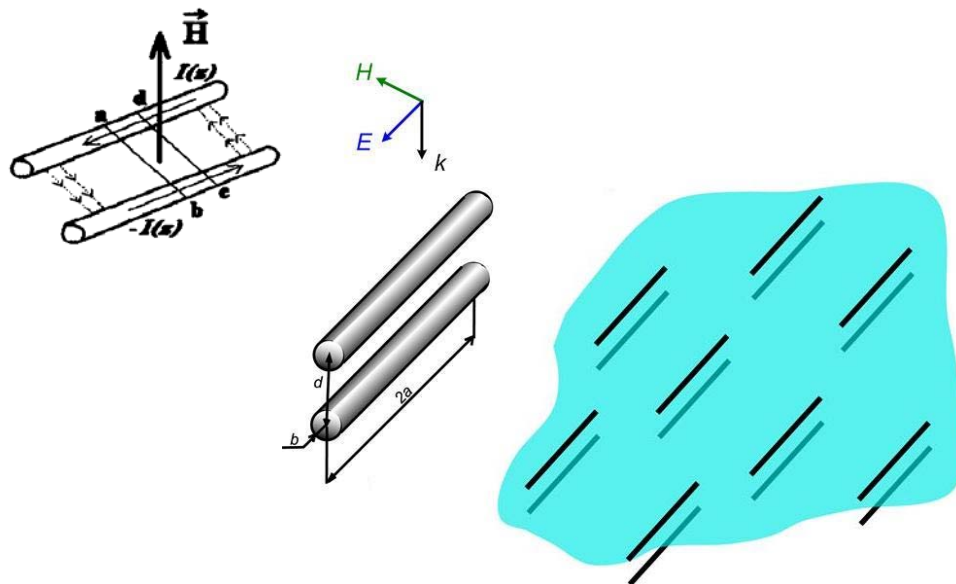
$$\omega_{\text{res}} \propto \frac{1}{\sqrt{L_{\text{total}} \times C_{\text{total}}}} = \frac{1}{\sqrt{(A \cdot \text{size} + B / \text{size}) \cdot (C \cdot \text{size})}} \propto \frac{1}{\sqrt{\text{size}^2 + \text{const.}}}$$

*Saturation*



*Klein, et al., OL, 2006*

# Design of optical magnetic metamaterial *excited by $H$ field directly*



## **Paired nanorods:**

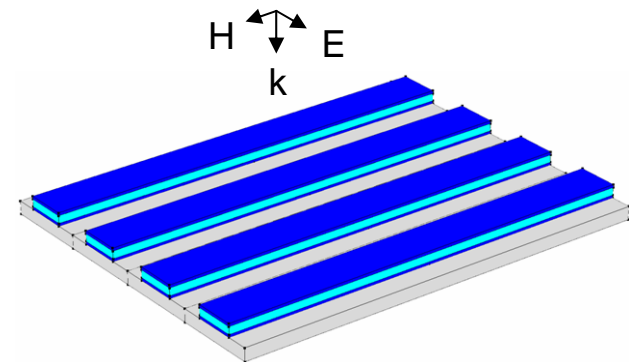
*Currents in a pair of rods excited by an external magnetic field  $H$ . The displacement currents "closes" the loop in the rods.*

