

Thermoelectricity: From Atoms to Systems

Week 5: Recent Advances in Materials and Physics

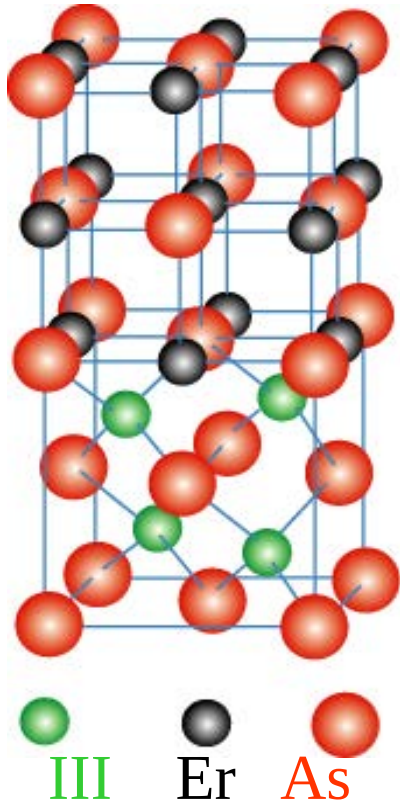
Lecture 5.2: Semiconductors with embedded nanoparticles

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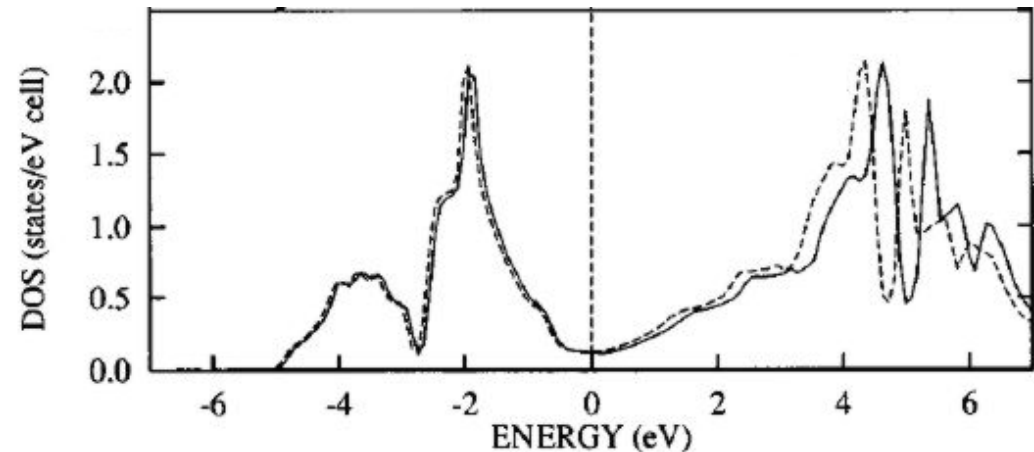
Semimetal

ErAs
(**Rock-salt**)
 $a=5.74 \text{ \AA}$

Semiconductor

e.g. InGaAs
(**Zinc blende**)
 $a=5.85 \text{ \AA}$

- Arsenic has a continuous fcc sublattice.
- ErAs is a Rock-salt semi metal, ~ lattice matched to InGaAs

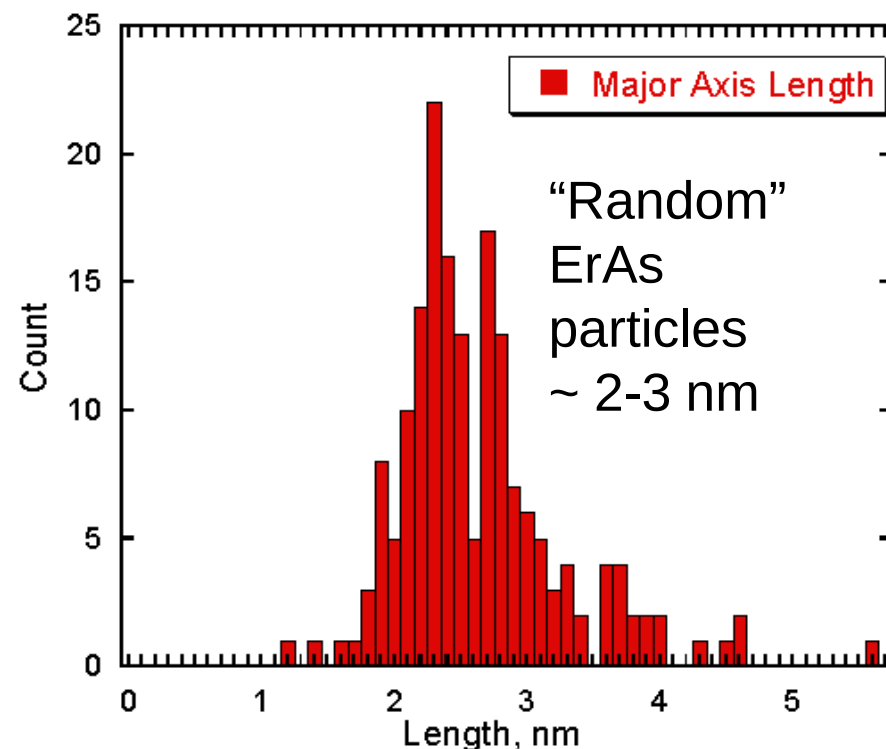
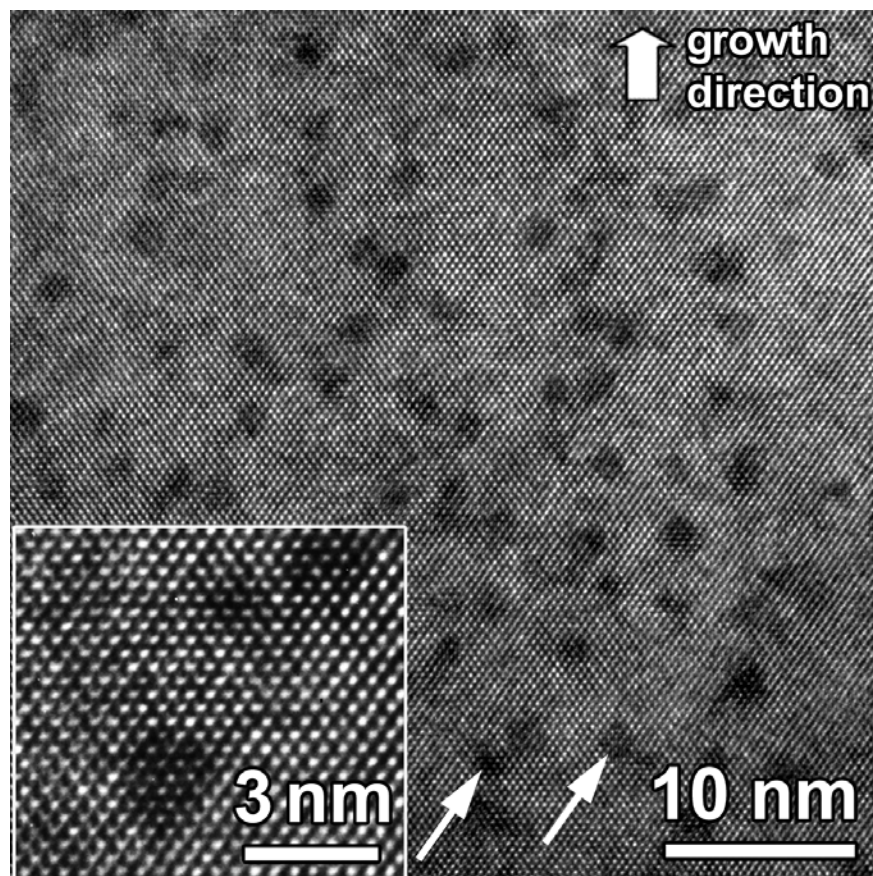


A.G. Petukhov, et. al. *Phys. Rev. B.* **53**(8), 1996.

