# **Lecture 1: Light Interaction with Matter**



#### Maxwell's Equations

	Divergence equations	Curl equations
$\nabla \cdot \boldsymbol{D} = \boldsymbol{\rho}_f$		$\nabla \times \boldsymbol{E} = -\frac{\partial \boldsymbol{B}}{\partial \boldsymbol{t}}$
	$\nabla \cdot \boldsymbol{B} = 0$	$ abla  imes \boldsymbol{H} = \frac{\partial \boldsymbol{D}}{\partial \boldsymbol{t}} + \boldsymbol{J}$

- D = Electric flux density
- E = Electric field vector
- $\rho$  = charge density

- B = Magnetic flux density
- H = Magnetic field vector
- J = current density

## **Constitutive Relations**

Constitutive relations relate flux density to polarization of a medium



$$D = \varepsilon_0 E + P(E) = \varepsilon E$$
 When *P* is proportional to *E*

Electric polarization vector..... Material dependent!!

 $\mathcal{E}_0$  = Dielectric constant of vacuum = 8.85 · 10<sup>-12</sup> C<sup>2</sup>N<sup>-1</sup>m<sup>-2</sup> [F/m]

 $\mathcal{E}$  = Material dependent dielectric constant

Total electric flux density = Flux from external E-field + flux due to material polarization

#### Magnetic

$$\mathbf{B} = \mu_0 \mathbf{H} + \mu_0 \mathbf{M}(\mathbf{H})$$

Magnetic flux density Magnetic field vector Magnetic polarization vector

 $\mu_0$  = permeability of free space = 4 $\pi$ x10<sup>-7</sup> H/m

Note: For now, we will focus on materials for which

$$\dot{\boldsymbol{M}} = 0 \implies \boldsymbol{B} = \mu_0 \boldsymbol{H}$$

How did people come up with:  $\nabla \cdot \boldsymbol{D} = \rho$  ?

### Coulomb

- Charges of same sign repel each other (+ and + or and -)
- Charges of opposite sign attract each other (+ and -)
- He explained this using the concept of an electric field :  $\vec{F} = q\vec{E}$





- He found: Larger charges give rise to stronger forces between charges
- Coulomb explained this with a stronger field (more field lines)

# **Divergence Equations**

Gauss's Law (Gauss 1777-1855)

$$\int_{A} \boldsymbol{D} \cdot d\boldsymbol{S} = \int_{A} \varepsilon E \cdot d\boldsymbol{S} = \int_{V} \rho dv$$

E-field related to enclosed charge

Gauss's Theorem (very general)

$$\int_{A} \boldsymbol{F} \cdot d\boldsymbol{S} = \int_{V} \nabla \cdot \boldsymbol{F} dv$$

Combining the 2 Gauss's

$$\int_{A} \boldsymbol{D} \cdot d\boldsymbol{S} = \int_{V} \nabla \cdot \boldsymbol{D} dv = \int_{V} \rho dv \qquad \Longrightarrow \qquad \nabla \cdot \boldsymbol{D} = \rho$$

The other divergence eq.  $\nabla \cdot \mathbf{B} = 0$  is derived in a similar way from  $\int \mathbf{B} \cdot d\mathbf{S} = 0$ 



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# **Curl Equations**



# **Curl Equations**

Ampere: 
$$\iint_{C} \boldsymbol{H} \cdot d\boldsymbol{l} = \iint_{A} \left( \frac{\partial \boldsymbol{D}}{\partial t} + \boldsymbol{J} \right) \cdot d\boldsymbol{S}$$
  
Stokes  
theorem: 
$$\iint_{C} \boldsymbol{F} \cdot d\boldsymbol{l} = \iint_{A} (\nabla \times \boldsymbol{F}) \cdot d\boldsymbol{S}$$
$$\bigcup_{C} \boldsymbol{H} \cdot d\boldsymbol{l} = \iint_{A} (\nabla \times \boldsymbol{H}) \cdot d\boldsymbol{S} = \iint_{A} \left( \frac{\partial \boldsymbol{D}}{\partial t} + \boldsymbol{J} \right) \cdot d\boldsymbol{S}$$
$$\bigcup_{C} \boldsymbol{V} \times \boldsymbol{H} = \frac{\partial \boldsymbol{D}}{\partial t} + \boldsymbol{J}$$