*Proposed by Podolskiy, Sarychev, & Shalaev, 2002*

## **Paired nano-strips:**

*The two-dimensional version of the nanorods system.*

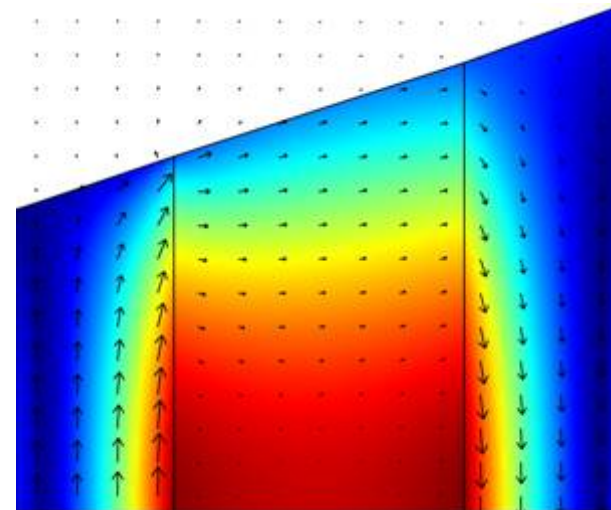
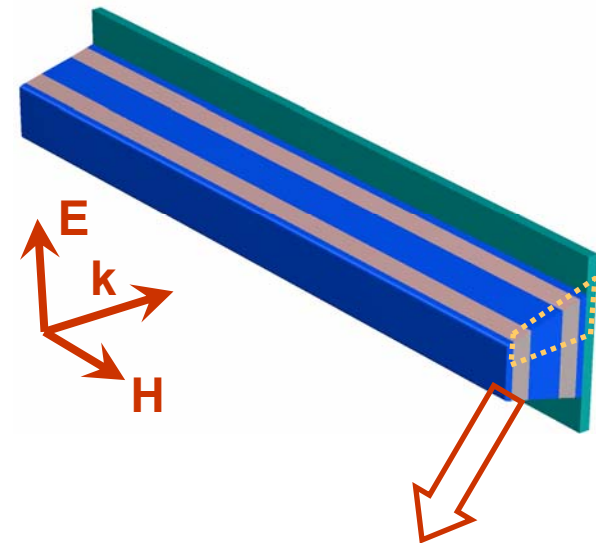
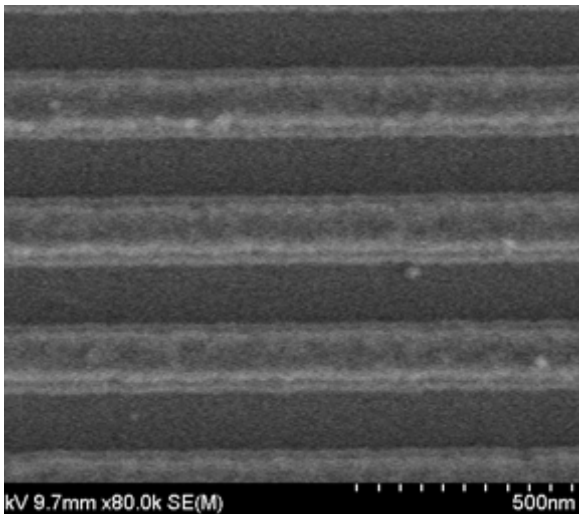
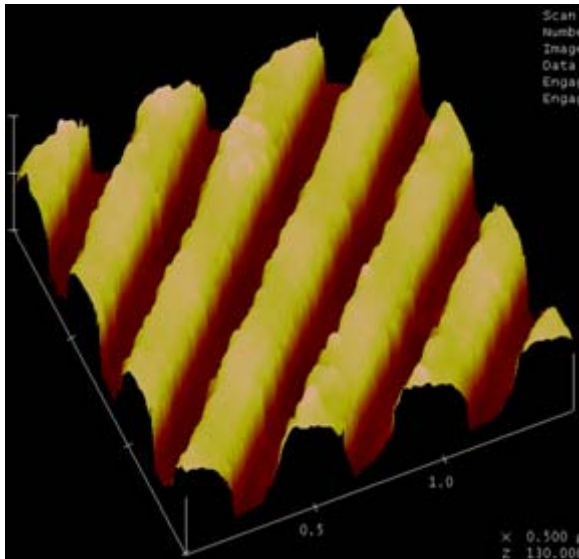
*Proposed by Kildishev, et al., Purdue Group, 2005*



*Note: these two systems can support both electrical resonance and magnetic resonance, which may result in a negative  $n$  with relatively low magnitude (but there are some difficulties)*