J. Zide and A. Gossard (UCSB)

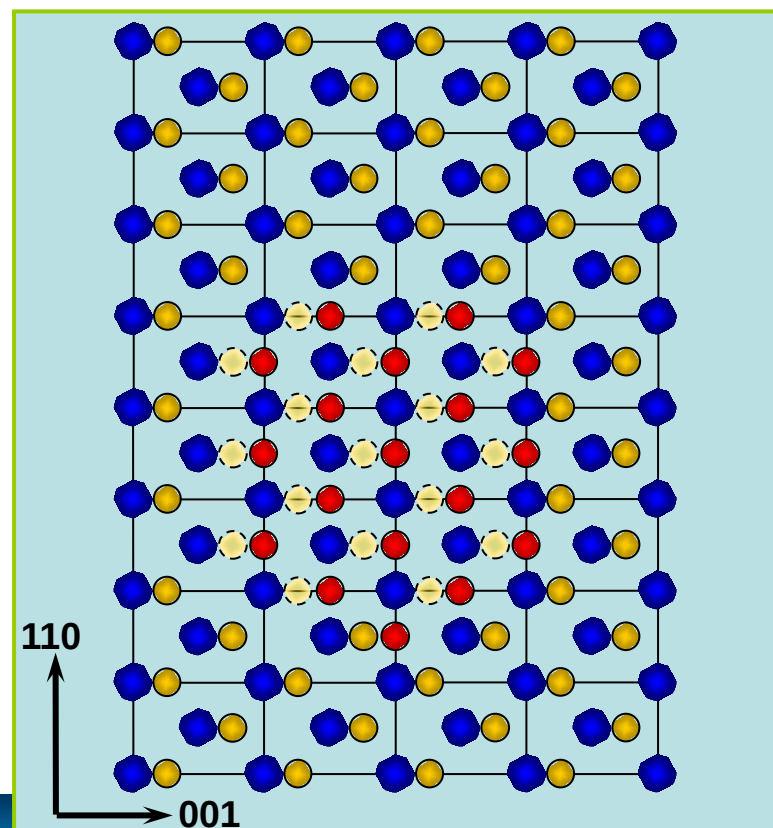
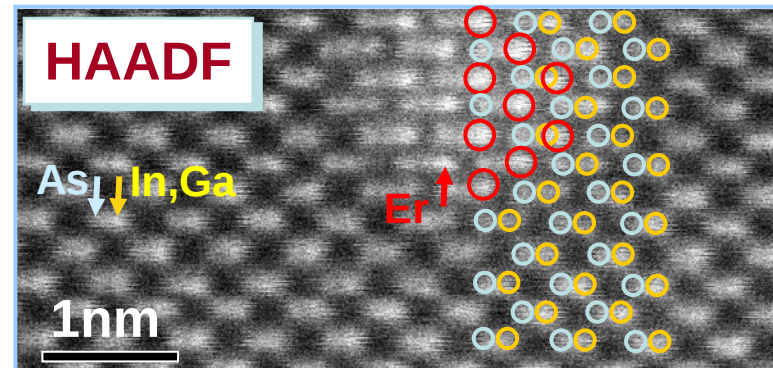
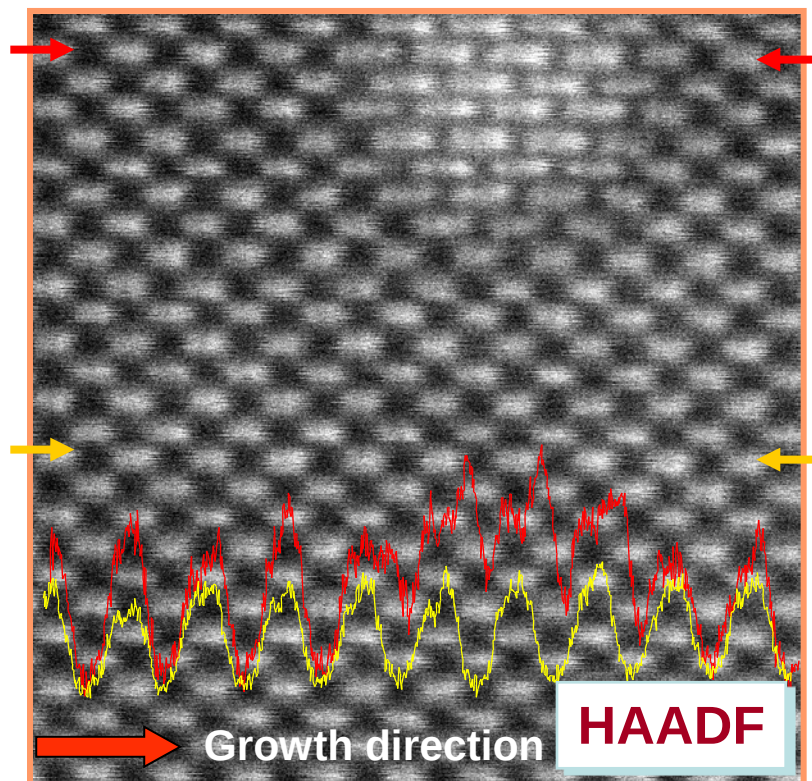
ErAs Semi-metal Nanoparticles imbedded in InGaAs Semiconductor Matrix

- Erbium is co-deposited at a growth rate which is a fixed fraction of the InGaAs growth rate (MBE growth, 60 microns thick films)
- Solubility limit is exceeded → nanoparticles are formed (2-3nm)



Josh Zide, Hong Lu, Art Gossard, Chris Palmström, Susan Stemmer, John Bowers **UCSB**

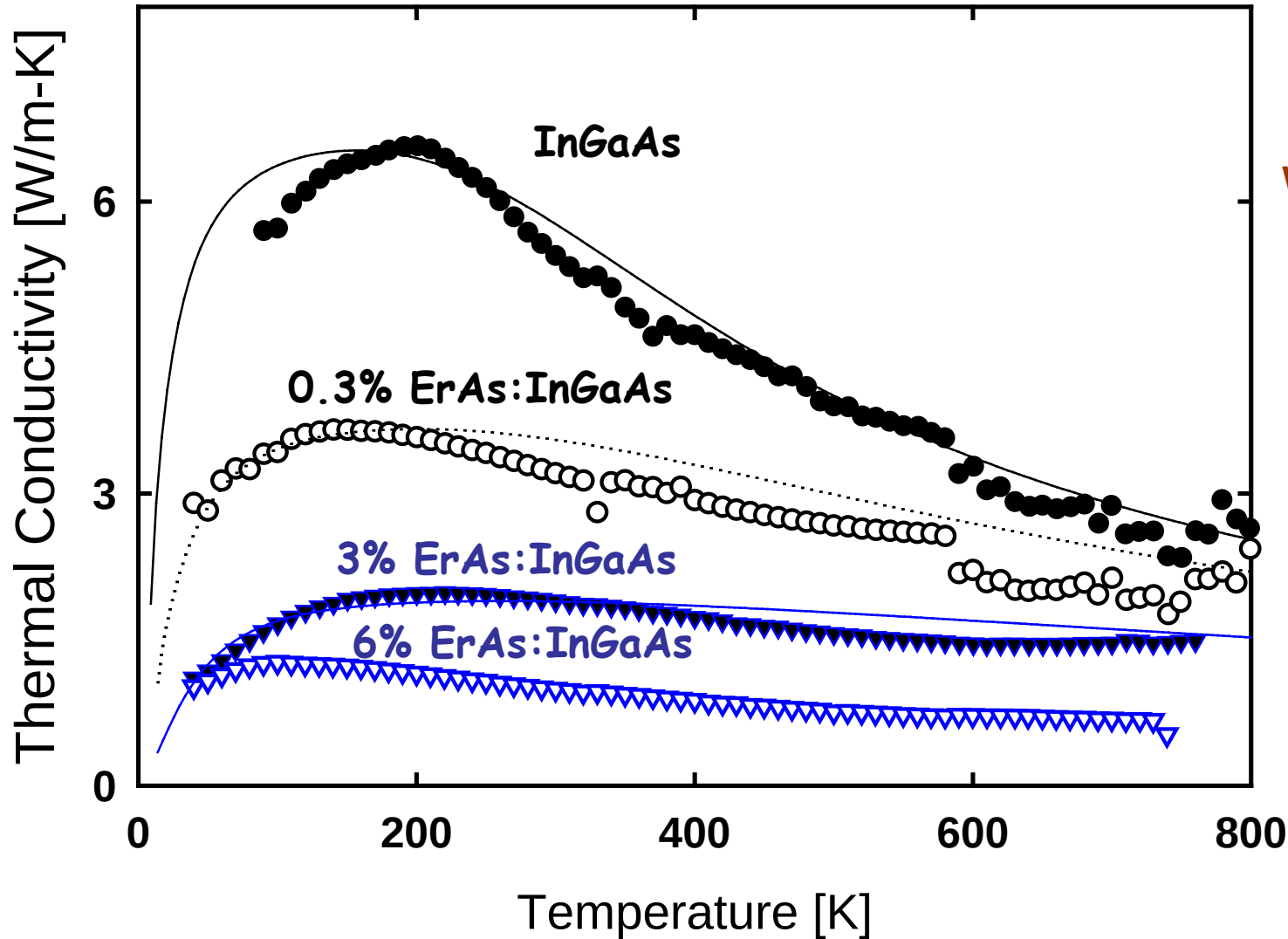
HAADF/STEM of ErAs Nanoparticles



STEM: ErAs particles have the rock salt structure. The As sublattice is continuous across the interface.