 $\nabla \times E \text{Other curl eq.}$ 

Derived in a similar way from 
$$\iint_{C} \boldsymbol{E} \cdot d\boldsymbol{l} = -\int_{A} \frac{\partial \boldsymbol{B}}{\partial t} \cdot d\boldsymbol{S}$$

$$\iint_{C} \boldsymbol{E} \cdot d\boldsymbol{l} = \int_{A} (\nabla \times \boldsymbol{E}) \cdot d\boldsymbol{S} = -\int_{A} \frac{\partial \boldsymbol{B}}{\partial t} \cdot d\boldsymbol{S}$$
  
Stokes





Flux lines start and end on charges or poles

Changes in fluxes give rise to fields Currents give rise to H-fields

Note: No constants such as  $\mu_0 \epsilon_{0, \mu} \epsilon$ , c,  $\chi$ ,..... appear when Eqs are written this way.

## Plausibility argument for existence of EM waves



Curl equations: Changing *E*-field results in changing *H*-field results in changing *E*- field....

### The real thing

Goal: Derive a wave equation: 
$$\nabla^2 U(r,t) = \frac{1}{v^2} \frac{\partial^2 U(r,t)}{\partial t^2}$$
 for *E* and *H*  
Solution: Waves propagating with a (phase) velocity *v*  
 $U(r,t) = \operatorname{Re} \{ U_0(r) \exp(i\omega t) \}$   
Position Time

Starting point: <u>The curl equations</u>

## The Wave Equation for the E-field

Goal: 
$$\nabla^2 E(r,t) = \frac{1}{v^2} \frac{\partial^2 E(r,t)}{\partial t^2}$$
  
Curl Eqs: a)  $\nabla \times E = -\frac{\partial B}{\partial t} = -\mu_0 \frac{\partial H}{\partial t}$  (Materials with  $M = 0$  only)  
b)  $\nabla \times H = \frac{\partial D}{\partial t} + J$ 

Step 1: Try and obtain partial differential equation that just depends on E

Apply curl on both side of a)

$$\nabla \times \nabla \times \boldsymbol{E} = \nabla \times \left(-\mu_0 \frac{\partial \boldsymbol{H}}{\partial t}\right) = -\mu_0 \frac{\partial \left(\nabla \times \boldsymbol{H}\right)}{\partial t}$$

Step 2: Substitute b) into a)

$$\nabla \times \nabla \times \boldsymbol{E} = -\mu_0 \frac{\partial^2 \boldsymbol{D}}{\partial t^2} - \mu_0 \frac{\partial \boldsymbol{J}}{\partial t} = -\mu_0 \varepsilon_0 \frac{\partial^2 \boldsymbol{E}}{\partial t^2} - \mu_0 \frac{\partial^2 \boldsymbol{P}}{\partial t^2} - \mu_0 \frac{\partial \boldsymbol{J}}{\partial t}$$
$$\boldsymbol{D} = \varepsilon_0 \boldsymbol{E} + \boldsymbol{P}$$
Cool!....looks like a wave equation alreadyo



2) Find J(E)...something like Ohm's law:  $J(E) = \sigma E$ ... we will look at this later..for now assume: J(E) = 0

#### Linear, Homogeneous, and Isotropic Media

**P** linearly proportional to **E**:  $P = \varepsilon_0 \chi E$ 

 $\chi$  is a scalar constant called the "electric susceptibility"

$$\nabla^{2} \boldsymbol{E} = \mu_{0} \varepsilon_{0} \frac{\partial^{2} \boldsymbol{E}}{\partial t^{2}} + \mu_{0} \frac{\partial^{2} \boldsymbol{P}}{\partial t^{2}} + \mu_{0} \frac{\partial \boldsymbol{J}}{\partial t}$$

All the materials properties

$$\nabla^{2} \boldsymbol{E} = \mu_{0} \varepsilon_{0} \frac{\partial^{2} \boldsymbol{E}}{\partial t^{2}} + \mu_{0} \varepsilon_{0} \chi \frac{\partial^{2} \boldsymbol{E}}{\partial t^{2}} = \mu_{0} \varepsilon_{0} (1 + \chi) \frac{\partial^{2} \boldsymbol{E}}{\partial t^{2}}$$
efine relative dielectric constant as:  $\varepsilon = 1 + \chi$ 

$$\nabla^{2} \boldsymbol{E} = \mu_{0} \varepsilon_{0} \varepsilon_{r} \frac{\partial^{2} \boldsymbol{E}}{\partial t^{2}}$$