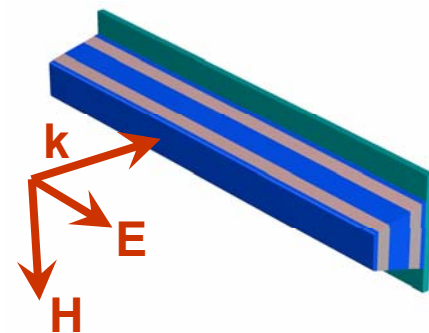
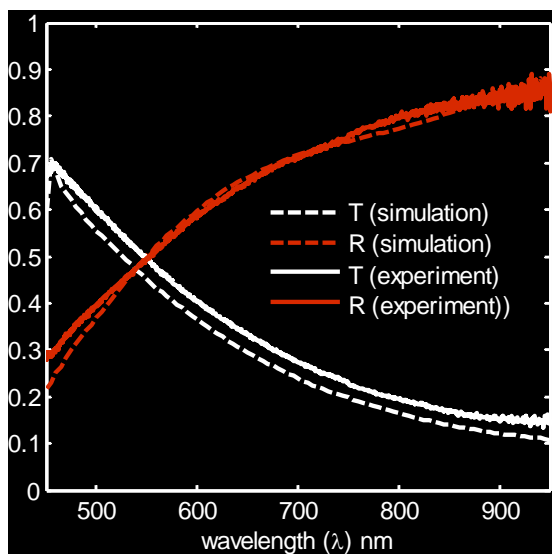
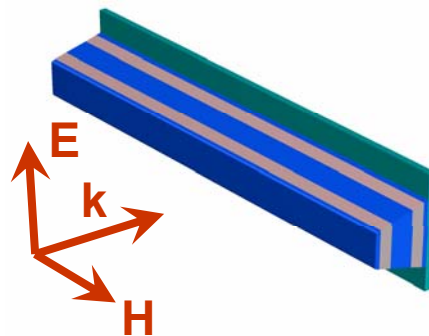
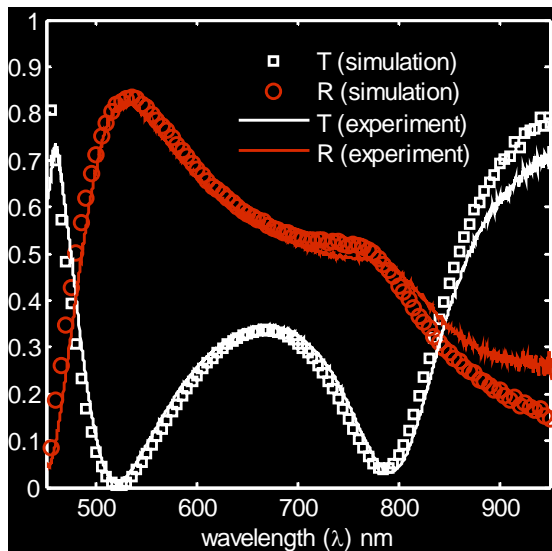