D. O. Klenov, D. C. Driscoll, A. C. Gossard, S. Stemmer, *Appl. Phys. Lett.* 86, 111912 (2005)

Beating the Alloy Limit in Thermal Conductivity



W. Kim, J. Zide et
al. Physical
Review Letters
2006

$$k = \frac{1}{3} \int_0^{\omega_{\max}} \underbrace{C_v(\omega)}_{\text{heat capacity}} \underbrace{v(\omega)}_{\text{phonon group velocity}} \underbrace{\ell(\omega)}_{\text{mean free path}} d\omega$$

$$\frac{1}{\ell(\omega)} = \underbrace{\frac{1}{\ell_b(\omega)}}_{\text{boundary scattering}} + \underbrace{\frac{1}{\ell_i(\omega)}}_{\text{impurity scattering}} + \underbrace{\frac{1}{\ell_U(\omega)}}_{\text{Umklapp scattering}}$$

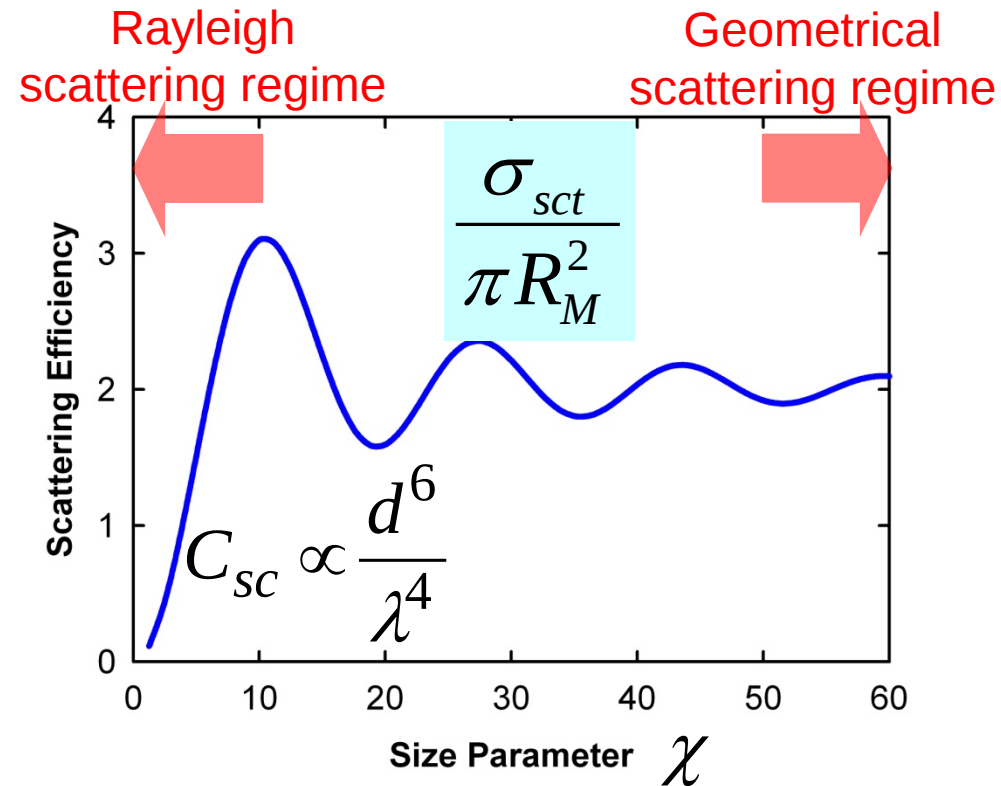
Alloy &
Nanostructures

Kim and Majumdar, *Journal of Applied Physics*, **99**, 084306 (2006)

$$\ell = \frac{1}{\sigma\eta}$$

- σ : Scattering cross section
- η : Impurity concentration

$$\sigma = \int_0^{\infty} \sigma_{sct} \underbrace{\left(\begin{array}{c} \text{size} \\ \text{distribution} \\ \text{function} \end{array} \right)}_{\substack{\text{mean}(d_M) \\ \text{standard deviation}(\sigma)}} dr$$

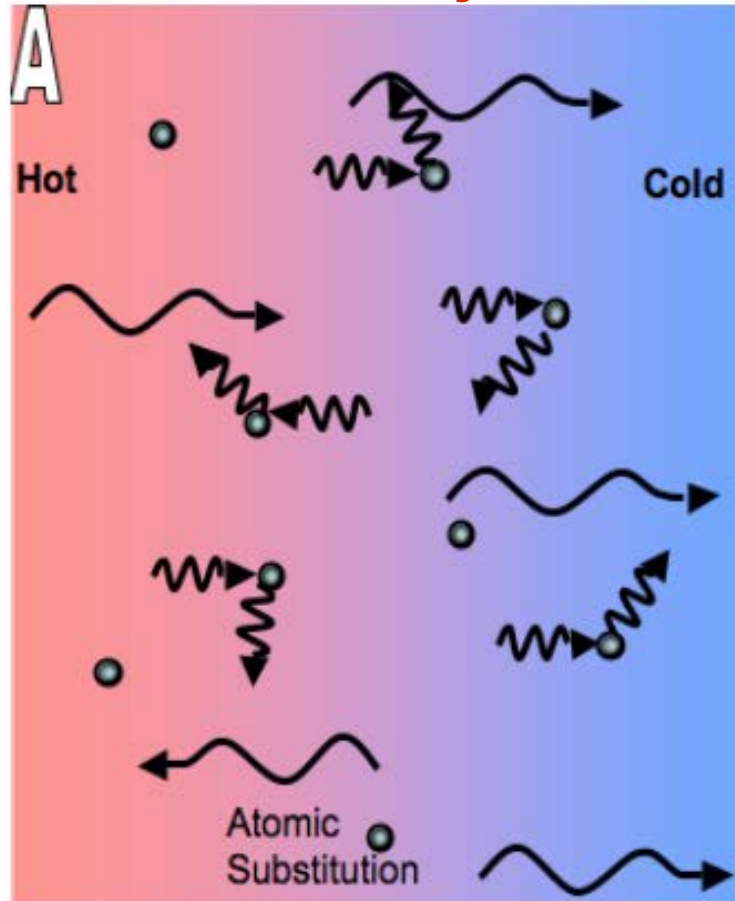


- q : Incident wavevector
- R : Size of a scatter
- R_M : Mean radius of the scatter
- qR : Size parameter, χ

Kim and Majumdar, *Journal of Applied Physics*, **99**, 084306 (2006)