De  $F_r = I + \chi$ 

Results from **P** 

Note 1 : In anisotropic media **P** and **E** are not necessarily parallel:  $P_i = \sum_i \varepsilon_0 \chi_{ij} E_j$  $\boldsymbol{P} = \varepsilon_0 \boldsymbol{\chi} \boldsymbol{E} + \varepsilon_0 \boldsymbol{\chi}^{(2)} \boldsymbol{E}^2 + \varepsilon_0 \boldsymbol{\chi}^{(3)} \boldsymbol{E}^3 + \dots$ Note2 : In non-linear media:

# **Properties of EM Waves in Bulk Materials**

### We have derived a wave equation for EM waves!

$$\nabla^2 \boldsymbol{E} = \mu_0 \varepsilon_0 \varepsilon_r \frac{\partial^2 \boldsymbol{E}}{\partial t^2}$$

Speed of the EM wave:

Compare 
$$\nabla^2 E = \mu_0 \varepsilon_0 \varepsilon_r \frac{\partial^2 E}{\partial t^2}$$
 and  $\nabla^2 E = \frac{1}{v^2} \frac{\partial^2 E}{\partial t^2}$   
 $\psi^2 = \frac{1}{\mu_0 \varepsilon_0} \frac{1}{\varepsilon_r} = \frac{c_0^2}{\varepsilon_r}$ 

Where  $c_0^2 = 1/(\epsilon_0 \mu_0) = 1/((8.85 \times 10^{-12} \text{ C}^2/\text{m}^3\text{kg}) (4\pi \times 10^{-7} \text{ m kg/C}^2)) = (3.0 \times 10^8 \text{ m/s})^2$ 

#### **Optical refractive index**

Refractive index is defined by: 
$$n = \frac{c}{v} = \sqrt{\varepsilon_r} = \sqrt{1 + \chi}$$

Note: Including polarization results in same wave equation with a different  $\epsilon_r \implies c$  becomes v

## **Refractive Index Various Materials**



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## **Dispersion Relation**

#### Dispersion relation: $\omega = \omega(k)$

Derived from wave equation 
$$\nabla^2 E(\mathbf{r}, t) = \frac{n^2}{c^2} \frac{\partial^2 E(\mathbf{r}, t)}{\partial t^2}$$
  
Substitute:  $E(z, t) = \operatorname{Re}\left\{E(z, \omega)\exp(-ikr + i\omega t)\right\}$ 



Group velocity:  $v_g \equiv \frac{d\omega}{dk}$ 

Phase velocity:

$$v_{ph} = \frac{\omega}{k} = \frac{c}{n} = \frac{c}{\sqrt{\varepsilon}_r} = \frac{c}{\sqrt{1+\chi}}$$

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## **Electromagnetic Waves**



Symmetry Maxwell's Equations result in  $E \perp H \perp$  propagation direction

#### **Optical intensity**

Time average of Poynting vector:  $S(r,t) = E(r,t) \times H(r,t)$ 

The relation :  $P(r,t) = \varepsilon_0 \chi E(r,t)$  assumes an instantaneous response

In real life: 
$$P(\mathbf{r},t) = \varepsilon_0 \int_{-\infty}^{+\infty} dt' x(\underline{t-t'}) E(\mathbf{r},t')$$

 $\pmb{P}$  results from response to  $\pmb{E}$  over some characteristic time  $\tau$  :

Function x(t) is a scalar function lasting a characteristic time  $\tau$ :



$$\boldsymbol{P}(\boldsymbol{r},t) = \varepsilon_0 \int_{-\infty}^{+\infty} dt' x(t-t') \boldsymbol{E}(\boldsymbol{r},t')$$

EM wave:

$$E(\mathbf{r},t) = \operatorname{Re}\left\{E(\mathbf{k},\omega)\exp(-i\mathbf{k}\cdot\mathbf{r}+i\omega t)\right\}$$
$$P(\mathbf{r},t) = \operatorname{Re}\left\{P(\mathbf{k},\omega)\exp(-i\mathbf{k}\cdot\mathbf{r}+i\omega t)\right\}$$

### Relation between complex amplitudes

 $P(k,\omega) = \varepsilon_0 \chi(\omega) E(k,\omega)$  (Slow response of matter  $\omega$ -dependent behavior)

This follows by equation of the coefficients of  $exp(i\omega t)$  ...check this!