# Paired nano-strips: a close look

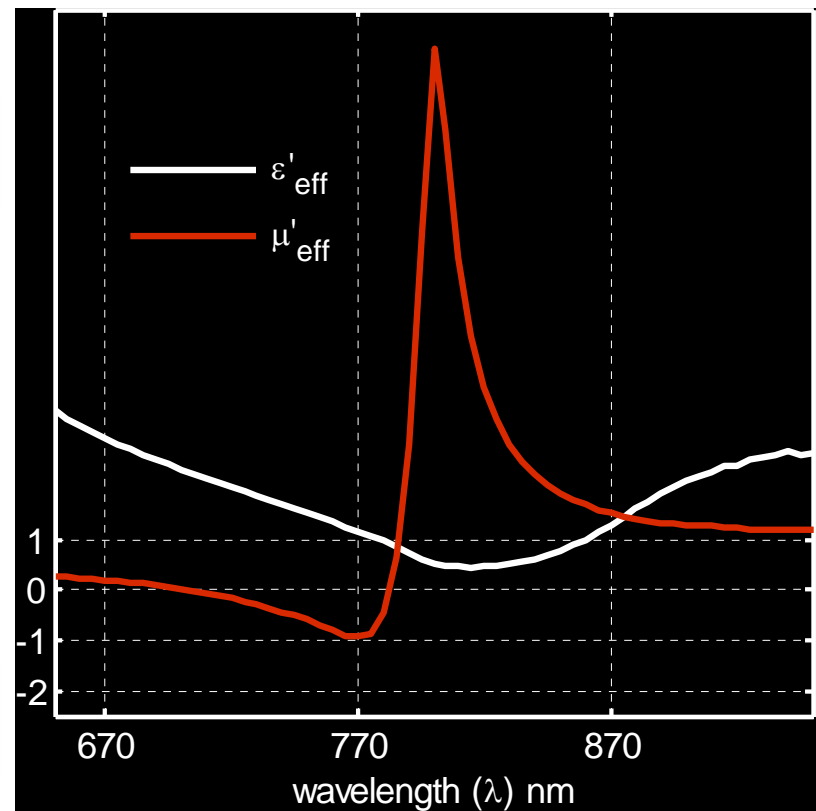




# Negative magnetism in the visible



$$\mu' = -1, \lambda = 770 \text{ nm}$$



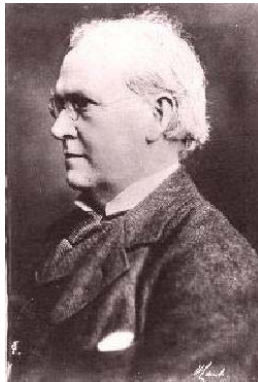
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# Negative refractive index: A historical review



*Sir Arthur Schuster*



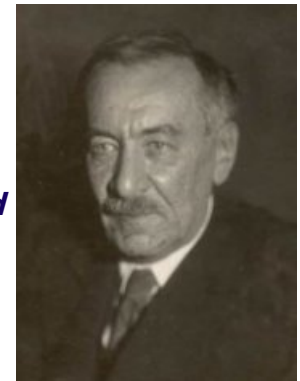
*Sir Horace Lamb*

*... energy can be carried forward at the group velocity but in a direction that is anti-parallel to the phase velocity...*

*Schuster, 1904*

*Negative refraction and backward propagation of waves*

*Mandel'stam, 1945*



*L. I. Mandel'stam*



*V. G. Veselago*

*Left-handed materials: the electrodynamics of substances with simultaneously negative values of  $\epsilon$  and  $\mu$*