Using Nanoparticles to Reduce Lattice Thermal Conductivity

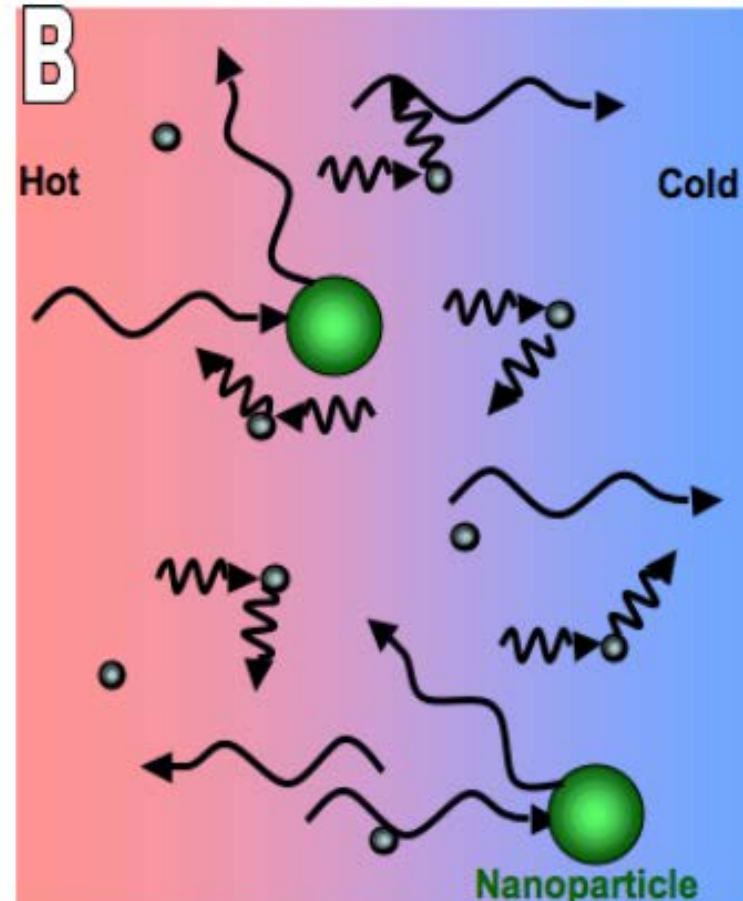
Bulk Alloy



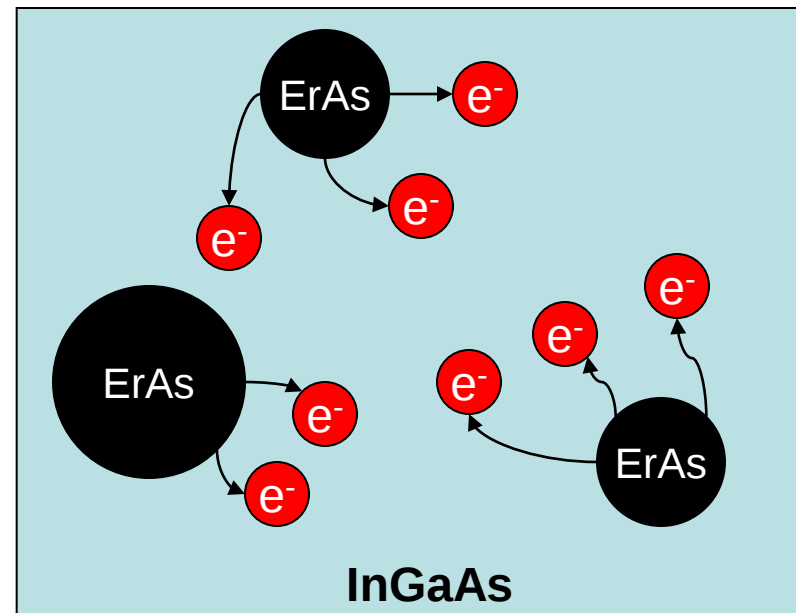
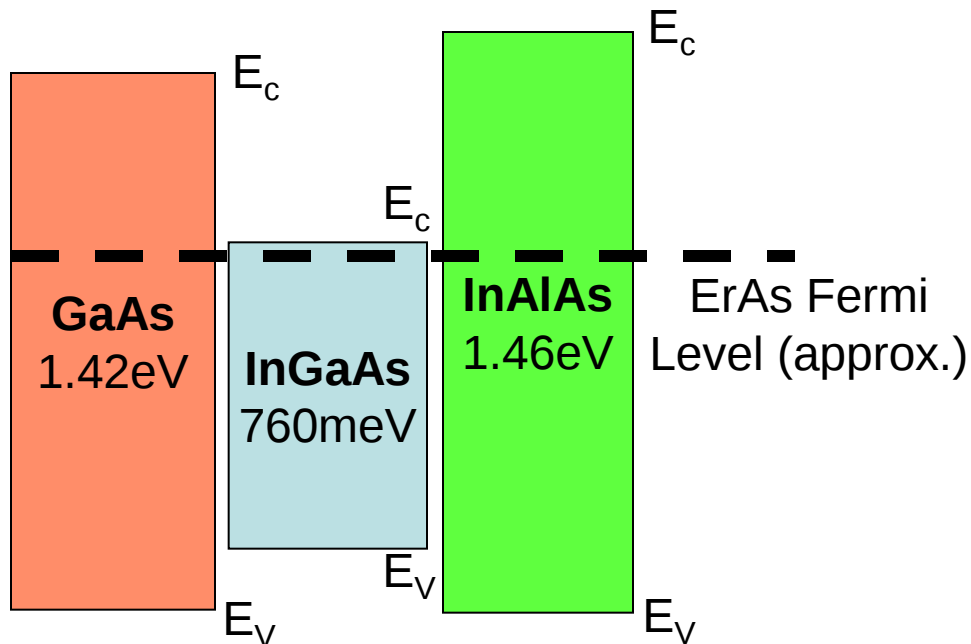
 Short Wavelength Phonon

 Mid/Long Wavelength Phonon

Bulk Alloy + Nanoparticles

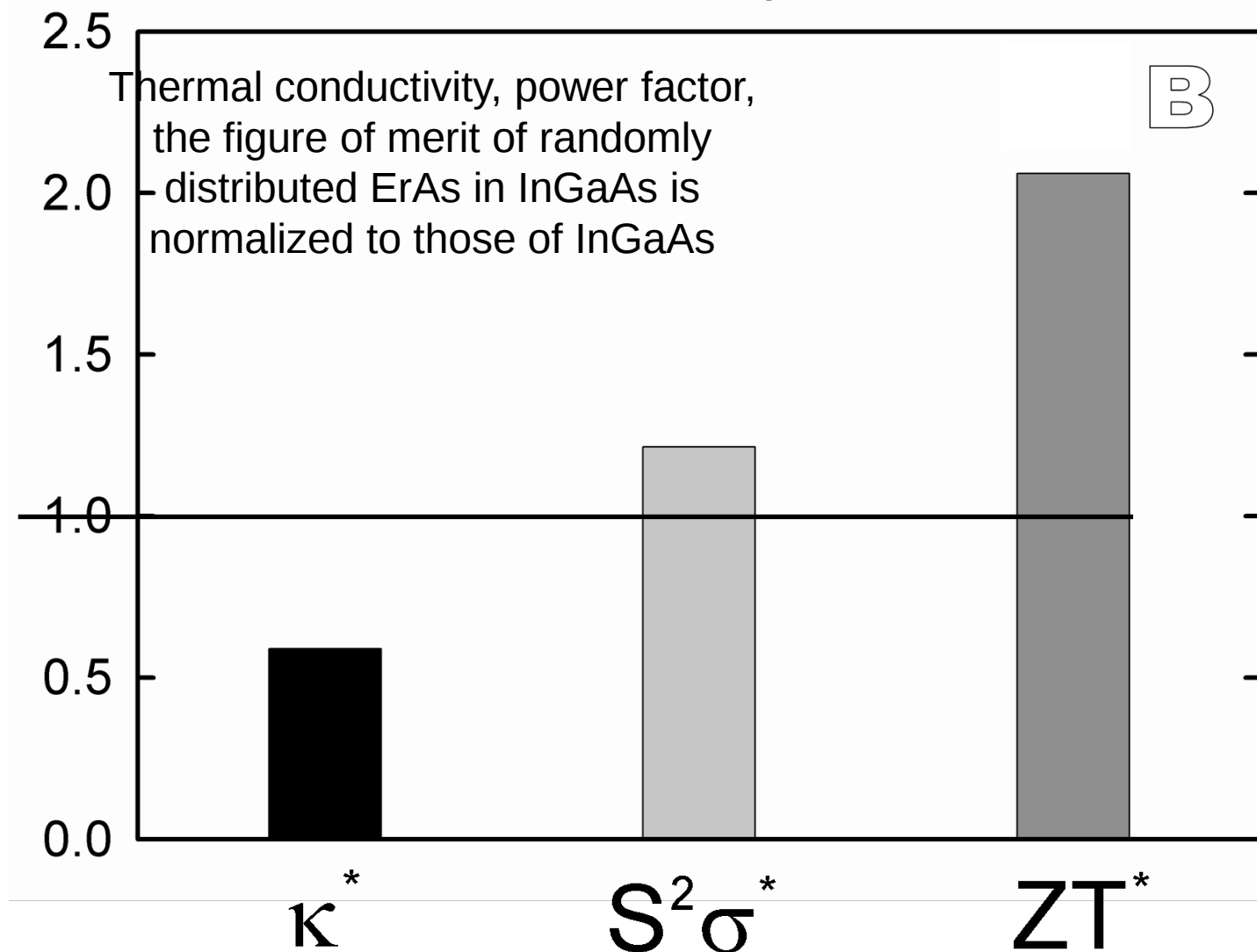


W. Kim, A. Majumdar, et al.
(UC Berkeley)



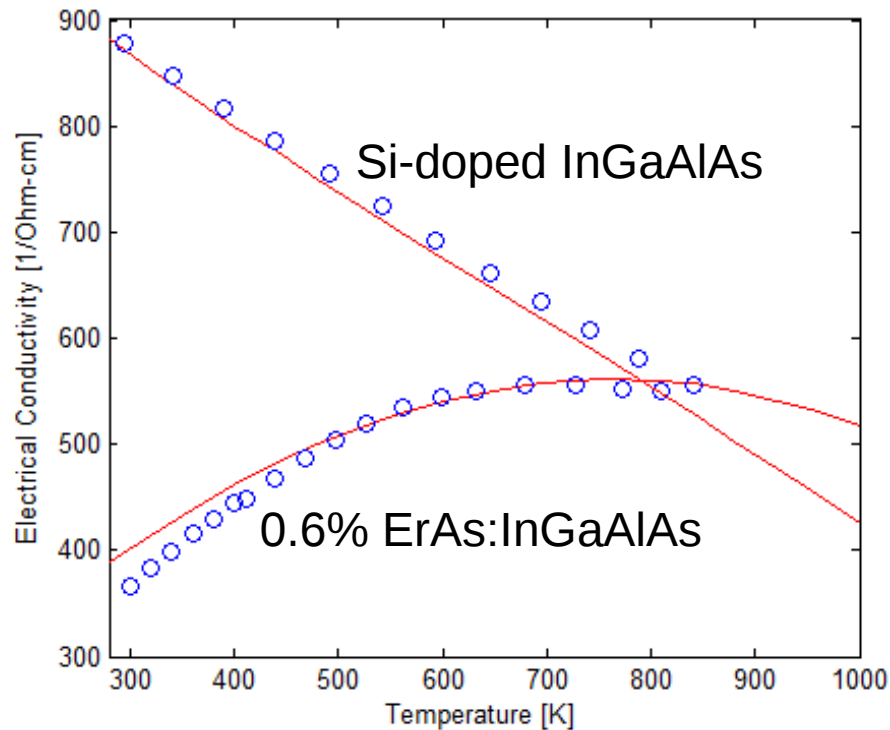
- The Fermi levels of nanocomposites depend on the semiconductor matrix.
 - For example, the Fermi level in ErAs:GaAs is pinned deep within the bandgap.
- ErAs contributes electrons to InGaAs.
- Electron mobilities are high in ErAs:InGaAs.