It also follows that:  $\varepsilon(\omega) = \varepsilon_0 [1 + \chi(\omega)]$ 

The relation :  $P(r,t) = \varepsilon_0 \chi E(r,t)$  assumes an instantaneous response

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EM wave:

$$\boldsymbol{E}(\boldsymbol{r},t) = \operatorname{Re}\left\{\boldsymbol{E}(\boldsymbol{k},\omega)\exp(-i\boldsymbol{k}\cdot\boldsymbol{r}+i\omega t)\right\}$$
$$\boldsymbol{P}(\boldsymbol{r},t) = \operatorname{Re}\left\{\boldsymbol{P}(\boldsymbol{k},\omega)\exp(-i\boldsymbol{k}\cdot\boldsymbol{r}+i\omega t)\right\}$$

### Relation between complex amplitudes

 $\boldsymbol{P}(\boldsymbol{k},\omega) = \varepsilon_0 \chi(\omega) \boldsymbol{E}(\boldsymbol{k},\omega) \quad \text{(Slow response of matter}) \omega \text{-dependent behavior)}$ 

This follows by equation of the coefficients of  $exp(i\omega t)$  ...check this!

It also follows that:  $\varepsilon(\omega) = \varepsilon_0 [1 + \chi(\omega)]$ 

Transparent materials can be described by a purely real refractive index n

EM wave:  $E(z,t) = \operatorname{Re}\left\{E(k,\omega)\exp(-ikz+i\omega t)\right\}$ 

Dispersion relation  $\omega^2 = \frac{c^2}{n^2}k^2 \implies k = \pm \frac{\omega}{c}n$ 

Absorbing materials can be described by a complex n:

$$n = n' + in''$$

It follows that: 
$$k = \pm \frac{\omega}{c} (n' + in'') = \pm \left( \frac{\omega}{c} n' + i \frac{\omega}{c} n'' \right) \equiv \pm \left( \beta - i \frac{\alpha}{2} \right)$$
  
Investigate + sign:  $E(z,t) = \operatorname{Re} \left\{ E(k,\omega) \exp \left( -i\beta z - \frac{\alpha}{2} z + i\omega t \right) \right\}$   
Traveling wave Decay

Note: 
$$\beta = \frac{\omega}{c}n' = k_0n'$$
  $\implies$  n' act as a regular refractive index  
 $\alpha = -2\frac{\omega}{c}n'' = -2k_0n'' \implies \alpha$  is the absorption coefficient

# **Absorption and Dispersion of EM Waves**

n is derived quantity from  $\chi$  (next lecture we determine  $\chi$  for different materials)

Complex n results from a complex 
$$\chi$$
:  $\chi = \chi' + i\chi''$   
 $n = \sqrt{1 + \chi}$ 

$$\implies n = n' + in'' = \sqrt{1 + \chi} = \sqrt{1 + \chi' + i\chi''}$$

$$\alpha = -2k_0n''$$

$$\implies n = n' - i\frac{\alpha}{2k_0} = \sqrt{1 + \chi' + i\chi''}$$

#### Weakly absorbing media

When 
$$\chi' \ll 1$$
 and  $\chi'' \ll 1$ :  $\sqrt{1 + \chi' + i\chi''} \approx 1 + \frac{1}{2} (\chi' + i\chi'')$   
Refractive index:  $n' = 1 + \frac{1}{2} \chi'$ 

Absorption coefficient:  $\alpha = -2k_0n" = -k_0\chi"$ 

# Summary

Maxwell's Equations

$$\nabla \cdot \boldsymbol{D} = \rho_{f} \qquad \nabla \cdot \boldsymbol{B} = 0 \qquad \nabla \times \boldsymbol{E} = -\frac{\partial \boldsymbol{B}}{\partial t} \qquad \nabla \times \boldsymbol{H} = \frac{\partial \boldsymbol{D}}{\partial t} + \boldsymbol{J}$$
Curl Equations lead to
$$\nabla^{2}\boldsymbol{E} = \mu_{0}\varepsilon_{0}\frac{\partial^{2}\boldsymbol{E}}{\partial t^{2}} + \mu_{0}\frac{\partial^{2}\boldsymbol{P}}{\partial t^{2}} \quad \text{(under certain conditions)}$$
Linear, Homogeneous, and Isotropic Media
$$\boldsymbol{P} = \varepsilon_{0}\chi\boldsymbol{E}$$
Wave Equation with  $\mathbf{v} = \mathbf{c}/\mathbf{n}$ 

$$\nabla^{2}\boldsymbol{E}(\boldsymbol{r},t) = \frac{n^{2}}{c^{2}} \frac{\partial^{2}\boldsymbol{E}(\boldsymbol{r},t)}{\partial \boldsymbol{t}^{2}}$$