*Veselago, 1968*

*Pendry, the one who whipped up the recent boom of NIM researches*

*Low frequency plasmonic structure (1996)*

*High frequency magnetic structure (1999)*

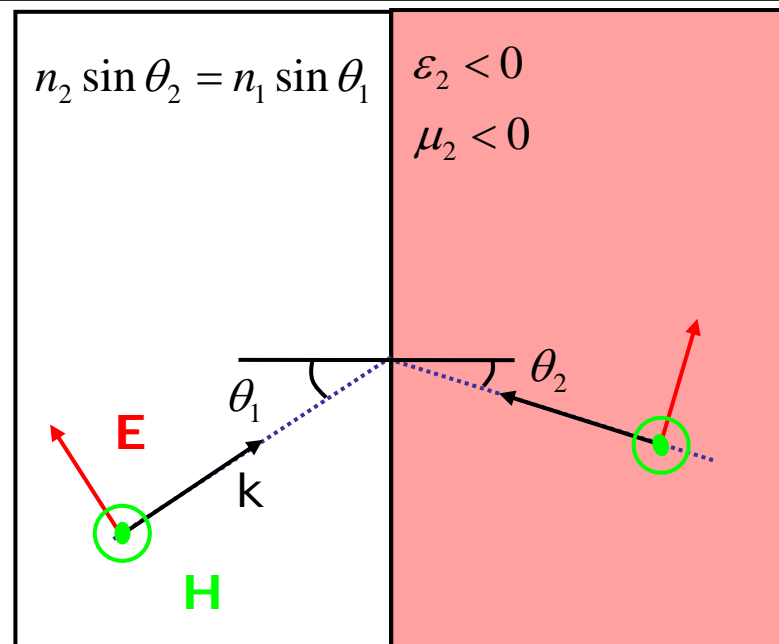
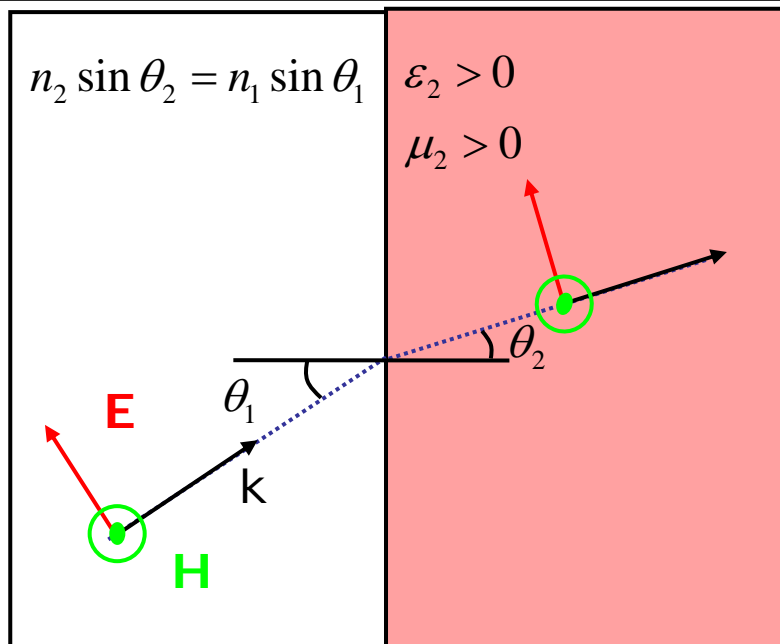
*Perfect lens (2000)*

*Optical cloaking (2006)*



*Sir John Pendry*

# Refraction in Right and Left-Handed Media



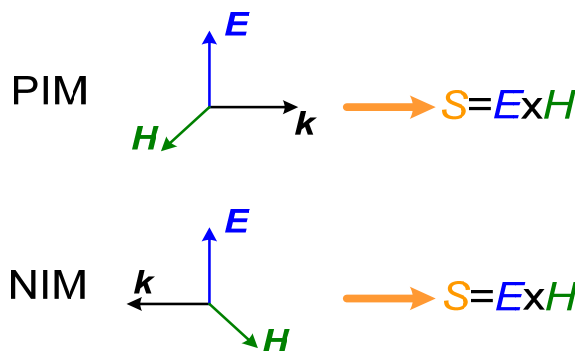
**Energy propagation**

$$\mathbf{S} = \frac{c}{4\pi} [\mathbf{E} \times \mathbf{H}]$$

**Energy propagation**

$$\begin{cases} \vec{k} \times \vec{H} = -\omega \epsilon_0 \epsilon \vec{E} \\ \vec{k} \times \vec{E} = \omega \mu_0 \mu \vec{H} \end{cases}$$