W. Kim, J. Zide et al. Physical Review Letters 2006

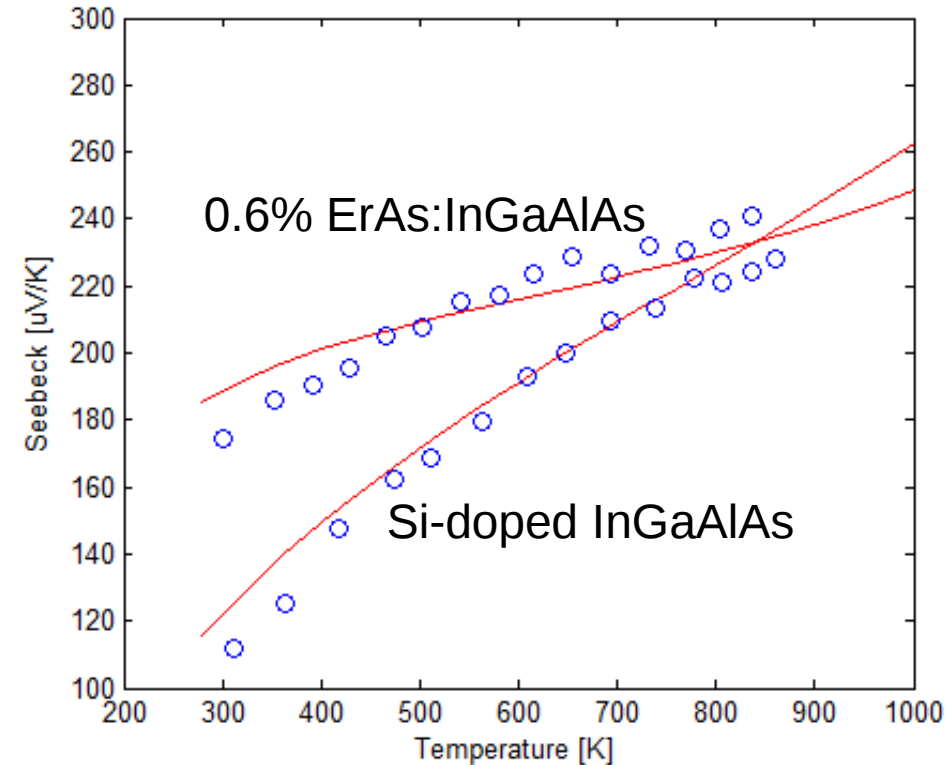


Electrical conductivity and Seebeck (theory/experiment)

Electrical Conductivity



Seebeck

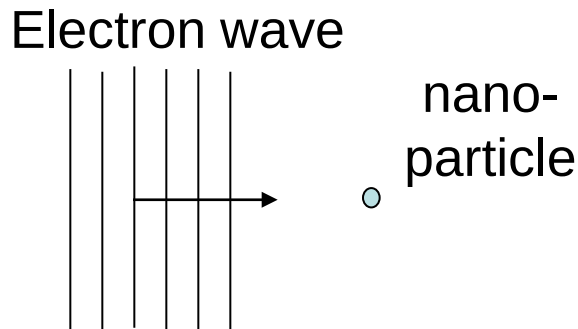


M. Zebarjadi, et al., Appl. Phys. Lett. 2009

J.-H. Bahk et al., Phys. Rev. B 2010 (Si-doped)

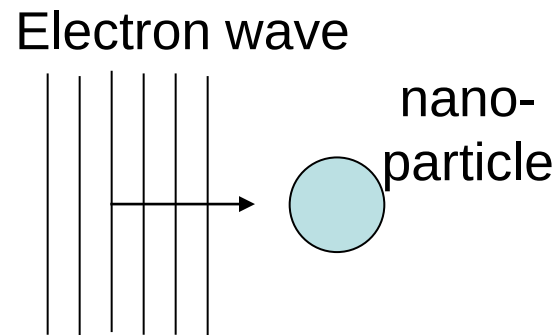
J. Zide et al., J. Appl. Phys. 2010

Born Approximation



- Perturbation theory
- Point size potentials
- Low barriers

Partial Wave technique

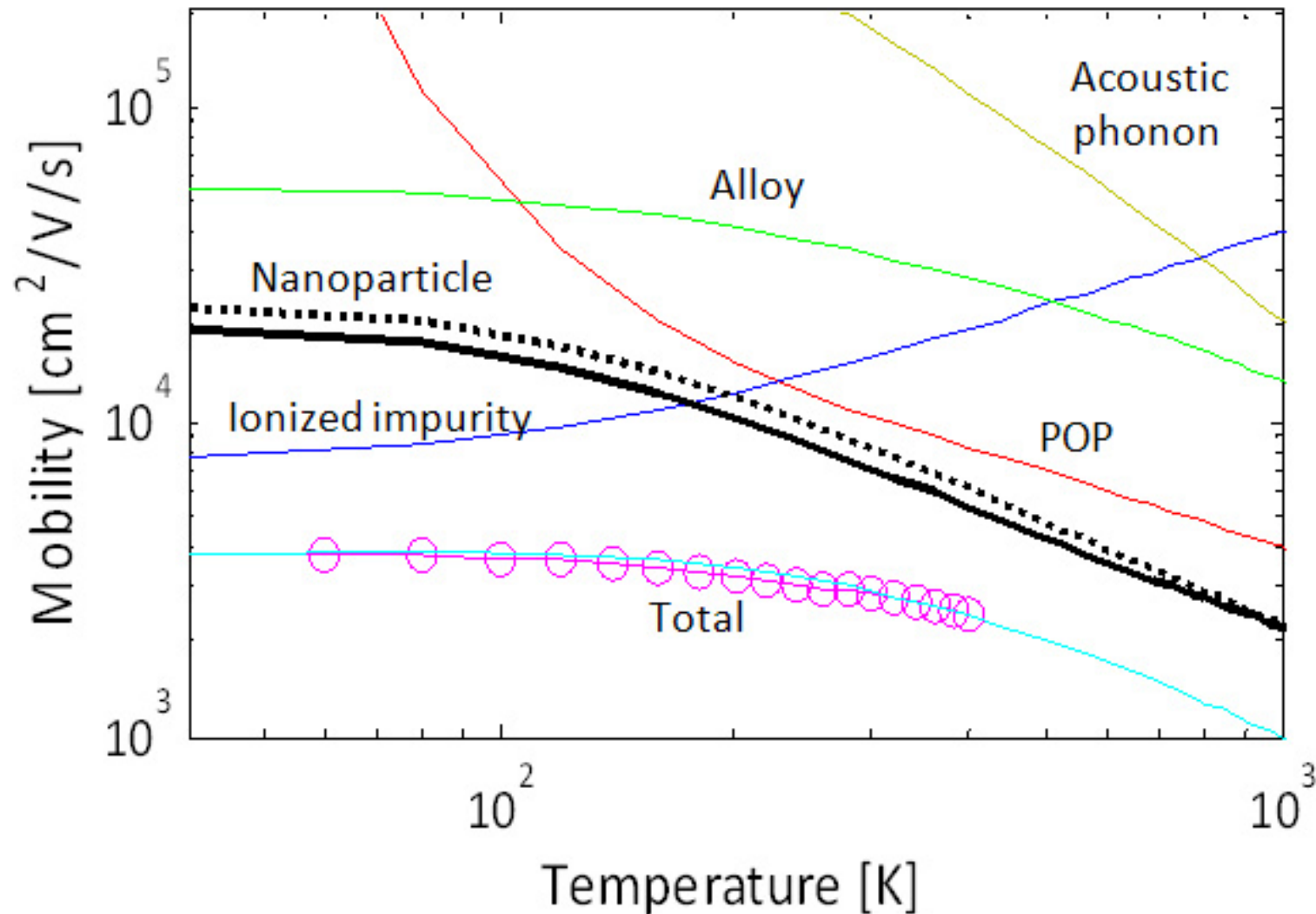


- Exact solution of Schrodinger
- Finite size scattering center
- Harder to implement