In real life: Relation between *P* and *E* is dynamic

$$\boldsymbol{P}(\boldsymbol{r},t) = \varepsilon_0 \int_{-\infty}^{+\infty} dt' x(t-t') \boldsymbol{E}(\boldsymbol{r},t') \quad \Longrightarrow \quad \boldsymbol{P}(\boldsymbol{k},\omega) = \varepsilon_0 \chi(\omega) \boldsymbol{E}(\boldsymbol{k},\omega)$$

This will have major consequences !!!

Real and imaginary part of  $\boldsymbol{\chi}$  are linked

- Kramers-Kronig
- $\bullet$  Origin frequency dependence of  $\chi$  in real materials

## Derivation of $\chi$ for a range of materials

- Insulators (Lattice absorption, Urbach tail, color centers...)
- Semiconductors (Energy bands, excitons ...)
- Metals (Plasmons, plasmon-polaritons, ...)

## **Useful Equations and Valuable Relations**

 $\nabla \cdot \boldsymbol{D} = \boldsymbol{M}$  axwell's Equations Divergence Equations

 $\nabla \cdot \boldsymbol{B} = 0$ 

Curl Equations  $\nabla \times \boldsymbol{H} = \frac{\partial \boldsymbol{D}}{\partial t} + \boldsymbol{J}$  $\nabla \times \boldsymbol{E} = -\frac{\partial \boldsymbol{B}}{\partial t}$ 

 $D = \varepsilon_0 E$  Constitutive relations  $\chi E = \varepsilon_0 \varepsilon_r E$ 

$$\boldsymbol{B} = \mu_0 \boldsymbol{H} + \mu_0 \boldsymbol{M} = \mu_0 \boldsymbol{H} + \mu_0 \boldsymbol{\chi}_m \boldsymbol{H} = \mu_0 \left( 1 + \boldsymbol{\chi}_m \right) \boldsymbol{H} = \mu_0 \mu_r \boldsymbol{H}$$

Gauss's Law

$$\int_{A} \boldsymbol{D} \cdot d\boldsymbol{S} = \int_{A} \varepsilon E \cdot d\boldsymbol{S} = \int_{V} \rho dv$$

Maxwell (also)

 $\iint_{A} \boldsymbol{H} \cdot d\boldsymbol{l} = \int_{A} \left( \frac{\partial \boldsymbol{D}}{\partial t} + \boldsymbol{J} \right) \cdot d\boldsymbol{S}$ 

Dynamic relation between P and E: P(n)

Dispersive and absorbing materials:

$$P(\mathbf{r},t) = \varepsilon_0 \int_{-\infty}^{+\infty} dt' x(t-t') E(\mathbf{r},t') \quad \text{and} \quad P(\mathbf{k},\omega) = \varepsilon_0 \chi(\omega) E(\mathbf{k},\omega)$$
$$E(z,t) = \operatorname{Re}\left\{ E(z,\omega) \exp\left(-i\beta z - \frac{\alpha}{2}z + i\omega t\right) \right\}$$
where  $\beta = \frac{\omega}{c}n' = k_0n'$ , absorption coefficient  $\alpha = -2\frac{\omega}{c}n'' = -2k_0n''$ 

Handy Math Rules

Vector identities:  $\nabla \times \nabla \times \boldsymbol{E} = \nabla (\nabla \cdot \boldsymbol{E}) - \nabla^2 \boldsymbol{E}$   $\nabla \cdot \boldsymbol{\varepsilon} \boldsymbol{E} = \boldsymbol{\varepsilon} \nabla \cdot \boldsymbol{E} + \boldsymbol{E} \cdot \nabla \boldsymbol{\varepsilon}$  $\int_{A} \boldsymbol{F} \cdot d\boldsymbol{S} = \int_{V} \nabla \cdot \boldsymbol{F} dv_{\text{Gauss theorem}}$ 

Stokes  $\prod_{C} \boldsymbol{F} \cdot d\boldsymbol{l} = \int_{A} (\nabla \times \boldsymbol{F}) \cdot d\boldsymbol{S}$  26