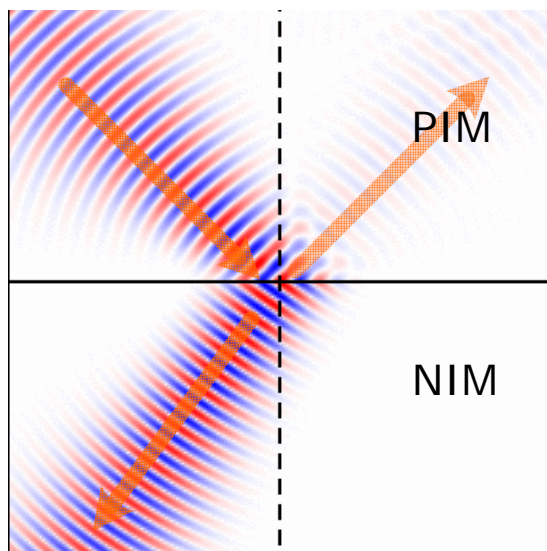
$$n = \pm \sqrt{\epsilon \mu}$$



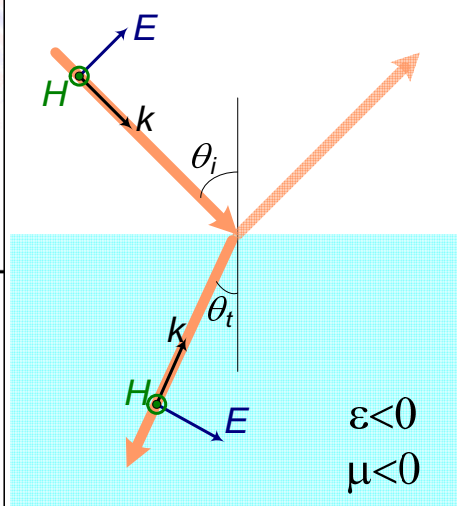
- Inverse Doppler effect
- Inverse Snell law
- Inverse Cerenkov effect
- Inverse Light pressure
- ...

# Physics of Negative Index Materials

## Phase reversal in NIMs



## Dispersion and dissipation in NIMs



Energy density:

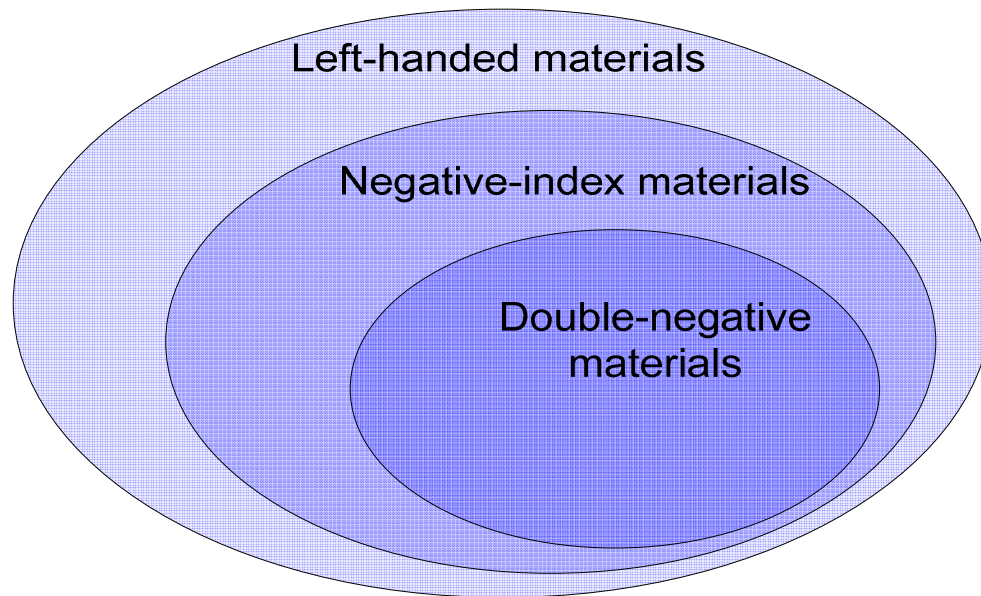
$$W = \frac{\partial(\epsilon\omega)}{\partial\omega} E^2 + \frac{\partial(\mu\omega)}{\partial\omega} H^2$$

Therefore

$$\frac{\partial(\epsilon\omega)}{\partial\omega} > 0; \quad \frac{\partial(\mu\omega)}{\partial\omega} > 0$$

**NIM is always dispersive and dissipative**

# Terminology — Similar names



$$\varepsilon = \varepsilon' + i\varepsilon'' \quad \mu = \mu' + i\mu''$$

$$n = n' + in'' = \sqrt{\varepsilon\mu}$$

$$\varepsilon'|\mu| + \mu'|\varepsilon| < 0 \longrightarrow n' < 0$$

**Left-handed material (LHM):** the anti-parallel relation between  $k$  and  $S$ . This may happen in photonic crystals or waveguide structures where the properties are typically not described by  $n$  (also could be confused with “chiral” optics)

**Negative-index material (NIM):** the negative value of refractive index.

**Double-negative material (DNM):** both  $\varepsilon'$  and  $\mu'$  are negative. This is the sufficient (not necessary) condition for a NIM.