Mona Zebarjadi, et al. Appl. Phys. Lett. 94: 202105, 2009

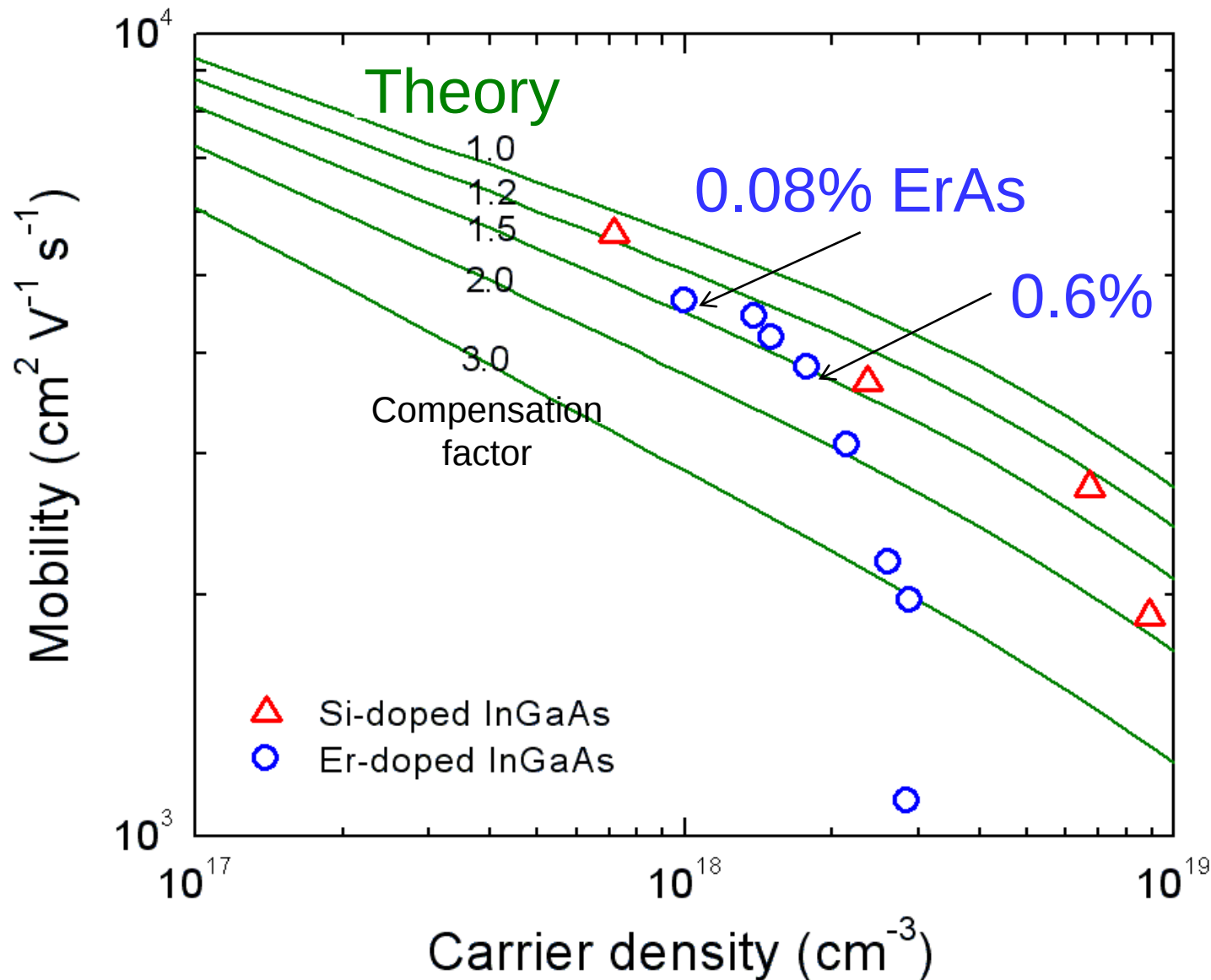
Multiple scatterings => Coherent Potential Approximation
(not described here, M. Zebarjadi, et al. Nano Letters, 11, 225, 2011)

Mobility (Theory vs. Experiment)



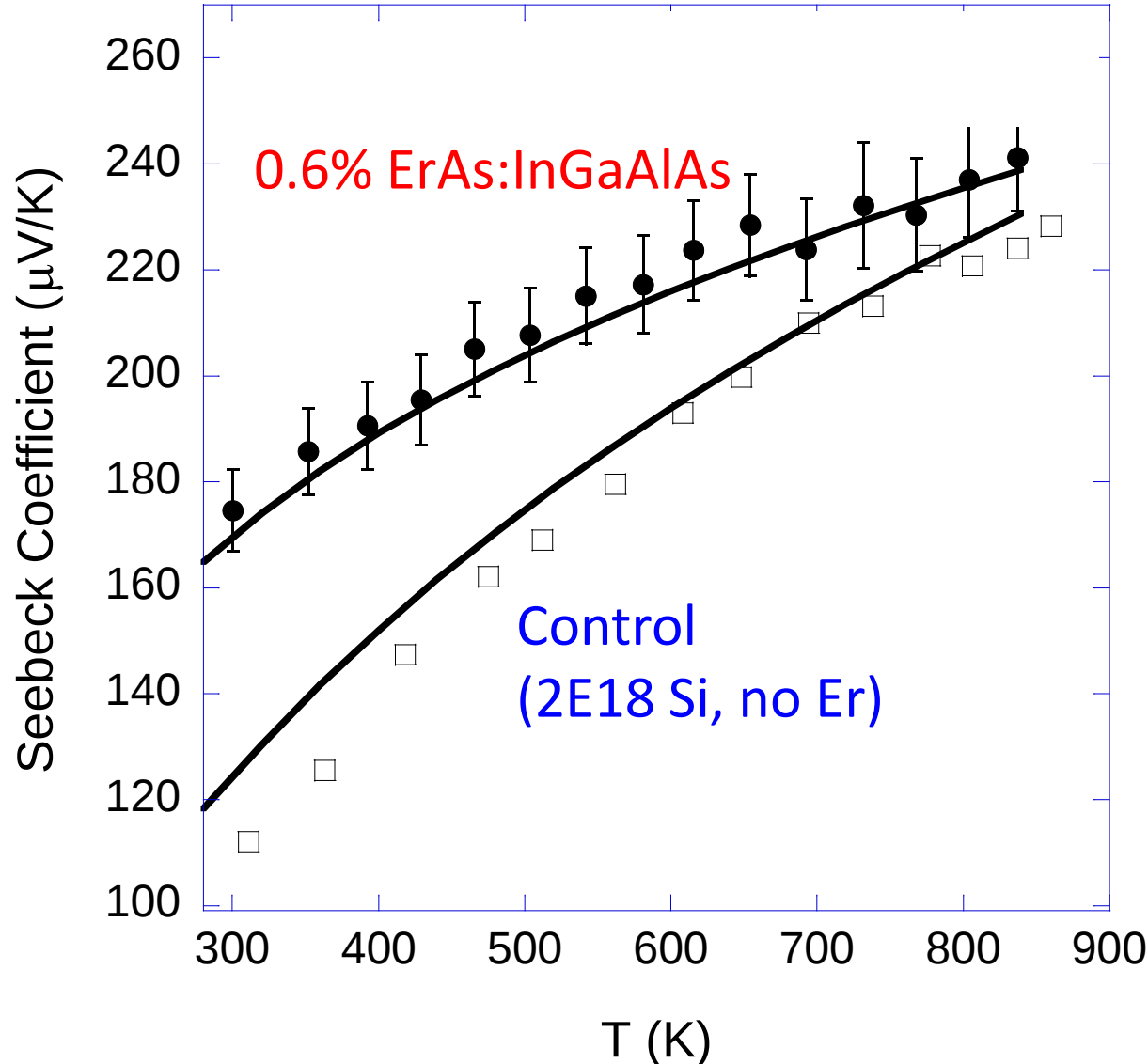
Je-Hyeong Bahk, Mona Zebarjadi et al. (2009)

Electron mobility in embedded nanoparticle material



**Je-Hyeong
Bahk, Peter
Burke, et al.
2013**

Seebeck (Theory vs. Experiment)



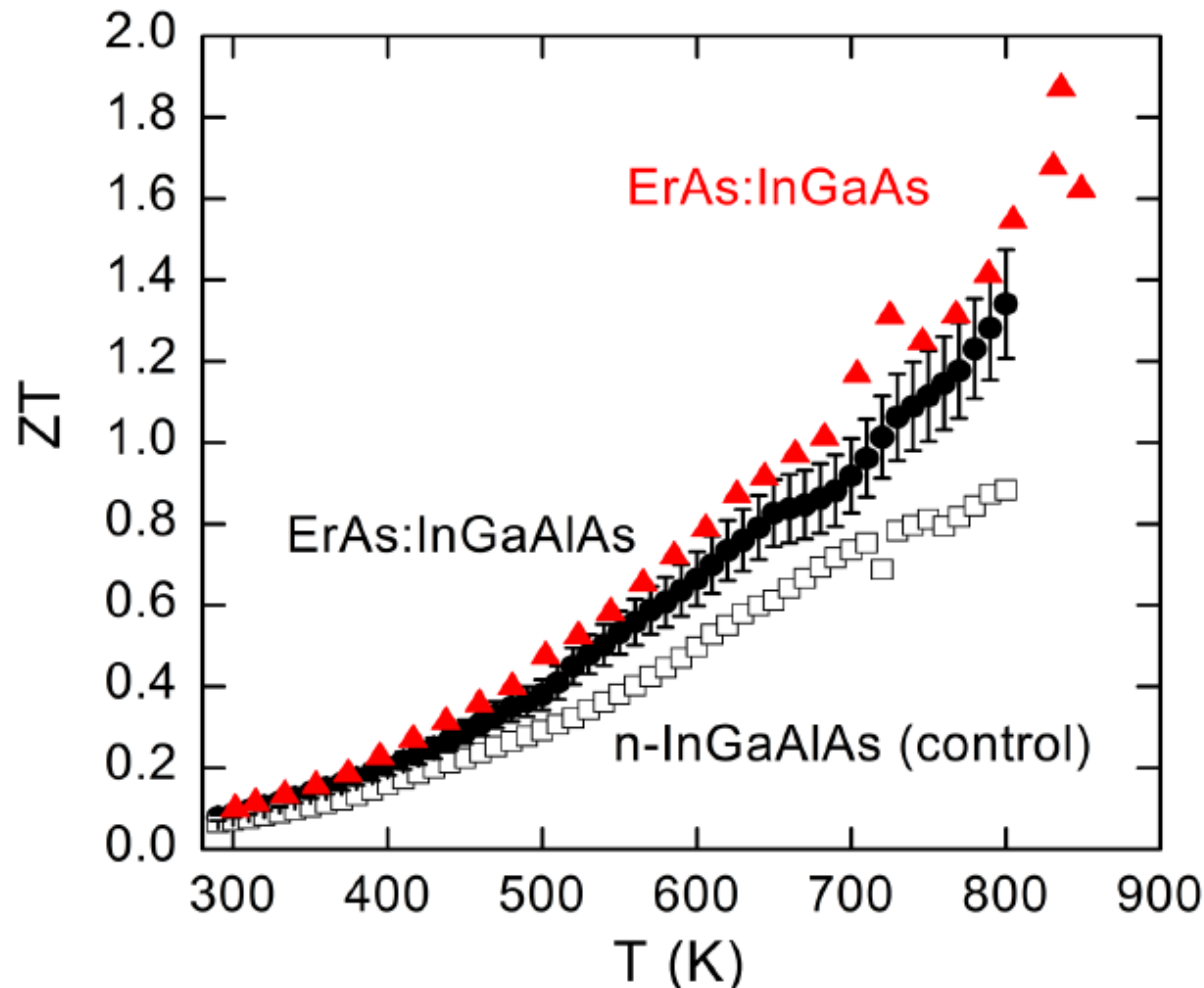
Scattering mechanisms:

Polar optical phonons
Acoustic phonons
Intervalley phonons
Impurity
Alloy scattering
Nano particle scattering:
(Partial Wave technique)

Solid lines are theoretical prediction (no fitting)

Je-Hyeong Bahk, Mona Zebarjadi et al. (2009)

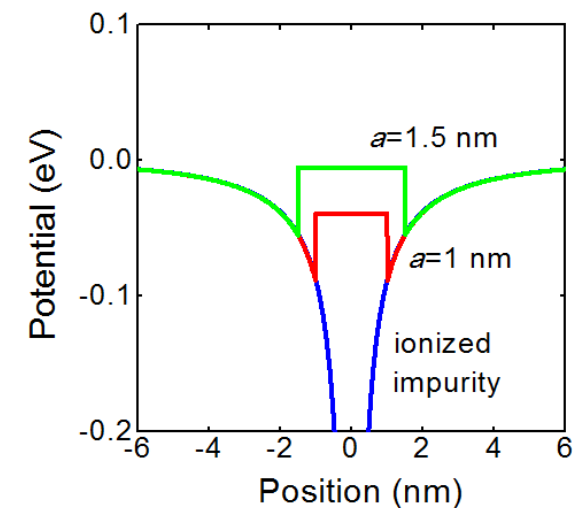
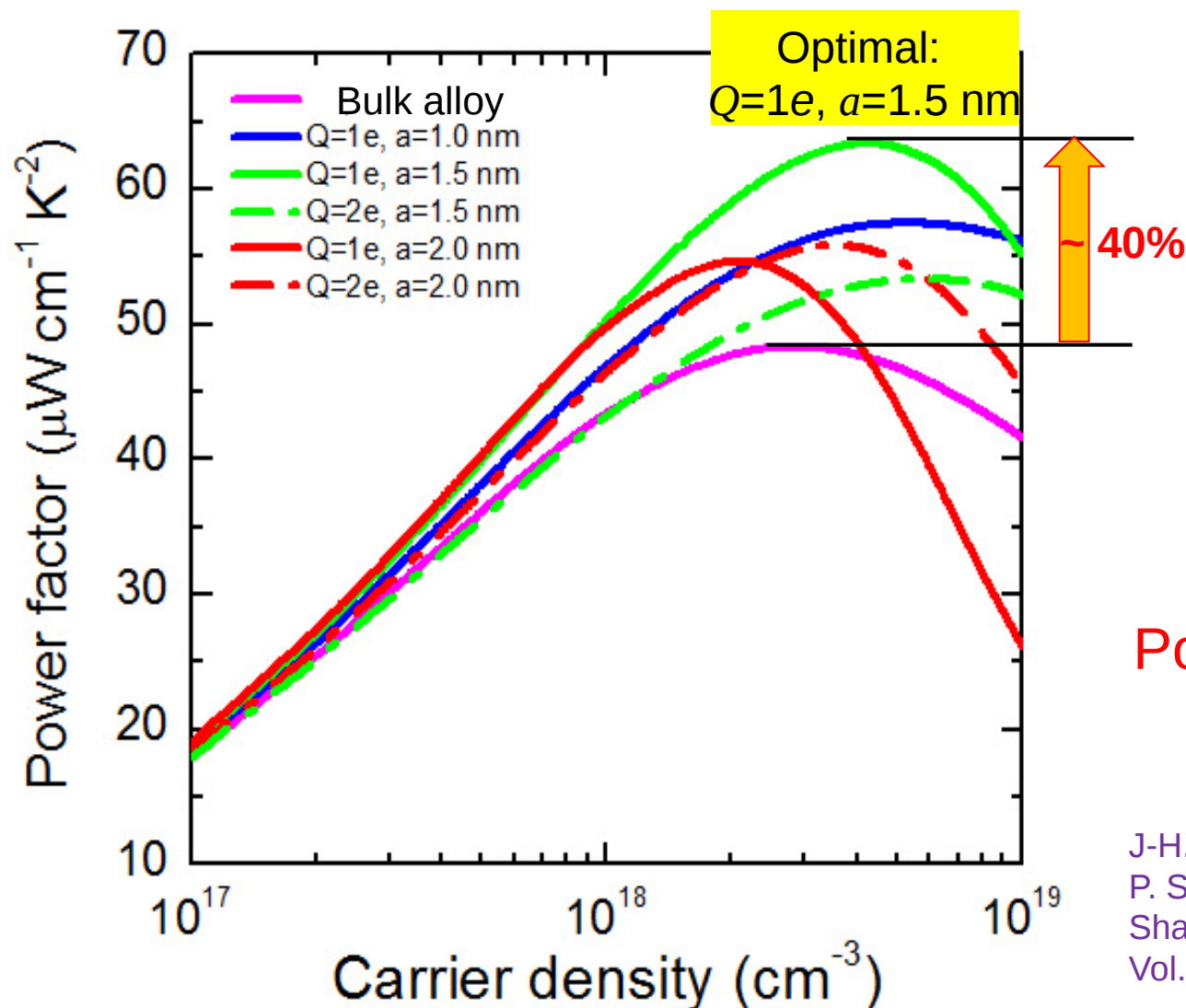
Thermoelectric figure-of-merit



Zide et al. J. of
Applied Physics
(2010);

Burke, Bahk, et al.
(2013)

The majority of ZT enhancement is from thermal conductivity reduction.
5% power factor enhancement at 800K.

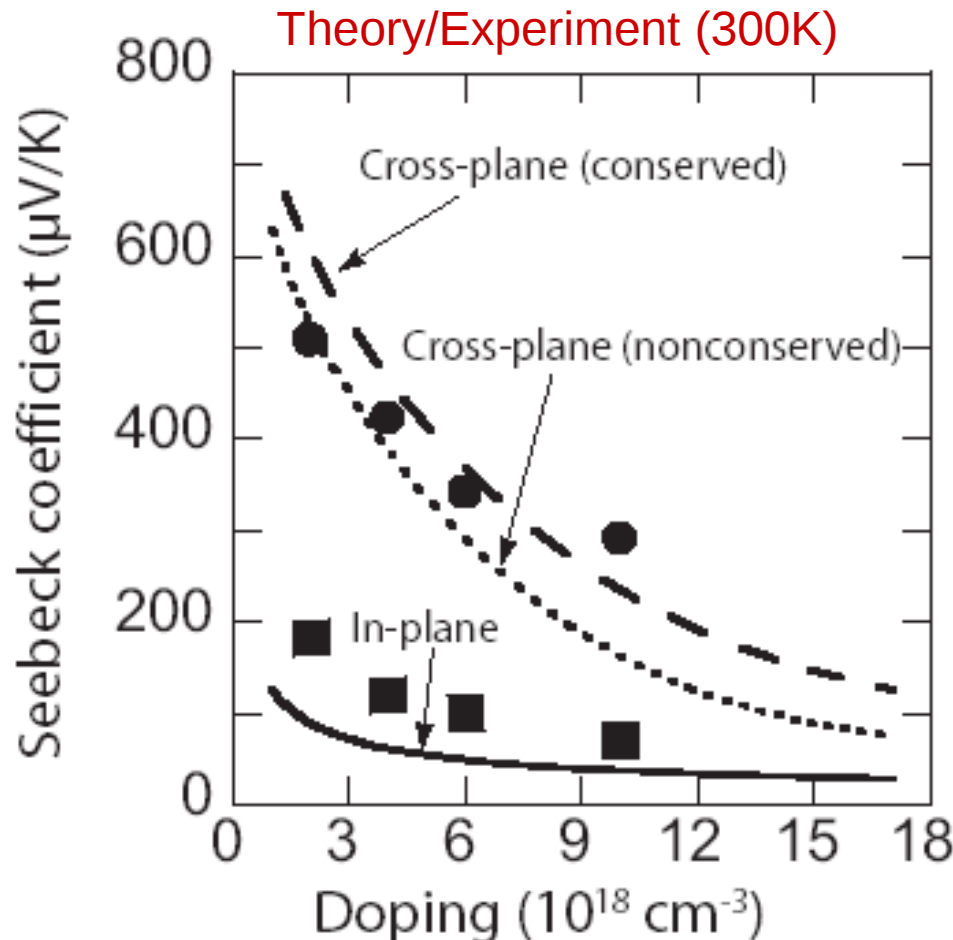


**Power factor, n-type
InGaAs at 600K**

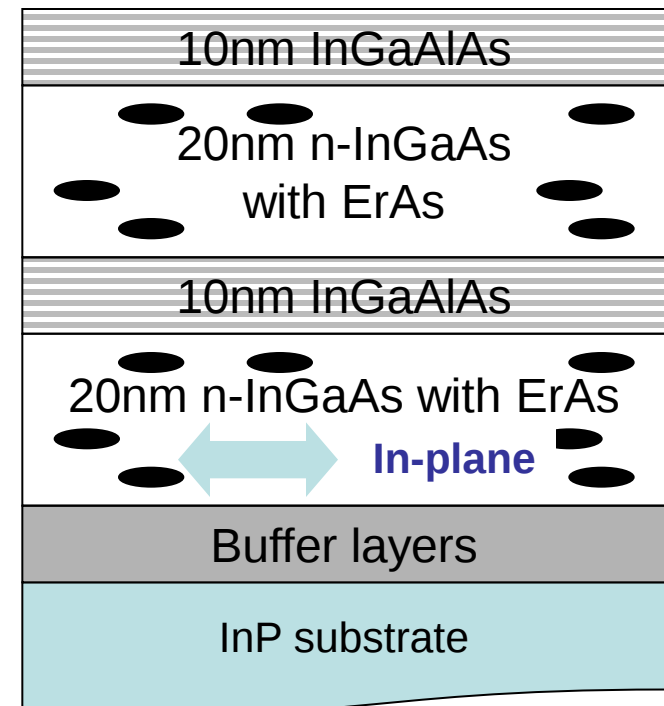
J-H. Bahk, Z. Bian, M. Zebarjadi,
P. Santhanam, R. Ram, A.
Shakouri, "Applied Physics Letters
Vol. 99, Art. No. 072118, 2011.

Cross-plane and in-plane Seebeck in thick barrier superlattices InGaAs:ErAs/InGaAlAs

- Enhance energy filtering by inserting InGaAlAs barriers inside ErAs:InGaAs can enhance cross-plane Seebeck by a factor of 3

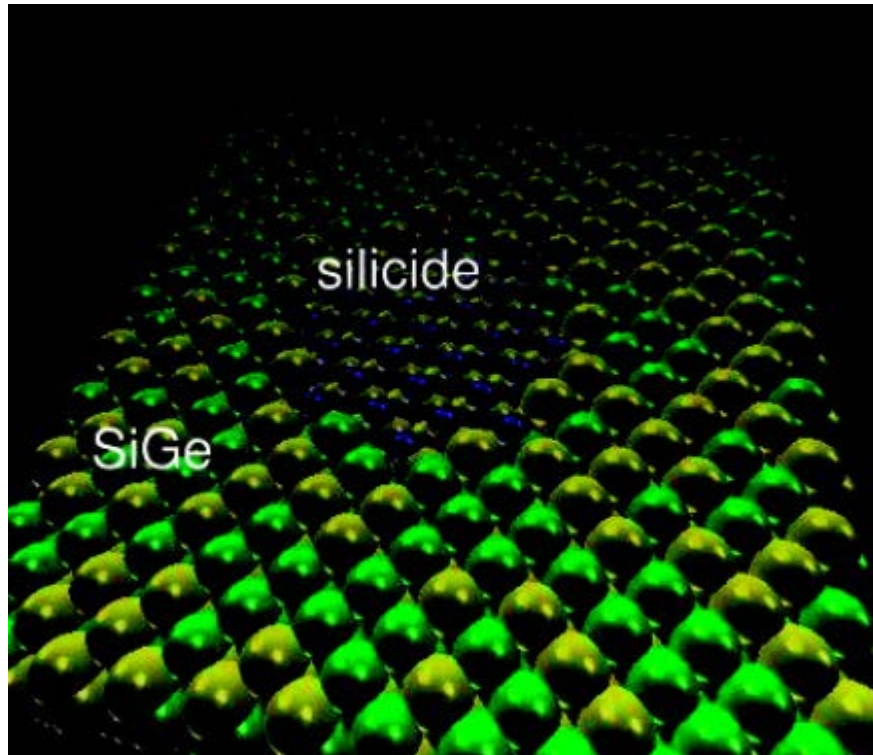


Cross-plane
(with filtering)

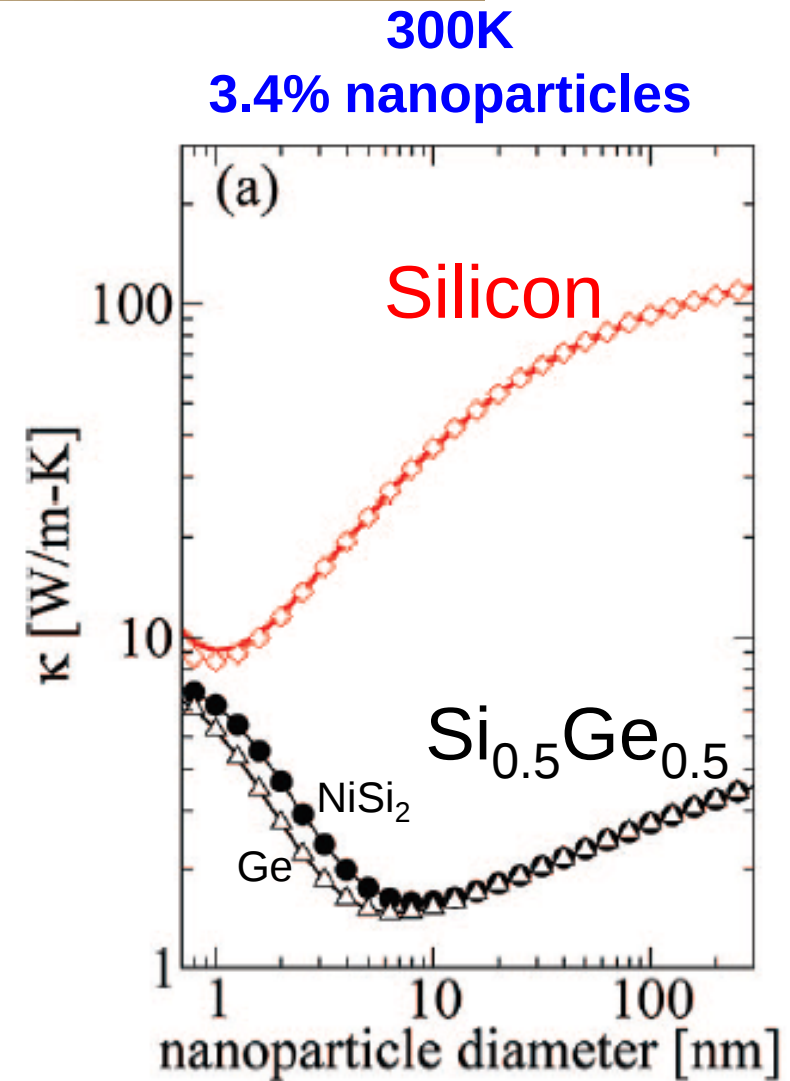


Zide et al, PRB 74, 205335, 2006

Nanoparticle in alloy for thermal conductivity reduction



Natalio Mingo et al. Nano Letters 2009



- Embedded ErAs nanoparticles in InGaAs (endo-epitaxial nanoparticles –see Kanatzidis group)
- Thermal conductivity reduction below alloy limit
 - Long/mid wavelength phonon scattering
- Power factor enhancement
 - improved mobility –modulation doping
 - Energy filtering (?) –scattering time has sharper energy dependence